

Edwards Jeffrey S
Form 4
October 05, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Edwards Jeffrey S

(Last) (First) (Middle)

49200 HALYARD DRIVE, P.O.
BOX 8010

(Street)

PLYMOUTH, MI 48170

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction
(Month/Day/Year)

10/01/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)

Vice President

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/02/2009		A	4.156	A \$ 24.0611	78,359.373 (1) (2)	D
Common Stock					10,484.728 (3)	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 24.87	10/01/2009		A		45,000		10/01/2011 ⁽⁴⁾	10/01/2019	Common Stock	4
Phantom Stock Units - Retirement Restoration Plan	⁽⁵⁾							⁽⁶⁾	⁽⁶⁾	Common Stock	1,2
Phantom Stock Units - Annual Incentive Plan	⁽⁵⁾							⁽⁸⁾	⁽⁸⁾	Common Stock	12,
Phantom Stock Units - Restricted Stock Plan	⁽¹⁰⁾							⁽¹⁰⁾	⁽¹⁰⁾	Common Stock	1,9
Employee Stock Option (Right to Buy)	\$ 17.5167							11/19/2005	11/19/2013	Common Stock	6
Employee Stock Option (Right to Buy)	\$ 20.5633							11/17/2006	11/17/2014	Common Stock	9
Employee Stock Option (Right to Buy)	\$ 22.5617							11/16/2007	11/16/2015	Common Stock	1.

Employee
Stock
Option
(Right to
Buy)

\$ 23.965

10/02/2008

10/02/2016

Common
Stock

6

Employee
Stock
Option
(Right to
Buy)

\$ 40.21

10/01/2009⁽⁴⁾

10/01/2017

Common
Stock

3

Employee
Stock
Option
(Right to
Buy)

\$ 28.79

10/01/2010⁽⁴⁾

10/01/2018

Common
Stock

4

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170			Vice President	

Signatures

Angela M. Blair, Attorney-in-Fact for Jeffrey S.
Edwards

10/05/2009

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares for restricted stock grants: 4,500 which vest on 11/1/2009; 22,500 which vest on 1/3/2010; and 4,500 which vest on 11/1/2011.
- (2) Includes 11,123 shares acquired through the reinvestment of dividends on October 2, 2009, at a price of \$24.0611 per share.
- (3) The number of underlying securities is based on the stock fund balance on September 30, 2009. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an September 30, 2009, stock fund price of \$25.56 per share.
- (4) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.
- (5) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (6) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.

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- (7) Includes 6.856 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at a price of \$23.77 per phantom stock unit.
- (8) The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.
- (9) Includes 68.121 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at a price of \$23.77 per phantom stock unit.
- (10) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (11) Includes 182.109 phantom stock units acquired through the reinvestment of dividends on October 2, 2009, at a price of \$23.77 per phantom stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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