

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-A

FIRST TRUST MLP & ENERGY INCOME FUND

Form NSAR-A

June 25, 2015

PAGE 1
000 A000000 04/30/2015
000 C000000 0001556336
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST TRUST MLP AND ENERGY INCOME FUND
001 B000000 811-22738
001 C000000 2037620004
002 A000000 187 Danbury Road
002 B000000 Wilton
002 C000000 CT
002 D010000 06897
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FIRST TRUST ADVISORS L.P.
008 B000001 A
008 C000001 801-39950
008 D010001 Wheaton
008 D020001 IL
008 D030001 60187
008 A000002 Energy Income Partners, LLC
008 B000002 S
008 C000002 801-66907
008 D010002 Westport
008 D020002 CT
008 D030002 06880
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WILMINGTON
010 C020001 DE
010 C030001 19809
011 A000001 MORGAN STANLEY & CO., LLC
011 B000001 8-15869
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10036
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WILMINGTON
012 C020001 DE
012 C030001 19809
013 A000001 DELOITTE & TOUCHE LLP
PAGE 2
013 B010001 CHICAGO
013 B020001 IL
013 B030001 60606
013 B040001 4301
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-A

015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 114
019 C000000 FIRSTDEFIN
020 A000001 GOLDMAN SACHS & CO.
020 B000001 13-5108880
020 C000001 44
020 A000002 BANK OF NEW YORK
020 B000002 13-4941102
020 C000002 32
020 A000003 BANK OF AMERICA CORP
020 B000003 56-2058405
020 C000003 28
020 A000004 CREDIT SUISSE FIRST BOSTON CORP.
020 B000004 13-5659485
020 C000004 27
020 A000005 MORGAN STANLEY & CO., INC.
020 B000005 13-2655998
020 C000005 26
020 A000006 UBS SECURITIES
020 B000006 13-3873456
020 C000006 25
020 A000007 CITIGROUP, INC.
020 B000007 52-1568099
020 C000007 14
020 A000008 BARCLAYS CAPITAL GROUP
020 C000008 11
020 A000009 US CAPITAL SECURITIES, INC.
020 B000009 59-2015850
020 C000009 11
020 A000010 JEFFERIES & CO., INC.
020 B000010 95-2622900
020 C000010 9
021 000000 239
022 A000001 BANK OF AMERICA CORP
022 B000001 56-2058405
022 C000001 12966
022 D000001 0
022 A000002 MORGAN STANLEY & CO., INC.
022 B000002 36-3145972
022 C000002 10365
PAGE 3
022 D000002 0
022 A000003 BARCLAYS CAPITAL GROUP
022 C000003 2536
022 D000003 0
022 A000004 GOLDMAN SACHS & CO.
022 B000004 13-5108880
022 C000004 722
022 D000004 0
023 C000000 26590
023 D000000 0
024 000000 N
026 A000000 N
026 B000000 N
026 C000000 N
026 D000000 Y
026 E000000 N

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-A

| | | | |
|-----|---------|---|-------|
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| | PAGE | 4 | |
| 030 | B000000 | | 0.00 |
| 030 | C000000 | | 0.00 |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | N | |
| 047 | 000000 | Y | |
| 048 | 000000 | | 1.000 |
| 048 | A010000 | | 0 |
| 048 | A020000 | | 0.000 |
| 048 | B010000 | | 0 |

