

CORNERSTONE STRATEGIC VALUE FUND INC
Form N-PX
August 18, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-05150

Cornerstone Strategic Value Fund, Inc.

(Exact name of registrant as specified in charter)

48 Wall Street New York, NY 10005
(Address of principal executive offices) (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22nd Floor, New York, NY 10005

(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2016 - June 30, 2017

ITEM 1. PROXY VOTING RECORD.

| Company Name | Ticker | CUSIP | Meeting Date | Proposal Number | Proposal Description | Proponer |
|---------------------|--------|-----------|--------------|-----------------|--|----------|
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 1 | Elect Sondra L. Barbour | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 2 | Elect Thomas K. Brown | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 3 | Elect Vance D. Coffman | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 4 | Elect David B. Dillon | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 5 | Elect Michael L. Eskew | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 6 | Elect Herbert L. Henkel | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 7 | Elect Muhtar Kent | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 8 | Elect Edward M. Liddy | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 9 | Elect Gregory R. Page | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 10 | Elect Inge G. Thulin | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 11 | Elect Patricia A. Woertz | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 12 | Ratification of Auditor | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| 3M Company | MMM | 88579Y101 | 5/9/2017 | 15 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.1 | Elect Robert J. Alpern | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.2 | Elect Roxanne S. Austin | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.3 | Elect Sally E. Blount | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.4 | Elect Edward M. Liddy | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.5 | Elect Nancy McKinstry | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.6 | Elect Phebe N. Novakovic | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.7 | Elect William A. Osborn | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.8 | Elect Samuel C. Scott III | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.9 | Elect Daniel J. Starks | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.10 | Elect Glenn F. Tilton | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 1.11 | Elect Miles D. White | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 2 | Ratification of Auditor | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 5 | Approval of 2017 Incentive Stock Program | Mgmt |
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 6 | Employee Stock Purchase Plan for Non-U.S. Employees | Mgmt |

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|-------------------------------------|-----|-----------|-----------|---|--|---------|
| Abbott Laboratories | ABT | 002824100 | 4/28/2017 | 7 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/30/2017 | 1 | Elect P. Gerald Malone | Mgmt |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/30/2017 | 2 | Elect Hugh Young | Mgmt |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/30/2017 | 3 | Elect Peter D. Sacks | Mgmt |
| Aberdeen Chile Fund Inc | CH | 00301W105 | 3/30/2017 | 1 | Elect James J. Cattano | Mgmt |
| Aberdeen Chile Fund Inc | CH | 00301W105 | 3/30/2017 | 2 | Elect Steven N. Rappaport | Mgmt |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/21/2017 | 1 | Elect C. William Maher | Mgmt |
| Aberdeen Greater China Fund Inc | GCH | 003031101 | 6/21/2017 | 2 | Elect Jonathan J.K. Taylor | Mgmt |
| Aberdeen Israel Fund Inc | ISL | 00305P106 | 3/30/2017 | 1 | Elect Lawrence J. Fox | Mgmt |
| Aberdeen Israel Fund Inc | ISL | 00306K106 | 3/30/2017 | 1 | Elect James J. Cattano | Mgmt |

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| Aberdeen Japan Equity Fund Inc | JEQ | 00306J109 | 6/21/2017 | 1 | Elect Richard J. Herring | Mgmt |
| Aberdeen Japan Equity Fund Inc | JEQ | 00306J109 | 6/21/2017 | 2 | Elect Anthony S. Clark | Mgmt |
| Aberdeen Singapore Fund Inc | SGF | 003244100 | 6/21/2017 | 1 | Elect Richard J. Herring | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 1 | Elect Jaime Ardila Gomez | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 2 | Elect Charles H. Giancarlo | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 3 | Elect Herbert Hainer | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 4 | Elect William L. Kimsey | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 5 | Elect Marjorie Magner | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 6 | Elect Nancy McKinstry | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 7 | Elect Pierre Nanterme | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 8 | Elect Gilles Pelisson | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 9 | Elect Paula A. Price | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 10 | Elect Arun Sarin | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 11 | Elect Frank K. Tang | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 14 | Ratification of Auditor | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 15 | Authority to Issue Shares w/ Preemptive Rights | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 16 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Accenture plc | ACN | G1151C101 | 2/10/2017 | 17 | Issuance of Treasury Shares | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 1 | Elect Robert J. Corti | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 2 | Elect Hendrik Hartong III | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 3 | Elect Brian G. Kelly | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 4 | Elect Robert A. Kotick | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 5 | Elect Barry Meyer | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 6 | Elect Robert J. Morgado | Mgmt |

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|-------------------------------------|------|-----------|-----------|-----|--|------|
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 7 | Elect Peter Nolan | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 8 | Elect Casey Wasserman | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 9 | Elect Elaine P. Wynn | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 10 | Advisory Vote on Executive Compensation | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 11 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Activision Blizzard, Inc. | ATVI | 00507V109 | 6/1/2017 | 12 | Ratification of Auditor | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.1 | Elect Enrique R. Arzac | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.2 | Elect Phyllis O. Bonanno | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.3 | Elect Kenneth J. Dale | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.4 | Elect Frederic A. Escherich | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.5 | Elect Roger W. Gale | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.6 | Elect Kathleen T. McGahran | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.7 | Elect Craig R. Smith | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 1.8 | Elect Mark E. Stoeckle | Mgmt |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/21/2017 | 2 | Ratification of Auditor | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.1 | Elect Enrique R. Arzac | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.2 | Elect Phyllis O. Bonanno | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.3 | Elect Kenneth J. Dale | Mgmt |

