Edgar Filing: HU DARWIN - Form 4

Form 4 August 19, 201	1										
FORM								OMB A	OMB APPROVAL		
		RITIES A	OMB Number:	3235-0287							
Check this b if no longer subject to Section 16. Form 4 or		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: January 31, 2005 Estimated average burden hours per response 0.5		
Form 5 obligations may continu <i>See</i> Instruct 1(b).	ue. Section 17(
(Print or Type Res	sponses)										
1. Name and Address of Reporting Person <u>*</u> HU DARWIN			2. Issuer Name and Ticker or Trading Symbol DOCUMENT CAPTURE TECHNOLOGIES, INC. [DCMT.OB]				5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)X_ Director 10% Owner				
(Last) DARWIN HU DRIVE	· · · · ·	Middle) ON		of Earliest Tr Day/Year) 2011	ransaction		Officer (giv below)	e title Oth below)	ner (specify		
			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
SANTA CLA	RA, CA 95054	Ļ					Person	More than One K	eporung		
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities A	Acquired, Disposed o	of, or Beneficia	lly Owned		
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemo Execution any (Month/Da(Instr. 3)any (Month/Date)		Date, if TransactionAcquired (A) or Code Disposed of (D)		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
				Code V	Amount	(D) Price	(Instr. 3 and 4)				
Reminder: Report	t on a separate line	e for each cl	ass of sec	urities benef	ficially ow	ned directly	or indirectly.				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	7 (A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (1)	\$ 0.45	07/25/2011		А	20,000	01/01/2012	07/25/2021	Common Stock	20,000

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
HU DARWIN DARWIN HU 4255 BURTON DRIVE SANTA CLARA, CA 95054	Х			
Signatures				
/s/ Darwin Hu 08	8/19/2011			
**Signature of	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Options granted to Mr. Hu vest on December 31, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. Common Stock 2,573 2,573 D Phantom Stock Units (5) 02/10/2011 M 5,074 (5) (5) Common Stock 5,074 \$ 13.68 66,024 (5) D

Reporting Owners

Reporting Person

Reporting Owner Name / Address	Relationships						
L O	Director	10% Owner	Officer	Other			
BUTTON GEOFFREY 10 MILLENIUM HOUSE 132 GROSVENOR ROAD LONDON, X0 SWIV 3JY	Х						

Signatures

Tracy D. Swearingen for Geoffrey Button per POA prev. filed.

**Signature of Reporting Person

02/14/2011

Date

Explanation of Responses:

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- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents an award of restricted stock units pursuant to Rule 16b-3(d) of Section 16b of the Securities Exchange Act of 1934.
- (2) The Stock Options vested annually at a rate of 20% per year and were fully vested on 1/30/2007.
- (3) The Stock Options vested annually at a rate of 20% per year and were fully vested on 1/29/2008.
- (4) The Stock Options vested annually at a rate of 20% per year and were fully vested on 1/28/2009.

Represents phantom stock units accrued under the Directors' Deferred Compensation Plan of Duke Realty Corporation. The units are

(5) valued on a one to one basis to the Company's common stock and are to be settled in cash and stock upon the Reporting Person's termination as a director of the Issuer.

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