INFINERA Corp Form 4 February 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

Section 16. Form 4 or Form 5 obligations

Check this box

if no longer

subject to

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Feller Brad

> (First) (Middle)

C/O INFINERA CORPORATION, 140 CASPIAN **COURT**

(State)

(Zip)

(Street)

4. If Amendment, Date Original

INFINERA Corp [INFN]

3. Date of Earliest Transaction

Filed(Month/Day/Year)

(Month/Day/Year)

02/05/2017

Symbol

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

10% Owner Director X_ Officer (give title Other (specify

below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SUNNYVALE, CA 94089

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	Fransaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(,		
Common Stock	02/05/2017		M	41,574	A	\$0	155,185	D	
Common Stock	02/05/2017		F	16,078	D	\$ 9.37	139,107	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Option (Right to Buy)	\$ 9.02					<u>(1)</u>	01/13/2021	Common Stock	24,1
Non-Qualified Stock Option (Right to Buy)	\$ 9.02					<u>(1)</u>	01/13/2021	Common Stock	89
Restricted Stock Units	(2)	02/05/2017		M	41,574	<u>(3)</u>	(3)	Common Stock	83,1
Restriced Stock Units	(2)					<u>(4)</u>	<u>(4)</u>	Common Stock	12,3
Restricted Stock Units	<u>(2)</u>					<u>(5)</u>	(5)	Common Stock	32,2

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Feller Brad

C/O INFINERA CORPORATION 140 CASPIAN COURT SUNNYVALE, CA 94089

Chief Financial Officer

Signatures

/s/ Michael Post, by Power of
Attorney

02/07/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests and becomes exercisable as to 1/4th of the underlying shares on January 13, 2015 and then 1/48th per month thereafter.
- (2) Each restricted stock unit ("RSU") represents a contingent right to receive one share of Infinera Corporation common stock.

Reporting Owners 2

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- (3) These RSUs vest in four annual installments beginning on February 5, 2015.
- (4) These RSUs vest in three annual installments beginning on May 5, 2016.
- (5) These RSUs vest in four annual installments beginning on May 5, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ding-top:2px;padding-bottom:2px;padding-right:2px;">

<u>Unaudited pro forma combined condensed financial statements of Kennedy-Wilson Holdings, Inc. for the year ended December 31, 2016 and as of and for the six months ended June 30, 2017.</u>

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

KENNEDY-WILSON HOLDINGS, INC.

Date:

December By: /s/ Justin Enbody 28,

2017

Name: Justin Enbody

Title: Chief Financial Officer