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|--|-----|-----------|-----------|-----|-----------------------------|------|
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.4 | Elect Frederic A. Escherich | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.5 | Elect Roger W. Gale | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.6 | Elect Kathleen T. McGahran | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.7 | Elect Craig R. Smith | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 1.8 | Elect Mark E. Stoeckle | Mgmt |
| Adams Natural Resources Fund | PEO | 00548F105 | 4/21/2017 | 2 | Ratification of Auditor | Mgmt |
| Advent Claymore Convertible Securities & Income Fund | AVK | 00764C109 | 9/28/2016 | 1 | Elect Gerald L. Seizert | Mgmt |
| Advent Claymore Convertible Securities & Income Fund | AVK | 00764C109 | 9/28/2016 | 2 | Elect Derek Medina | Mgmt |
| Advent Claymore Convertible Securities & Income Fund | AVK | 00764C109 | 9/28/2016 | 3 | Elect Randall C. Barnes | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 1 | Elect Daniel P. Amos | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 2 | Elect Paul S. Amos II | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 3 | Elect W. Paul Bowers | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 4 | Elect Kriss Cloninger III | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 5 | Elect Toshihiko Fukuzawa | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 6 | Elect Elizabeth J. Hudson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 7 | Elect Douglas W. Johnson | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 8 | Elect Robert B. Johnson | Mgmt |

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| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 9 | Elect Thomas J. Kenny | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 10 | Elect Charles B. Knapp | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 11 | Elect Karole F Lloyd | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 12 | Elect Joseph L. Moskowitz | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 13 | Elect Barbara K. Rimer | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 14 | Elect Melvin T. Stith | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 17 | Ratification of Auditor | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 18 | Amendment to the Long-Term Incentive Plan | Mgmt |
| Aflac Incorporated | AFL | 001055102 | 5/1/2017 | 19 | Approval of the Amended and Restated 2018 Management Incentive Plan | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 1 | Elect Susan K. Carter | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 2 | Elect Charles I. Cogut | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 3 | Elect Seifi Ghasemi | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 4 | Elect Chadwick C. Deaton | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 5 | Elect David H. Y. Ho | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 6 | Elect Margaret G. McGlynn | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 7 | Elect Edward L. Monser | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 8 | Elect Matthew H. Paull | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 9 | Advisory Vote on Executive Compensation | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 10 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/26/2017 | 11 | Ratification of Auditor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 1 | Elect Nesli Basgoz | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 2 | Elect Paul M. Bisaro | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 3 | Elect James H. Bloem | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 4 | Elect Christopher W. Bodine | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 5 | Elect Adriane M. Brown | Mgmt |

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| Allergan plc | AGN | G0177J108 | 5/4/2017 | 6 | Elect Christopher J. Coughlin | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 7 | Elect Catherine M. Klema | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 8 | Elect Peter J. McDonnell | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 9 | Elect Patrick J. O'Sullivan | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 10 | Elect Brenton L. Saunders | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 11 | Elect Ronald R. Taylor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 12 | Elect Fred G. Weiss | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 15 | Ratification of Auditor | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 16 | Approval of performance goals under the 2017 Annual Incentive Compensation Plan | Mgmt |
| Allergan plc | AGN | G0177J108 | 5/4/2017 | 17 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 1 | Elect Kermit R. Crawford | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 2 | Elect Michael L. Eskew | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 3 | Elect Siddharth N. Mehta | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 4 | Elect Jacques P. Perold | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 5 | Elect Andrea Redmond | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 6 | Elect John W. Rowe | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 7 | Elect Judith A. Sprieser | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 8 | Elect Mary Alice Taylor | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 9 | Elect Perry M. Traquina | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 10 | Elect Thomas J. Wilson | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 13 | Approval of the 2017 Equity Compensation Plan for Non-Employee Directors | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 14 | Ratification of Auditor | Mgmt |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 16 | Shareholder Proposal Regarding Lead Director Tenure | ShrHold |
| Allstate Corporation | ALL | 020002101 | 5/25/2017 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.1 | Elect Larry Page | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.2 | Elect Sergey Brin | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.3 | Elect Eric E. Schmidt | Mgmt |

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| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.4 | Elect L. John Doerr | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.5 | Elect Roger W. Ferguson, Jr. | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.6 | Elect Diane B. Greene | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.7 | Elect John L. Hennessy | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.8 | Elect Ann Mather | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.9 | Elect Alan R. Mulally | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.10 | Elect Paul S. Otellini | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.11 | Elect K. Ram Shriram | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 1.12 | Elect Shirley M. Tilghman | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 2 | Ratification of Auditor | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 3 | Amendment to the 2012 Stock Plan | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 4 | Advisory Vote on Executive Compensation | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 6 | Shareholder Proposal Regarding Recapitalization | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 8 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 9 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 10 | Shareholder Proposal Regarding Charitable Contributions Report | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 11 | Shareholder Proposal Regarding Holy Land Principles | ShrHold |
| Alphabet Inc. | GOOGL | 02079K305 | 6/7/2017 | 12 | Shareholder Proposal Regarding False News | ShrHold |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/9/2017 | 1.1 | Elect Eleanor T Hoagland | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/9/2017 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 02082E205 | 5/9/2017 | 2 | Transaction of Other Business | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 1.1 | Elect Eleanor T Hoagland | Mgmt |

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| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt |
| Alpine Global Dynamic Dividend Fund | AGD | 021060207 | 5/9/2017 | 2 | Transaction of Other Business | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 1.1 | Elect Eleanor T Hoagland | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 1.2 | Elect Jeffrey E. Wacksman | Mgmt |
| Alpine Global Premier Properties Fund | AGD | 02083A103 | 5/9/2017 | 2 | Transaction of Other Business | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 1 | Elect Jeffrey P. Bezos | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 2 | Elect Tom A. Alberg | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 3 | Elect John Seely Brown | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 4 | Elect Jamie S. Gorelick | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 5 | Elect Daniel P. Huttenlocher | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 6 | Elect Judith A. McGrath | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 7 | Elect Jonathan J. Rubinstein | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 8 | Elect Thomas O. Ryder | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 9 | Elect Patricia Q. Stonesifer | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 10 | Elect Wendell P. Weeks | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 11 | Ratification of Auditor | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 14 | Amendment to the 1997 Stock Incentive Plan | Mgmt |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 15 | Shareholder Proposal Regarding Report on Use of Criminal Background Checks in Hiring Decisions | ShrHold |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 16 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHold |
| Amazon.com, Inc. | AMZN | 023135106 | 5/23/2017 | 17 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| | AEP | 025537101 | 4/25/2017 | 1 | Elect Nicholas K. Akins | Mgmt |

