**CORVEL CORP** Form 3 April 11, 2017

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

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response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

**Â** Shishin Maxim

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/11/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CORVEL CORP [CRVL]

(Check all applicable)

Chief Information Officer

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

2010 MAIN STREET SUITE

600

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

IRVINE, CAÂ 92614

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

Beneficially Owned

2. Amount of Securities

(Instr. 4)

Ownership Form: Direct (D)

or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Ownership

6. Nature of Indirect Beneficial

(Instr. 5)

Date Exercisable

Expiration Date

Title

Amount or Number of Shares

Derivative Security: Derivative Security Direct (D) or Indirect

(I)

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						(Instr. 5)	
Non-Qualified Stock Option (right to buy)	(1)	05/02/2018	Common Stock	1,000	\$ 23.1	D	Â
Non-Qualified Stock Option (right to buy)	(2)	11/03/2021	Common Stock	7,000	\$ 32.1	D	Â
Non-Qualified Stock Option (right to buy)	(1)	11/03/2021	Common Stock	300	\$ 32.11	D	Â
Non-Qualified Stock Option (right to buy)	(1)	08/06/2020	Common Stock	450	\$ 33.16	D	Â
Non-Qualified Stock Option (right to buy)	(1)	05/07/2020	Common Stock	400	\$ 34.67	D	Â
Non-Qualified Stock Option (right to buy)	(1)	11/10/2019	Common Stock	750	\$ 34.78	D	Â
Non-Qualified Stock Option (right to buy)	(2)	11/05/2020	Common Stock	5,250	\$ 34.78	D	Â
Non-Qualified Stock Option (right to buy)	(1)	02/05/2020	Common Stock	400	\$ 35.77	D	Â
Non-Qualified Stock Option (right to buy)	(1)	02/02/2022	Common Stock	500	\$ 38.7	D	Â
Non-Qualified Stock Option (right to buy)	(1)	08/04/2019	Common Stock	500	\$ 40.57	D	Â
Non-Qualified Stock Option (right to buy)	(1)	02/04/2021	Common Stock	350	\$ 43.14	D	Â
Non-Qualified Stock Option (right to buy)	(1)	08/04/2021	Common Stock	450	\$ 43.32	D	Â
Non-Qualified Stock Option (right to buy)	(1)	05/08/2019	Common Stock	600	\$ 44.86	D	Â
Non-Qualified Stock Option (right to buy)	(1)	02/06/2019	Common Stock	200	\$ 45.55	D	Â
Non-Qualified Stock Option (right to buy)	(1)	05/05/2021	Common Stock	1,000	\$ 45.73	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Shishin Maxim 2010 MAIN STREET SUITE 600 IRVINE Â CA Â 92614	Â	Â	Chief Information Officer	Â		

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## **Signatures**

By: Sharon O'Connor For: Maxim Shishin 04/11/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable as to 25% of shares one year following grant date with the remaining shares exercisable in 36 equal monthly installments thereafter.
- (2) Option will vest based on achievement of certain performance criteria relating to earnings growth.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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