Krouse Mark Form 4 March 07, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

\$0.01 per share

(Print or Type Responses)

1. Name and Address of Reporting Person \* Krouse Mark

(First)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

KAISER ALUMINUM CORP [KALU]

3. Date of Earliest Transaction

(Month/Day/Year) 03/05/2019

C/O KAISER ALUMINUM CORP., 27422 PORTOLA PARKWAY, SUITE 200

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner Other (specify X\_ Officer (give title below)

VP - Human Resources

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### FOOTHILL RANCH, CA 92610

(City)	(State)	(Zip) Table	e I - Non-D	erivative	Secur	rities Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit (A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	03/05/2019		A	1,074 (1)	` /		4,847 <u>(2)</u>	D	
Common Stock, par value	03/05/2019		A	1,687	A	\$ 0 <u>(3)</u>	6,534 <u>(2)</u>	D	

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Common Stock, par value \$0.01 per share	03/05/2019	F	625 (4) D	\$ 108.79	5,909 (2)	D	
Common Stock, par value \$0.01 per share	03/05/2019	F	483 <u>(5)</u> D	\$ 108.79	5,426 <u>(6)</u>	D	
Common Stock, par value \$0.01 per share					3,565	I	Krouse Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amou	nt of	Derivative	]
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Ī
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						
					(A) or						1
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration		Number		
								Exercisable Date	of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
20-po-2011g 0 11100 1 11111000	Director	10% Owner	Officer	Other			
Krouse Mark							
C/O KAISER ALUMINUM CORP.			VP - Human				
27422 PORTOLA PARKWAY, SUITE 200			Resources				
FOOTHILL RANCH, CA 92610							

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### **Signatures**

/s/ Cherrie I. Tsai, with power of attorney for Mark Krouse

03/07/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant to the reporting person effective as of March 5, 2019 of restricted stock units under the Kaiser Aluminum Corporation 2016 Equity (1) and Incentive Compensation Plan. All restrictions will lapse on March 5, 2022 or earlier upon the occurrence of certain specified circumstances.
- (2) Includes 4,847 shares acquired pursuant to grants of restricted stock units.
  - Shares earned upon the vesting on March 5, 2019 of certain performance shares granted to the reporting person in 2016 under the Kaiser
- (3) Aluminum Corporation 2006 Equity and Performance Incentive Plan, as amended. On March 5, 2019, the Registrant's compensation committee certified the performance shares payout multiplier based on the level of achievement by the Registrant of certain pre-established performance goals for 2016 through 2018.
- (4) Shares withheld to satisfy the withholding tax obligations resulting from the vesting on March 5, 2019 of the above-referenced performance shares.
- Shares withheld to satisfy the withholding tax obligations resulting from the vesting on March 5, 2019 of certain restricted stock units granted to the reporting person in 2016 under the Kaiser Aluminum Corporation 2006 Equity and Performance Incentive Plan, as amended.
- (6) Includes 3,452 shares acquired pursuant to grants of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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