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|---------------------------------------|-----|-----------|-----------|----|---|------|
| American Electric Power Company, Inc. | | | | | | |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 2 | Elect David J. Anderson | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 3 | Elect J. Bernie Beasley, Jr. | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 4 | Elect Ralph D. Crosby, Jr. | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 5 | Elect Linda A. Goodspeed | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 6 | Elect Thomas E. Hoaglin | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 7 | Elect Sandra Beach Lin | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 8 | Elect Richard C. Notebaert | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 9 | Elect Lionel L. Nowell, III | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 10 | Elect Stephen S. Rasmussen | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 11 | Elect Oliver G. Richard, III | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 12 | Elect Sara Martinez Tucker | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 13 | Reapprove the Material Terms of the Senior Officer Incentive Plan | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 14 | Ratification of Auditor | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/25/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 1 | Elect Gustavo Lara Cantu | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 2 | Elect Raymond P. Dolan | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 3 | Elect Robert D. Hormats | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 4 | Elect Craig Macnab | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 5 | Elect JoAnn A. Reed | Mgmt |
| | AMT | 03027X100 | 5/31/2017 | 6 | Elect Pamela D.A. Reeve | Mgmt |

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American Tower Corporation

| | | | | | | |
|--------------------------------|------|-----------|-----------|----|--|---------|
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 7 | Elect David E. Sharbutt | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 8 | Elect James D. Taiclet, Jr. | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 9 | Elect Samme L. Thompson | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 10 | Ratification of Auditor | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| American Tower Corporation | AMT | 03027X100 | 5/31/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 1 | Elect David Baltimore | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 2 | Elect Robert A. Bradway | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 3 | Elect Francois de Carbonnel | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 4 | Elect Robert A. Eckert | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 5 | Elect Greg C. Garland | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 6 | Elect Fred Hassan | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 7 | Elect Rebecca M. Henderson | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 8 | Elect Frank C. Herringer | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 9 | Elect Charles M. Holley | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 10 | Elect Tyler Jacks | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 11 | Elect Ellen J. Kullman | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 12 | Elect Ronald D. Sugar | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 13 | Elect R. Sanders Williams | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 14 | Ratification of Auditor | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| AMGEN Inc. | AMGN | 031162100 | 5/19/2017 | 17 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 1 | Elect Anthony R. Chase | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 2 | Elect David E. Constable | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 3 | Elect H. Paulett Eberhart | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 4 | Elect Claire S. Farley | Mgmt |

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|--------------------------------|------|-----------|-----------|----|--|------|
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 5 | Elect Peter J. Fluor | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 6 | Elect Richard L. George | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 7 | Elect Joseph W. Gorder | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 8 | Elect John R. Gordon | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 9 | Elect Sean Gourley | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 10 | Elect Mark C. McKinley | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 11 | Elect Eric D. Mullins | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 12 | Elect R. A. Walker | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 13 | Ratification of Auditor | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Anadarko Petroleum Corporation | APC | 032511107 | 5/10/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 1 | Elect R. Kerry Clark | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 2 | Elect Robert L. Dixon, Jr. | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 3 | Ratification of Auditor | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 4 | Advisory Vote on Executive Compensation | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 5 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 6 | Amendments to Articles to Allow Shareholders to Amend Bylaws | Mgmt |
| Anthem, Inc. | ANTM | 036752103 | 5/18/2017 | 7 | Approval of the 2017 Anthem Incentive Compensation Plan | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 1 | Elect Lester B. Knight | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 2 | Elect Gregory C. Case | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 3 | Elect Jin-Yong Cai | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 4 | Elect Fulvio Conti | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 5 | Elect Cheryl Francis | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 6 | Elect J. Michael Losh | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 7 | Elect Robert S. Morrison | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 8 | Elect Richard B. Myers | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 9 | Elect Richard C. Notebaert | Mgmt |

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| Aon plc | AON | G0408V102 | 6/23/2017 | 10 | Elect Gloria Santona | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 11 | Elect Carolyn Y. Woo | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 14 | Remuneration Policy (Binding) | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 15 | Remuneration Report (Advisory) | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 16 | Accounts and Reports | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 17 | Ratification of Auditor | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 18 | Ratification of Statutory Auditor | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 19 | Authority to Set Auditor's Fees | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 20 | Approval of Share Repurchase Contracts and Repurchase Counterparties | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 21 | Authority to Issue Shares w/ or w/o Preemptive Rights | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 22 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Aon plc | AON | G0408V102 | 6/23/2017 | 23 | Authorisation of Political Donations | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 1 | Elect Alan L. Boeckmann | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 2 | Elect Terrell K. Crews | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 3 | Elect Pierre Dufour | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 4 | Elect Donald E. Felsing | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 5 | Elect Suzan F. Harrison | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 6 | Elect Juan R. Luciano | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 7 | Elect Patrick J. Moore | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 8 | Elect Francisco Sanchez | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 9 | Elect Debra A. Sandler | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 10 | Elect Daniel Shih | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 11 | Elect Kelvin R. Westbrook | Mgmt |

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|--------------------------------|-----|-----------|-----------|-----|---|---------|
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 12 | Ratification of Auditor | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/4/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Asia Pacific Fund Inc | APB | 044901106 | 8/17/2016 | 1.1 | Elect Robert F. Gunia | Mgmt |
| Asia Pacific Fund Inc | APB | 044901106 | 8/17/2016 | 1.2 | Elect Nicholas T. Sibley | Mgmt |
| Asia Pacific Fund Inc | APB | 044901106 | 8/17/2016 | 1.3 | Elect Christopher Sykes | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 1 | Elect Randall L. Stephenson | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 2 | Elect Samuel A. Di Piazza, Jr. | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 3 | Elect Richard W. Fisher | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 4 | Elect Scott T. Ford | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 5 | Elect Glenn H. Hutchins | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 6 | Elect William E. Kennard | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 7 | Elect Michael B. McCallister | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 8 | Elect Beth E. Mooney | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 9 | Elect Joyce M. Roche | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 10 | Elect Matthew K. Rose | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 11 | Elect Cindy B. Taylor | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 12 | Elect Laura D'Andrea Tyson | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 13 | Elect Geoffrey Y. Yang | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 14 | Ratification of Auditor | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHold |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 19 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| AT&T Inc. | T | 00206R102 | 4/28/2017 | 20 | Shareholder Proposal Regarding Lowering Threshold for Right to Act by Written Consent | ShrHold |

