

APACHE CORP  
Form 4  
January 05, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ricotta Dominic

(Last) (First) (Middle)

2000 POST OAK BLVD., STE 100

(Street)

HOUSTON, TX 77056

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
APACHE CORP [APA]

3. Date of Earliest Transaction (Month/Day/Year)  
01/04/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Sr. Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/04/2017		M <sup>(1)</sup>	436	A	\$ 0	436	D	
Common Stock	01/04/2017		F <sup>(2)</sup>	183	D	\$ 63.47	253	D	
Common Stock	01/04/2017		M <sup>(3)</sup>	1,149	A	\$ 0	1,402	D	
Common Stock	01/04/2017		F <sup>(4)</sup>	483	D	\$ 63.47	919	D	
Common Stock							5,950.71	I	Held by Trustee 401(k)

Common Stock	4,452.545	I	Plan Held by Trustee NQ Plan
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock / Units <sup>(5)</sup>	\$ 0 <sup>(6)</sup>	01/04/2017		A	3,544	<sup>(7)</sup>	<sup>(7)</sup>	Common Stock	3,544
Restricted Stock / Units <sup>(5)</sup>	\$ 0 <sup>(6)</sup>	01/04/2017		M	436	<sup>(1)</sup>	<sup>(1)</sup>	Common Stock	436
Restricted Stock / Units <sup>(5)</sup>	\$ 0 <sup>(6)</sup>	01/04/2017		M	1,149	<sup>(3)</sup>	<sup>(3)</sup>	Common Stock	1,149

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ricotta Dominic 2000 POST OAK BLVD. STE 100 HOUSTON, TX 77056			Sr. Vice President	

## Signatures

Raj Sharma,  
Attorney-in-Fact

01/05/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (2) Shares withheld to cover required tax withholding on RSU vesting under 2013 Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (3) RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from the plan administrator on 01/04/2017.
- (4) Shares withheld to cover required tax withholding on RSU vesting under 2014 Business Performance Program under 2011 Omnibus Equity Compensation Plan. Data received from plan administrator on 01/04/2017.
- (5) With tandem tax withholding right
- (6) One share of Apache common stock for each restricted stock unit.
- (7) Restricted stock units granted 12/30/2016 under employer plan - data provided by plan administrator on 01/04/2017. The units vest 100% on 01/01/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.