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-A

| | | | |
|-----|---------|-------|---|
| 048 | B020000 | 0.000 | |
| 048 | C010000 | | 0 |
| 048 | C020000 | 0.000 | |
| 048 | D010000 | | 0 |
| 048 | D020000 | 0.000 | |
| 048 | E010000 | | 0 |
| 048 | E020000 | 0.000 | |
| 048 | F010000 | | 0 |
| 048 | F020000 | 0.000 | |
| 048 | G010000 | | 0 |
| 048 | G020000 | 0.000 | |
| 048 | H010000 | | 0 |
| 048 | H020000 | 0.000 | |
| 048 | I010000 | | 0 |
| 048 | I020000 | 0.000 | |
| 048 | J010000 | | 0 |
| 048 | J020000 | 0.000 | |
| 048 | K010000 | | 0 |
| 048 | K020000 | 0.000 | |
| 049 | 000000 | N | |
| 050 | 000000 | N | |
| 051 | 000000 | N | |
| 052 | 000000 | N | |
| 053 | A000000 | N | |
| 054 | A000000 | Y | |
| | PAGE | 5 | |
| 054 | B000000 | Y | |
| 054 | C000000 | N | |
| 054 | D000000 | N | |
| 054 | E000000 | N | |
| 054 | F000000 | N | |
| 054 | G000000 | Y | |
| 054 | H000000 | Y | |
| 054 | I000000 | N | |
| 054 | J000000 | Y | |
| 054 | K000000 | N | |
| 054 | L000000 | N | |
| 054 | M000000 | Y | |
| 054 | N000000 | Y | |
| 054 | O000000 | Y | |
| 055 | A000000 | N | |
| 055 | B000000 | Y | |
| 056 | 000000 | Y | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
| 060 | A000000 | N | |
| 060 | B000000 | N | |
| 061 | 000000 | | 0 |
| 062 | A000000 | N | |
| 062 | B000000 | 0.0 | |
| 062 | C000000 | 0.0 | |
| 062 | D000000 | 0.0 | |
| 062 | E000000 | 0.0 | |
| 062 | F000000 | 0.0 | |
| 062 | G000000 | 0.0 | |
| 062 | H000000 | 0.0 | |
| 062 | I000000 | 0.0 | |
| 062 | J000000 | 0.0 | |
| 062 | K000000 | 0.0 | |
| 062 | L000000 | 0.0 | |
| 062 | M000000 | 0.0 | |

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-A

| | | |
|-----|---------|---------|
| 062 | N000000 | 0.0 |
| 062 | O000000 | 0.0 |
| 062 | P000000 | 0.0 |
| 062 | Q000000 | 0.0 |
| 062 | R000000 | 0.0 |
| 063 | A000000 | 0 |
| 063 | B000000 | 0.0 |
| 066 | A000000 | Y |
| 066 | B000000 | N |
| 066 | C000000 | N |
| 066 | D000000 | N |
| 066 | E000000 | Y |
| 066 | F000000 | N |
| 066 | G000000 | N |
| 067 | 000000 | N |
| | PAGE | 6 |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | Y |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
| 070 | E020000 | N |
| 070 | F010000 | Y |
| 070 | F020000 | N |
| 070 | G010000 | Y |
| 070 | G020000 | N |
| 070 | H010000 | Y |
| 070 | H020000 | N |
| 070 | I010000 | Y |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | N |
| 070 | K010000 | Y |
| 070 | K020000 | N |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | Y |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | Y |
| 070 | P010000 | N |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 216100 |
| 071 | B000000 | 210079 |
| 071 | C000000 | 1477155 |
| 071 | D000000 | 14 |
| 072 | A000000 | 6 |
| 072 | B000000 | 1 |
| 072 | C000000 | 10955 |

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-A

072 D000000 0
 072 E000000 0
 072 F000000 6747
 072 G000000 474
 072 H000000 0

PAGE 7

072 I000000 13
 072 J000000 63
 072 K000000 0
 072 L000000 79
 072 M000000 16
 072 N000000 0
 072 O000000 660
 072 P000000 1411
 072 Q000000 0
 072 R000000 49
 072 S000000 19
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 39
 072 X000000 9570
 072 Y000000 0
 072 Z000000 1386
 072AA000000 7564
 072BB000000 0
 072CC010000 0
 072CC020000 16787
 072DD010000 0
 072DD020000 0
 072EE000000 0

073 A010000 0.0000
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.6800
 074 A000000 35140
 074 B000000 0
 074 C000000 0
 074 D000000 0
 074 E000000 0
 074 F000000 570724
 074 G000000 0
 074 H000000 0
 074 I000000 898710
 074 J000000 2803
 074 K000000 0
 074 L000000 6790
 074 M000000 17867
 074 N000000 1532034
 074 O000000 8917
 074 P000000 1099
 074 Q000000 338000
 074 R010000 0
 074 R020000 0
 074 R030000 5066
 074 R040000 160307
 074 S000000 0

PAGE 8

074 T000000 1018645
 074 U010000 45439
 074 U020000 0
 074 V010000 22.42

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-A

| | | |
|-----------|----------------|--------------|
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 3 |
| 074 | Y000000 | 766308 |
| 075 | A000000 | 0 |
| 075 | B000000 | 1017298 |
| 076 | 000000 | 20.67 |
| 077 | A000000 | Y |
| 077 | B000000 | N |
| 077 | C000000 | Y |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 33739B104 |
| 087 | A030000 | FEI |
| | PAGE | 9 |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | JAMES M. DYKAS | |
| TITLE | TREASURER | |