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|---------------------------------|-----|-----------|-----------|------|---|------|
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.1 | Elect Peter Bisson | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.2 | Elect Richard T. Clark | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.3 | Elect Eric C. Fast | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.4 | Elect Linda R. Gooden | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.5 | Elect Michael P. Gregoire | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.6 | Elect R. Glenn Hubbard | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.7 | Elect John P. Jones | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.8 | Elect William J. Ready | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.9 | Elect Carlos A. Rodriguez | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 1.10 | Elect Sandra S. Wijnberg | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Automatic Data Processing, Inc. | ADP | 053015103 | 11/8/2016 | 3 | Ratification of Auditor | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 1 | Elect Gregory D. Brennehan | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 2 | Elect Clarence P. Cazalot, Jr. | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 3 | Elect Martin S. Craighead | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 4 | Elect William H. Easter III | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 5 | Elect Lynn L. Elsenhans | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 6 | Elect Anthony G. Fernandes | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 7 | Elect Claire W. Gargalli | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 8 | Elect Pierre J. Jungels | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 9 | Elect James A. Lash | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 10 | Elect J. Larry Nichols | Mgmt |

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Baker Hughes
Incorporated

| | | | | | | |
|--------------------------------|-----|-----------|-----------|----|--|---------|
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 11 | Elect James W. Stewart | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 12 | Elect Charles L. Watson | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 15 | Ratification of Auditor | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 4/27/2017 | 16 | Shareholder Proposal Regarding Counting Abstentions | ShrHold |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 1 | Merger | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 2 | Right to Adjourn Meeting | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 3 | Advisory Vote on Golden Parachutes | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 4 | Approval of the 2017 Long-Term Incentive Plan | Mgmt |
| Baker Hughes Incorporated | BHI | 057224107 | 6/30/2017 | 5 | Approval of Performance Terms under the STI Plan and LTI Plan | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 1 | Elect Sharon L. Allen | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 2 | Elect Susan S. Bies | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 3 | Elect Jack O. Bovender, Jr. | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 4 | Elect Frank P. Bramble, Sr. | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 5 | Elect Pierre J. P. de Weck | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 6 | Elect Arnold W. Donald | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 7 | Elect Linda P. Hudson | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 8 | Elect Monica C. Lozano | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 9 | Elect Thomas J. May | Mgmt |
| | BAC | 060505104 | 4/26/2017 | 10 | Elect Brian T. Moynihan | Mgmt |

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Bank of America Corporation

| | | | | | | |
|-----------------------------|-------|-----------|-----------|-----|--|---------|
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 11 | Elect Lionel L. Nowell, III | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 12 | Elect Michael D. White | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 13 | Elect Thomas D. Woods | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 14 | Elect R. David Yost | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 17 | Ratification of Auditor | Mgmt |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 18 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 19 | Shareholder Proposal Regarding Study Session to Address Divestiture of Non-Core Banking Assets | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 20 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Bank of America Corporation | BAC | 060505104 | 4/26/2017 | 21 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 1 | Elect Jose E. Almeida | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 2 | Elect Thomas F. Chen | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 3 | Elect John D. Forsyth | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 4 | Elect Munib Islam | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 5 | Elect Michael F. Mahoney | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 6 | Elect Carole J. Shapazian | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 7 | Elect Thomas T. Stallkamp | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 8 | Elect Albert P.L. Stroucken | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 9 | Advisory Vote on Executive Compensation | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 10 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 11 | Ratification of Auditor | Mgmt |
| Baxter International Inc. | BAX | 071813109 | 5/2/2017 | 12 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.1 | Elect Warren E. Buffett | Mgmt |
| | BRK.A | 084670702 | 5/6/2017 | 1.2 | Elect Charles T. Munger | Mgmt |

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| Berkshire Hathaway Inc. | | | | | | | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.3 | Elect Howard G. Buffett | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.4 | Elect Stephen B. Burke | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.5 | Elect Susan L. Decker | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.6 | Elect William H. Gates III | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.7 | Elect David S. Gottesman | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.8 | Elect Charlotte Guyman | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.9 | Elect Thomas S. Murphy | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.10 | Elect Ronald L. Olson | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.11 | Elect Walter Scott, Jr. | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 1.12 | Elect Meryl B. Witmer | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 2 | Advisory Vote on Executive Compensation | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | | Mgmt |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 4 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 5 | Shareholder Proposal Regarding Methane Emissions Report | | ShrHold |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/6/2017 | 6 | Shareholder Proposal Regarding Fossil Fuel Divestment | | ShrHold |
| BlackRock Floating Rate Income Trust | BGT | 09258G104 | 7/26/2016 | 1.1 | Elect Catherine A. Lynch | | Mgmt |
| BlackRock Floating Rate Income Trust | BGT | 09258G104 | 7/26/2016 | 1.2 | Elect Richard E. Cavanagh | | Mgmt |
| BlackRock Floating Rate Income Trust | BGT | 09258G104 | 7/26/2016 | 1.3 | Elect Cynthia L. Egan | | Mgmt |
| BlackRock Floating Rate Income Trust | BGT | 09258G104 | 7/26/2016 | 1.4 | Elect Jerrold B. Harris | | Mgmt |

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| BlackRock Floating Rate Income Trust | BGT | 09258G104 | 7/26/2016 | 1.5 | Elect Barbara G. Novick | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.1 | Elect Catherine A. Lynch | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.2 | Elect Richard E. Cavanagh | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.3 | Elect Cynthia L. Egan | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.4 | Elect Jerrold B. Harris | Mgmt |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/26/2016 | 1.5 | Elect Barbara G. Novick | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 1 | Elect Peter J. Arduini | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 2 | Elect Robert J. Bertolini | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 3 | Elect Giovanni Caforio | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 4 | Elect Matthew W. Emmens | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 5 | Elect Laurie H. Glimcher | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 6 | Elect Michael Grobstein | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 7 | Elect Alan J. Lacy | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 8 | Elect Dinesh Paliwal | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 9 | Elect Theodore R. Samuels | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 10 | Elect Gerald Storch | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 11 | Elect Vicki L. Sato | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |

