

MOORE STEVEN L
Form 4
November 22, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MOORE STEVEN L

2. Issuer Name and Ticker or Trading Symbol
PIXELWORKS, INC [PXLW]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
224 AIRPORT PARKWAY, SUITE 400
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/20/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP, CFO & Treasurer

SAN JOSE, CA 95110

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	11/20/2017		M	30,000 A	\$ 2.36	496,570	D
Common Stock	11/20/2017		S	30,000 D	\$ 5.7699	466,570	D
Common Stock	11/21/2017		M	8,333 A	\$ 2.28	474,903	D
Common Stock	11/21/2017		M	20,000 A	\$ 2.36	494,903	D
Common Stock	11/21/2017		M	16,666 A	\$ 2.28	511,569	D

Edgar Filing: MOORE STEVEN L - Form 4

Common Stock	11/21/2017	S	16,666	D	\$ 5.9846	494,903	D
Common Stock	11/21/2017	S	8,333	D	\$ 5.9846	486,570	D
Common Stock	11/21/2017	S	20,000	D	\$ 5.9285	466,570	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 2.28	11/21/2017		M	16,666	11/21/2017	02/28/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.28	11/21/2017		M	8,333	11/21/2017	03/02/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.36	11/20/2017		M	30,000	11/20/2017 ⁽¹⁾	02/09/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.36	11/21/2017		M	20,000	11/21/2017 ⁽¹⁾	02/09/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 0.6					⁽¹⁾	03/23/2019	Common Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MOORE STEVEN L
224 AIRPORT PARKWAY
SUITE 400
SAN JOSE, CA 95110

VP, CFO & Treasurer

Signatures

Steven L Moore

11/21/2017

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Becomes exercisable on a monthly basis over 3 years, commencing the last day of the month of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.