Duke Mountain Resources, Inc Form 10-K/A April 15, 2014

# **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 10-K/A #1

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# ANNUAL REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

#### For the fiscal period ended December 31, 2013

#### OR

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TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

For the transition period from \_\_\_\_\_\_ to \_\_\_\_\_\_

Commission File Number: 333-140177

## **DUKE MOUNTAIN RESOURCES, INC.**

(Exact name of registrant as specified in its charter)

## <u>Nevada</u>

#### <u>98-0503336</u>

(State or other jurisdiction of

(I.R.S. Employer

incorporation or organization)

Identification No.)

#### 6805 Sundance Trail, Riverside CA 92506

(Address of principal executive offices)

#### (951) 907-9911

(Registrant s telephone number, including area code)

## Securities registered pursuant to Section 12(b) of the Act:

Common Stock, \$0.001 par value per share

(Title of Each Class)

Securities registered pursuant to Section 12(g) of the Act: None

Indicate by check mark if the registrant is a well-known seasoned issuer, as defined in Rule 405 of the Securities Act. Yes [] No [X]

Indicate by check mark if the registrant is not required to file reports pursuant to Section 13 of Section 15(d) of the Act. Yes [] No [X]

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Indicate by check mark whether the registrant: (1) has filed all reports required by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing for the past 90 days. Yes [X] No []

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T (§ 232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes [] No []

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Indicate by check mark if disclosure of delinquent filers pursuant to Item 405 of Regulation S-K (229.405 of this chapter) is not contained herein, and will not be contained, to the best of the registrant sknowledge, in definitive proxy or information statements incorporated by reference in Part III of this Form 10-K or any amendment to this Form 10-K. [X]

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, or a non-accelerated filer. (Check one):

Large Accelerated File [] Accelerated Filer [] Non-accelerated Filer [] Smaller Reporting Company [X]

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Act).

Yes [X] No [ ]

As of June 30, 2013, the aggregate market value of the issued and outstanding stock held by non-affiliates of the registrant, based upon the closing price of the common stock as traded on the OTC Bulletin Board of \$0.20 was approximately \$435,400. For purposes of the above statement only, all directors, executive officers and 10% shareholders are assumed to be affiliates. This determination of affiliate status is not necessarily a conclusive determination for any other purpose.

Number of shares of common stock outstanding as of March 25, 2014 was 12,180,000.

## DOCUMENTS INCORPORATED BY REFERENCE None.

EXPLANATORY NOTE:

Amended to file XBRL files, previously inadvertently not filed, due to computer error. No other changes have been made to the document.