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| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 14 | Amendment to the 2012 Stock Award and Incentive Plan for the Purposes of Section 162(m) | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 15 | Amendment to the 2012 Stock Award and Incentive Plan | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 16 | Ratification of Auditor | Mgmt |
| Bristol-Myers Squibb Company | BMY | 110122108 | 5/2/2017 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Brookfield Global Listed Infrastructure Income Fund Inc | INF | 11273Q109 | 5/25/2017 | 1 | Elect Louis P. Salvatore | Mgmt |
| Brookfield Global Listed Infrastructure Income Fund Inc | INF | 11273Q109 | 5/25/2017 | 2 | Elect David Levi | Mgmt |
| Calamos Strategic Total Return Fund | CSQ | 128125101 | 6/20/2017 | 1.1 | Elect John P. Calamos, Sr. | Mgmt |
| Calamos Strategic Total Return Fund | CSQ | 128125101 | 6/20/2017 | 1.2 | Elect William R. Rybak | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 1 | Elect Richard D. Fairbank | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 2 | Elect Ann Fritz Hackett | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 3 | Elect Lewis Hay, III | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 4 | Elect Benjamin P. Jenkins, III | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 5 | Elect Peter Thomas Killalea | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 6 | Elect Pierre E. Leroy | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 7 | Elect Peter E. Raskind | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 8 | Elect Mayo A. Shattuck III | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 9 | Elect Bradford H. Warner | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 10 | Elect Catherine G. West | Mgmt |

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Corporation

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|-----------------------------------|-----|-----------|-----------|----|--|---------|
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 11 | Ratification of Auditor | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 14 | Amendment of the 2002 Associate Stock Purchase Plan | Mgmt |
| Capital One Financial Corporation | COF | 14040H105 | 5/4/2017 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 1 | Elect David J. Anderson | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 2 | Elect Colleen F. Arnold | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 3 | Elect George S. Barrett | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 4 | Elect Carrie S. Cox | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 5 | Elect Calvin Darden | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 6 | Elect Bruce L. Downey | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 7 | Elect Patricia Hemingway Hall | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 8 | Elect Clayton M. Jones | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 9 | Elect Gregory B. Kenny | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 10 | Elect Nancy Killefer | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 11 | Elect David P. King | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 12 | Ratification of Auditor | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 13 | Amendment to the 2011 Long Term Incentive Plan | Mgmt |
| Cardinal Health, Inc. | CAH | 14149Y108 | 11/3/2016 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 1 | Elect Micky Arison | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 2 | Elect Sir Jonathon Band | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 3 | Elect Helen Deeble | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 4 | Elect Arnold W. Donald | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 5 | Elect Richard J. Glasier | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 6 | Elect Debra J. Kelly-Ennis | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 7 | Elect Sir John Parker | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 8 | Elect Stuart Subotnick | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 9 | Elect Laura A. Weil | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 10 | Elect Randall J. Weisenburger | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 11 | Advisory Vote on Executive Compensation | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt |

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| Carnival plc | CCL | 143658300 | 4/5/2017 | 13 | Approval of Directors' Remuneration Report | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 14 | Approval of Directors' Remuneration Policy | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 15 | Appointment of Auditor | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 16 | Authority to Set Auditor's Fees | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 17 | Accounts and Reports | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 18 | Authority to Issue Shares w/ Preemptive Rights | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 19 | Authority to Issue Shares w/o Preemptive Rights | Mgmt |
| Carnival plc | CCL | 143658300 | 4/5/2017 | 20 | Authority to Repurchase Shares | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 1 | Elect David L. Calhoun | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 2 | Elect Daniel M. Dickinson | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 3 | Elect Juan Gallardo | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 4 | Elect Jesse J. Greene, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 5 | Elect Jon M. Huntsman, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 6 | Elect Dennis A. Muilenburg | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 7 | Elect William A. Osborn | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 8 | Elect Debra L. Reed | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 9 | Elect Edward B. Rust, Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 10 | Elect Susan C. Schwab | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 11 | Elect Jim Umpleby | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 12 | Elect Miles D. White | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 13 | Elect Rayford Wilkins Jr. | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 14 | Ratification of Auditor | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 17 | Amendment to the 2014 Long Term Incentive Plan | Mgmt |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 20 | Shareholder Proposal Regarding Lobbying Priorities | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 21 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHold |
| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 22 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |

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| Caterpillar Inc. | CAT | 149123101 | 6/14/2017 | 23 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 10/12/2016 | 1.1 | Elect Richard L. Sutton | Mgmt |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 10/12/2016 | 1.2 | Elect John R. Bartholdson | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 1 | Elect Milton Carroll | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 2 | Elect Michael P. Johnson | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 3 | Elect Janiece M. Longoria | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 4 | Elect Scott J. McLean | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 5 | Elect Theodore F. Pound | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 6 | Elect Scott M. Prochazka | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 7 | Elect Susan O. Rheney | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 8 | Elect Phillip R. Smith | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 9 | Elect John W. Somerhalder II | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 10 | Elect Peter S. Wareing | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 11 | Ratification of Auditor | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| CenterPoint Energy, Inc. | CNP | 15189T107 | 4/27/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 1 | Elect Wanda M. Austin | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 2 | Elect Linnet F. Deily | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 3 | Elect Robert E. Denham | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 4 | Elect Alice P. Gast | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 5 | Elect Enrique Hernandez, Jr. | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 6 | Elect Jon M. Huntsman, Jr. | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 7 | Elect Charles W. Moorman, IV | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 8 | Elect Dambisa F. Moyo | Mgmt |

