

SANUWAVE Health, Inc.

Form 3

August 30, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Stolarski Anthony Michael

(Last) (First) (Middle)

99 W. ARLINGTON HEIGHTS

(Street)

NORTH

AUGUSTA, SC 29841

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/18/2016

3. Issuer Name and Ticker or Trading Symbol
SANUWAVE Health, Inc. [SNWV]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

3,224,626

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Class G Warrants	07/25/2013	07/25/2018	Common Stock	209,091	\$ 0.8	D	Â
Class H Warrants	07/31/2013	07/31/2018	Common Stock	128,239	\$ 0.8	D	Â
Class I Warrants	09/30/2013	09/30/2018	Common Stock	166,667	\$ 0.85	D	Â
Class L Warrants	03/11/2016	03/17/2019	Common Stock	1,250,000	\$ 0.08	D	Â
Class L Warrants	08/26/2016	03/17/2019	Common Stock	500,000	\$ 0.08	D	Â
Stock Options	06/16/2016	06/16/2026	Common Stock	200,000	\$ 0.04	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stolarski Anthony Michael 99 W. ARLINGTON HEIGHTS NORTH AUGUSTA, SC 29841	Â X	Â	Â	Â

Signatures

/s/ Anthony Michael
Stolarski

08/30/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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