

APACHE CORP
Form 4
May 17, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHRISTMANN JOHN J

(Last) (First) (Middle)

2000 POST OAK BLVD., SUITE
100

(Street)

HOUSTON, TX 77056-4400

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
APACHE CORP [APA]

3. Date of Earliest Transaction
(Month/Day/Year)
05/16/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/16/2017		M ⁽¹⁾	4,843 A \$ 0	76,733.937	D	
Common Stock	05/16/2017		F ⁽²⁾	2,032 D \$ 51.45	74,701.937	D	
Common Stock	05/17/2017		M ⁽³⁾	2,195 A \$ 0	76,896.937	D	
Common Stock	05/17/2017		F ⁽⁴⁾	921 D \$ 50.97	75,975.937	D	
Common Stock					1,407.134	I	By JJC IV 1984 Trust

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Common Stock	2,888.208	I	Held by Trustee of 401(k) Plan
Common Stock	45,382.317	I	Held by Trustee of NQ Plan
Common Stock	1,039.997	I	By JJC V 1998 Trust
Common Stock	1,039.997	I	By CAC 1998 Trust
Common Stock	1,039.997	I	By CEC 2003 Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Number of Shares (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Restricted Stock / Units ⁽⁵⁾	\$ 0 ⁽⁶⁾	05/16/2017		M	4,843	⁽¹⁾ ⁽¹⁾	Common Stock	4,843
Restricted Stock / Units ⁽⁵⁾	\$ 0 ⁽⁶⁾	05/17/2017		M	2,195	⁽³⁾ ⁽³⁾	Common Stock	2,195

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

CHRISTMANN JOHN J
2000 POST OAK BLVD.
SUITE 100
HOUSTON, TX 77056-4400

X

CEO and President

Signatures

Raj Sharma,
Attorney-in-Fact

05/17/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting on 05/13/2017 of restricted stock units under employer plan - data provided by plan administrator on 05/16/2017. Vesting occurs 25% per year over four years.
- (2) Shares withheld to cover required tax withholding on vesting of restricted stock effective as of 05/13/2017 - data provided by plan administrator on 05/16/2017.
- (3) Vesting on 05/16/2017 of restricted stock units under employer plan - data provided by plan administrator on 05/17/2017. Vesting occurs 25% per year over four years.
- (4) Shares withheld to cover required tax withholding on vesting of restricted stock effective as of 05/16/2017 - data provided by plan administrator on 05/17/2017.
- (5) With tandem tax withholding right
- (6) One share of Apache common stock for each restricted stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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