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| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 9 | Elect Ronald D. Sugar | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 10 | Elect Inge G. Thulin | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 11 | Elect John S. Watson | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 12 | Elect Michael K. Wirth | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 13 | Ratification of Auditor | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 17 | Shareholder Proposal Regarding Report On Doing Business With Governments Complicit in Genocide | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 18 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 19 | Shareholder Proposal Regarding Report on Transition to a Low Carbon Economy | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 20 | Shareholder Proposal Regarding Independent Board Chair | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 21 | Shareholder Proposal Regarding Environmental Expertise on Board | ShrHold |
| Chevron Corporation | CVX | 166764100 | 5/31/2017 | 22 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| China Fund Inc | CHN | 169373107 | 3/28/2017 | 1.1 | Elect William Kirby | Mgmt |
| China Fund Inc | CHN | 169373107 | 3/28/2017 | 1.2 | Elect Linda C. Coughlin | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.1 | Elect Albert S. Baldocchi | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.2 | Elect Paul T. Cappuccio | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.3 | Elect Steve Ells | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.4 | Elect Neil Flanzraich | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.5 | Elect Robin Hickenlooper | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.6 | Elect Kimbal Musk | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.7 | Elect Ali Namvar | Mgmt |

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| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 1.8 | Elect Matthew H. Paull | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 4 | Ratification of Auditor | Mgmt |
| Chipotle Mexican Grill, Inc. | CMG | 169656105 | 5/25/2017 | 5 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHold |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 1 | Accounts and Reports | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 2 | Allocation of Profits | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 3 | Dividends from Reserves | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 4 | Ratification of Board Acts | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 5 | Election of Statutory Auditors | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 6 | Appointment of Auditor | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 7 | Appointment of Special Auditor | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 8 | Elect Evan G. Greenberg | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 9 | Elect Robert M. Hernandez | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 10 | Elect Michael G. Atieh | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 11 | Elect Sheila P. Burke | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 12 | Elect James I. Cash, Jr. | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 13 | Elect Mary A. Cirillo | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 14 | Elect Michael P. Connors | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 15 | Elect John A. Edwardson | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 16 | Elect Leo F. Mullin | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 17 | Elect Kimberly Ross | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 18 | Elect Robert W. Scully | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 19 | Elect Eugene B. Shanks, Jr. | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 20 | Elect Theodore Shasta | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 21 | Elect David H. Sidwell | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 22 | Elect Olivier Steimer | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 23 | Elect James M. Zimmerman | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 24 | Elect Evan G. Greenberg as Chairman of the Board | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 25 | Elect Michael P. Connors | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 26 | Elect Mary A. Cirillo | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 27 | Elect Robert M. Hernandez | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 28 | Elect Robert W. Scully | Mgmt |

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| Chubb Limited | CB | H1467J104 | 5/18/2017 | 29 | Elect James M. Zimmerman | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 30 | ELECTION OF HOMBURGER AG AS INDEPENDENT PROXY | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 31 | APPROVAL OF AMENDED AND RESTATED CHUBB LIMITED EMPLOYEE STOCK PURCHASE PLAN | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 32 | Board Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 33 | Executive Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 34 | Advisory Vote on Executive Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 35 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Chubb Limited | CB | H1467J104 | 5/18/2017 | 36 | Additional or Miscellaneous Proposals | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 1 | Elect David M. Cordani | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 2 | Elect Eric J. Foss | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 3 | Elect Isaiah Harris, Jr. | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 4 | Elect Jane E. Henney | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 5 | Elect Roman Martinez IV | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 6 | Elect Donna F. Zarcone | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 7 | Elect William D. Zollars | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 8 | Advisory Vote on Executive Compensation | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 9 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 10 | Amendment to the Cigna Long-Term Incentive Plan | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 11 | Ratification of Auditor | Mgmt |
| Cigna Corporation | CI | 125509109 | 4/26/2017 | 12 | Shareholder Proposal Regarding Proxy Access | ShrHold |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 1 | Elect Carol A. Bartz | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 2 | Elect M. Michele Burns | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 3 | Elect Michael D. Capellas | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 4 | Elect John T. Chambers | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 5 | Elect Amy Chang | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 6 | Elect John L. Hennessy | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 7 | Elect Kristina M. Johnson | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 8 | Elect Roderick C. McGearry | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 9 | Elect Charles H. Robbins | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 10 | Elect Arun Sarin | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 11 | Elect Steven M. West | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 12 | Advisory Vote on Executive Compensation | Mgmt |

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|--|------|-----------|------------|-----|--|---------|
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 13 | Ratification of Auditor | Mgmt |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 14 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 15 | Shareholder Proposal Regarding Breakdown of Workforce in Israel-Palestine | ShrHold |
| Cisco Systems, Inc. | CSCO | 17275R102 | 12/12/2016 | 16 | Shareholder Proposal Regarding Formation of Board Committee on Business with Israeli Settlements | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 1 | Elect Michael L. Corbat | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 2 | Elect Ellen M. Costello | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 3 | Elect Duncan P. Hennes | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 4 | Elect Peter B. Henry | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 5 | Elect Franz B. Humer | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 6 | Elect Renee J. James | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 7 | Elect Eugene M. McQuade | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 8 | Elect Michael E. O'Neill | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 9 | Elect Gary M. Reiner | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 10 | Elect Anthony M. Santomero | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 11 | Elect Diana L. Taylor | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 12 | Elect William S. Thompson, Jr. | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 13 | Elect James S. Turley | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 14 | Elect Deborah C. Wright | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 15 | Elect Ernesto Zedillo Ponce de Leon | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 16 | Ratification of Auditor | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 17 | Advisory Vote on Executive Compensation | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 18 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 19 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 20 | Shareholder Proposal Regarding Formation of Shareholder Value Committee | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 21 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 22 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHold |
| Citigroup Inc. | C | 172967424 | 4/25/2017 | 23 | Shareholder Proposal Regarding Government Service Vesting | ShrHold |
| ClearBridge Energy MLP Total Return Fund Inc | CTR | 18469Q108 | 4/7/2017 | 1.1 | Elect William R. Hutchinson | Mgmt |
| | CTR | 18469Q108 | 4/7/2017 | 1.2 | Elect Jane E. Trust | Mgmt |

