

Young Tracie
Form 4
March 13, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Young Tracie

2. Issuer Name and Ticker or Trading Symbol
REPUBLIC FIRST BANCORP INC
[FRBK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Risk Officer

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

C/O REPUBLIC FIRST BANCORP, INC., 50 S 16TH STREET, SUITE 2400

08/07/2018

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

PHILADELPHIA, PA 19102

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	08/07/2018		M	V	12,000	\$ 3.68	D
Common Stock	08/07/2018		S	V	12,000	\$ 7.74	D
Common Stock	08/07/2018		M	V	6,000	\$ 3.55	D
Common Stock	08/07/2018		S	V	6,000	\$ 7.74	D
	08/07/2018		M	V	6,000		D

Edgar Filing: Young Tracie - Form 4

Common Stock					\$			
					3.99			
Common Stock	08/07/2018		S	6,000	D	\$	1,425	D
					7.74			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option to Purchase Common Stock	\$ 3.68	08/07/2018		M	12,000	<u>(1)</u> 02/28/2024	Common Stock	12,000
Option to Purchase Common Stock	\$ 3.55	08/07/2018		M	6,000	<u>(2)</u> 03/12/2025	Common Stock	6,000
Option to Purchase Common Stock	\$ 3.99	08/07/2018		M	6,000	<u>(3)</u> 02/23/2026	Common Stock	6,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Young Tracie C/O REPUBLIC FIRST BANCORP, INC. 50 S 16TH STREET, SUITE 2400 PHILADELPHIA, PA 19102			Chief Risk Officer	

Signatures

/s/ Tracie Young

03/13/2019

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% exercisable on 2/28/15; 25% on 2/28/16; 25% on 2/28/17; 25% on 2/28/18
 - (2) 25% exercisable on 3/12/16; 25% on 3/12/17; 25% on 3/12/18; 25% on 3/12/19
 - (3) 25% exercisable on 2/23/17; 25% on 2/23/18; 25% on 2/23/19; 25% on 2/23/20

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.