

WALLACE RICHARD P  
Form 4  
August 08, 2017

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WALLACE RICHARD P

2. Issuer Name and Ticker or Trading Symbol  
KLA TENCOR CORP [KLAC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
08/04/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

President and CEO

C/O KLA-TENCOR CORPORATION, ONE TECHNOLOGY DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

MILPITAS, CA 95035

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |        |  |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--------|--|---|
|                                 |                                      |  |                                | Code  | V   | Amount   | (A) or (D)  | Price  |  |   |
| Common Stock                    | 08/04/2017                           |  | M                              |   | 20,675<br>(1)   | A  | \$ 0  | 58,391 |  | D |
| Common Stock                    | 08/04/2017                           |  | F                              |   | 10,789<br>(2)   | D  | \$ 88.83  | 47,602 |  | D |
| Common Stock                    | 08/06/2017                           |  | M                              |   | 52,176<br>(3)   | A  | \$ 0  | 99,778 |  | D |
| Common Stock                    | 08/06/2017                           |  | F                              |   | 27,227<br>(4)   | D  | \$ 88.83  | 72,551 |  | D |
| Common Stock                    | 08/07/2017                           |  | M                              |   | 11,000<br>(5)   | A  | \$ 0  | 83,551 |  | D |

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|  |            |   |              |   |          |             |   |                 |
|--|------------|---|--------------|---|----------|-------------|---|-----------------|
| Common Stock                                 | 08/07/2017 | F | 5,740<br>(2) | D | \$ 92.01 | 77,811      | D |                 |
| Common Stock                                 |            |   |              |   |          | 9,182       | I | By Trust<br>(6) |
| Common Stock - Restricted Stock Units<br>(7) |            |   |              |   |          | 162,969 (8) | D |                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                   |       |
|---|---------------|-----------|-------------------|-------|
|   | Director      | 10% Owner | Officer           | Other |
| WALLACE RICHARD P<br>C/O KLA-TENCOR CORPORATION<br>ONE TECHNOLOGY DRIVE<br>MILPITAS, CA 95035 | X             |           | President and CEO |       |

## Signatures

Teri Little as Attorney-in-Fact for Richard P. Wallace

08/08/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On August 4, 2016, the Reporting Person received a grant of RSUs covering 82,700 shares of KLA-Tencor common stock. On August 4, 2017, the Reporting Person vested in 25% of such RSUs.

(2) Pursuant to such terms of the August 4, 2016 RSU grant, 10,798 shares of KLA-Tencor common stock were automatically withheld at vesting to cover required tax withholding.

(3) On August 6, 2013, August 6, 2013, and August 6, 2015, the Reporting Person received a grant of RSUs or PRSUs covering 44,500 (RSU), 44,500 (PRSU) and 52,950 (RSU) shares of KLA-Tencor common stock, respectively. On August 6, 2017, the Reporting Person vested in 25% of such RSUs and 50% of the PRSUs.

(4) Pursuant to such terms of the August 6, 2013, August 6, 2013 and August 6, 2015 grants, 27,227 shares of KLA-Tencor common stock were automatically withheld at vesting to cover required tax withholding.

(5) On August 7, 2014, the Reporting Person received a grant of RSUs covering 44,000 shares of KLA-Tencor common stock. On August 7, 2017, the Reporting Person vested in 25% of such RSUs.

(6) Shares held under the Wallace Living Trust u/a/d 03/27/01, as amended, a trust of which the Reporting Person is a trustee and beneficiary.

(7) Each RSU represents a contingent right to receive one share of KLA-Tencor common stock.

(8) Does not include performance-based RSUs, if any, held by the Reporting Person for which an assessment has not yet been made regarding the achievement of the applicable performance goals. Any such holdings will be reported on a Form 4 within two business days of the date such assessment is made.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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