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ClearBridge Energy
MLP Total Return Fund
Inc

| | | | | | | |
|-----------------------------------|-----|-----------|-----------|-----|-----------------------------|------|
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/20/2016 | 1.1 | Elect John F. Mee | Mgmt |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/20/2016 | 1.2 | Elect Vincent W. Versaci | Mgmt |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/20/2016 | 1.3 | Elect Edmund J. Burke | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.1 | Elect Robert L. Butler | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.2 | Elect Richard C. Rantzow | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.3 | Elect Vincent W. Versaci | Mgmt |
| Clough Global Equity Fund | GLQ | 18914C100 | 7/20/2016 | 1.4 | Elect James E. Canty | Mgmt |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/20/2016 | 1.1 | Elect Adam D. Crescenzi | Mgmt |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/20/2016 | 1.2 | Elect Jerry G. Rutledge | Mgmt |
| Clough Global Opportunities Fund. | GLO | 18914E106 | 7/20/2016 | 1.3 | Elect Vincent W. Versaci | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 1 | Elect Terrence A. Duffy | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 2 | Elect Timothy S. Bitsberger | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 3 | Elect Charles P. Carey | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 4 | Elect Dennis H. Chookaszian | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 5 | Elect Ana Dutra | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 6 | Elect Martin J. Gepsman | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 7 | Elect Larry G. Gerdes | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 8 | Elect Daniel R. Glickman | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 9 | Elect Leo Melamed | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 10 | Elect Alex J. Pollock | Mgmt |

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| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 11 | Elect John F. Sandner | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 12 | Elect Terry L. Savage | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 13 | Elect William R. Shepard | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 14 | Elect Dennis A. Suskind | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 15 | Ratification of Auditor | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 18 | Amendment to the Incentive Plan for Named Executive Officers | Mgmt |
| CME Group Inc. | CME | 12572Q105 | 5/24/2017 | 19 | Approval of the Amendment and Restatement of the Omnibus Stock Plan | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 1 | Elect Herbert A. Allen | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 2 | Elect Ronald W. Allen | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 3 | Elect Marc Bolland | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 4 | Elect Ana Patricia Botin | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 5 | Elect Richard M. Daley | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 6 | Elect Barry Diller | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 7 | Elect Helene D. Gayle | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 8 | Elect Alexis M. Herman | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 9 | Elect Muhtar Kent | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 10 | Elect Robert A. Kotick | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 11 | Elect Maria Elena Lagomasino | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 12 | Elect Sam Nunn | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 13 | Elect James Quincey | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 14 | Elect David B. Weinberg | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 17 | Ratification of Auditor | Mgmt |
| Coca-Cola Company | KO | 191216100 | 4/26/2017 | 18 | Shareholder Proposal Regarding Country Selection Guidelines | ShrHold |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 1 | Elect Zein Abdalla | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 2 | Elect Betsy Atkins | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 3 | Elect Maureen Breakiron-Evans | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 4 | Elect Jonathan Chadwick | Mgmt |

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|---|------|-----------|-----------|-----|--|---------|
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 5 | Elect John M. Dineen | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 6 | Elect Francisco D'Souza | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 7 | Elect John N. Fox, Jr. | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 8 | Elect John E. Klein | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 9 | Elect Leo S. Mackay, Jr. | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 10 | Elect Michael Patsalos-Fox | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 11 | Elect Robert E. Weissman | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 14 | Approval of the 2017 Incentive Award Plan | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 15 | Ratification of Auditor | Mgmt |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 16 | Shareholder Proposal Regarding Supermajority Provisions | ShrHold |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/6/2017 | 17 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.1 | Elect Michael Clark | Mgmt |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.2 | Elect Bonnie Cohen | Mgmt |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.3 | Elect Dean Junkans | Mgmt |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/27/2017 | 1.4 | Elect Richard E. Kroon | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 1 | Elect Charles A. Bancroft | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 2 | Elect John P. Bilbrey | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 3 | Elect John T. Cahill | Mgmt |

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|---------------------------|-----|-----------|-----------|------|---|---------|
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 4 | Elect Ian M. Cook | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 5 | Elect Helene D. Gayle | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 6 | Elect Ellen M. Hancock | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 7 | Elect C. Martin Harris | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 8 | Elect Lorrie Norrington | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 9 | Elect Michael B. Polk | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 10 | Elect Stephen I. Sadove | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 11 | Ratification of Auditor | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Colgate-Palmolive Company | CL | 194162103 | 5/12/2017 | 14 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHold |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.1 | Elect Bradley A. Alford | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.2 | Elect Thomas K. Brown | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.3 | Elect Stephen G. Butler | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.4 | Elect Sean M. Connolly | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.5 | Elect Steven F. Goldstone | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.6 | Elect Joie A. Gregor | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.7 | Elect Rajive Johri | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.8 | Elect William G. Jurgensen | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.9 | Elect Richard H. Lenny | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.10 | Elect Ruth Ann Marshall | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 1.11 | Elect Timothy R. McLevish | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 2 | Ratification of Auditor | Mgmt |
| Conagra Foods, Inc. | CAG | 205887102 | 9/23/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 1 | Elect Timothy A. Leach | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 2 | Elect William H. Easter III | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 3 | Elect John P. Surma | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 4 | Ratification of Auditor | Mgmt |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 5 | Advisory Vote on Executive Compensation | Mgmt |

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| Concho Resources Inc. | CXO | 20605P101 | 5/17/2017 | 6 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 1 | Elect Vincent A. Calarco | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 2 | Elect George Campbell, Jr. | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 3 | Elect Michael J. Del Giudice | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 4 | Elect Ellen V. Futter | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 5 | Elect John F. Killian | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 6 | Elect John McAvoy | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 7 | Elect Armando Olivera | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 8 | Elect Michael W. Ranger | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 9 | Elect Linda S. Sanford | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 10 | Elect L. Frederick Sutherland | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 11 | Ratification of Auditor | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 12 | Advisory Vote on Executive Compensation | Mgmt |
| Consolidated Edison, Inc. | ED | 209115104 | 5/15/2017 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.1 | Elect Jerry Fowden | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.2 | Elect Barry A. Fromberg | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.3 | Elect Robert L. Hanson | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.4 | Elect Ernesto M. Hernandez | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.5 | Elect James A. Locke III | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.6 | Elect Daniel J. McCarthy | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.7 | Elect Richard Sands | Mgmt |
| | STZ | 21036P108 | 7/20/2016 | 1.8 | Elect Robert Sands | Mgmt |

