

Fitzgerald Brian P  
 Form 4  
 May 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Fitzgerald Brian P

2. Issuer Name and Ticker or Trading Symbol  
 BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 850 DIXIE HIGHWAY  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 04/30/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 SVP, Chief Accounting Officer

LOUISVILLE, KY 40210  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class B Common	04/30/2018		M	V Amount (A) or (D) Price 6,169 A \$ 13.942	10,586 <sup>(1)</sup>	D	
Class B Common	04/30/2018		F	3,064 D \$ 56.04 <sup>(2)</sup>	7,522	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 13.942 <u>(3)</u>	04/30/2018		M		6,169		05/01/2011	04/30/2018	Class B Common	6,169 <u>(3)</u>
Stock Appreciation Right	\$ 10.62 <u>(4)</u>							05/01/2012	04/30/2019	Class B Common	12,042 <u>(4)</u>
Stock Appreciation Right	\$ 15.088 <u>(5)</u>							05/01/2013	04/30/2020	Class B Common	10,111 <u>(5)</u>
Stock Appreciation Right	\$ 18.217 <u>(6)</u>							05/01/2014	04/30/2021	Class B Common	9,521 <u>(6)</u>
Stock Appreciation Right	\$ 23.047 <u>(7)</u>							05/01/2015	04/30/2022	Class B Common	8,841 <u>(7)</u>
Stock Appreciation Right	\$ 28.433 <u>(8)</u>							05/01/2016	04/30/2023	Class B Common	14,671 <u>(8)</u>
Stock Appreciation Right	\$ 36.109 <u>(9)</u>							05/01/2017	04/30/2024	Class B Common	11,321 <u>(9)</u>
Stock Appreciation Right	\$ 40.145 <u>(10)</u>							05/01/2018	04/30/2025	Class B Common	13,281 <u>(10)</u>
Stock Appreciation Right	\$ 38.481 <u>(11)</u>							05/01/2019	04/30/2026	Class B Common	18,151 <u>(11)</u>
Stock Appreciation Right	\$ 39.757 <u>(12)</u>							05/01/2020	04/30/2027	Class B Common	18,371 <u>(12)</u>