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| Constellation Brands, Inc. | | | | | | |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.9 | Elect Judy A. Schmeling | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 1.10 | Elect Keith E. Wandell | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 2 | Ratification of Auditor | Mgmt |
| Constellation Brands, Inc. | STZ | 21036P108 | 7/20/2016 | 3 | Advisory Vote on Executive Compensation | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 1 | Elect Donald W. Blair | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 2 | Elect Stephanie A. Burns | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 3 | Elect John A. Canning Jr. | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 4 | Elect Richard T. Clark | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 5 | Elect Robert F. Cummings, Jr. | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 6 | Elect Deborah A. Henretta | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 7 | Elect Daniel P. Huttenlocher | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 8 | Elect Kurt M. Landgraf | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 9 | Elect Kevin J. Martin | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 10 | Elect Deborah Rieman | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 11 | Elect Hansel E. Tookes II | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 12 | Elect Wendell P. Weeks | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 13 | Elect Mark S. Wrighton | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 16 | Ratification of Auditor | Mgmt |
| Corning Incorporated | GLW | 219350105 | 4/27/2017 | 17 | Amendment to the 2012 Long Term Incentive Plan | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 1 | Elect Donald J. Ehrlich | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 2 | Elect Linda P. Hefner Filler | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 3 | Elect Robert J. Hugin | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 4 | Elect Thomas P. Joyce, Jr. | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 5 | Elect Teri List-Stoll | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 6 | Elect Walter G. Lohr, Jr. | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 7 | Elect Mitchell P. Rales | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 8 | Elect Steven M. Rales | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 9 | Elect John T. Schwieters | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 10 | Elect Alan G. Spoon | Mgmt |

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| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 11 | Elect Raymond C. Stevens | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 12 | Elect Elias A. Zerhouni | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 13 | Ratification of Auditor | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 14 | Amendment to the 2007 Omnibus Incentive Plan | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 15 | Amendment to the 2007 Executive Incentive Compensation Plan | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 16 | Advisory Vote on Executive Compensation | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 17 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Danaher Corporation | DHR | 235851102 | 5/9/2017 | 18 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHold |
| Deere & Company | DE | 244199105 | 2/22/2017 | 1 | Elect Samuel R. Allen | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 2 | Elect Crandall C. Bowles | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 3 | Elect Vance D. Coffman | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 4 | Elect Alan C. Heuberger | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 5 | Elect Dipak C. Jain | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 6 | Elect Michael O. Johanns | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 7 | Elect Clayton M. Jones | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 8 | Elect Brian M. Krzanich | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 9 | Elect Gregory R. Page | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 10 | Elect Sherry M. Smith | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 11 | Elect Dmitri L. Stockton | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 12 | Elect Sheila G. Talton | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 13 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 15 | Ratification of Auditor | Mgmt |
| Deere & Company | DE | 244199105 | 2/22/2017 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHold |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.1 | Elect Thomas L. Bennett | Mgmt |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.2 | Elect Ann Borowiec | Mgmt |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.3 | Elect Joseph W. Chow | Mgmt |
| | DEX | 246060107 | 8/17/2016 | 1.4 | Elect John A. Fry | Mgmt |

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| Delaware Enhanced Global Dividend & Income Fund | | | | | | | |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.5 | Elect Shawn Lytle | | Mgmt |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.6 | Elect Frances A. Sevilla-Sacasa | | Mgmt |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.7 | Elect Thomas K. Whitford | | Mgmt |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.8 | Elect Lucinda S. Landreth | | Mgmt |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/17/2016 | 1.9 | Elect Janet L. Yeomans | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 1 | Elect Joseph S. Cantie | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 2 | Elect Kevin P. Clark | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 3 | Elect Gary L. Cowger | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 4 | Elect Nicholas M. Donofrio | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 5 | Elect Mark Frissora | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 6 | Elect Rajiv L. Gupta | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 7 | Elect Sean O. Mahoney | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 8 | Elect Timothy M. Manganello | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 9 | Elect Ana G. Pinczuk | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 10 | Elect Thomas W. Sidlik | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 11 | Elect Bernd Wiedemann | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 12 | Elect Lawrence A. Zimmerman | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 13 | Ratification of Auditor | | Mgmt |
| Delphi Automotive PLC | DLPH | G27823106 | 4/27/2017 | 14 | Advisory Vote on Executive Compensation | | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 1 | Elect William P. Barr | | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 2 | Elect Helen E. Dragas | | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 3 | Elect James O. Ellis, Jr. | | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 4 | Elect Thomas F. Farrell II | | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 5 | Elect John W. Harris | | Mgmt |

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| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 6 | Elect Ronald W. Jibson | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 7 | Elect Mark J. Kington | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 8 | Elect Joseph M. Rigby | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 9 | Elect Pamela L. Royal | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 10 | Elect Robert H. Spilman, Jr. | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 11 | Elect Susan N. Story | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 12 | Elect Michael E. Szymanczyk | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 13 | Ratification of Auditor | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 16 | Company Name Change | Mgmt |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHold |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 18 | Shareholder Proposal Regarding Climate Change Expertise on Board | ShrHold |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 19 | Shareholder Proposal Regarding Climate Change Reporting | ShrHold |
| Dominion Resources, Inc. | D | 25746U109 | 5/10/2017 | 20 | Shareholder Proposal Regarding Methane Emissions Report | ShrHold |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 1 | Elect Ajay Banga | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 2 | Elect Jacqueline K. Barton | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 3 | Elect James A. Bell | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 4 | Elect Richard K. Davis | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 5 | Elect Jeff M. Fettig | Mgmt |
| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 6 | Elect Andrew N. Liveris | Mgmt |
| | DOW | 260543103 | 5/11/2017 | 7 | Elect Mark Loughridge | Mgmt |

Dow Chemical
Company

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| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 8 | Elect Raymond J. Milchovich | Mgmt |
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| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 9 | Elect Robert S. Miller | Mgmt |
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| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 10 | Elect Paul Polman | Mgmt |
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| Dow Chemical Company | DOW | 260543103 | 5/11/2017 | 11 | Elect Dennis H. Reilley | Mgmt |
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