Red Oak Partners, LLC Form 4 October 03, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

January 31,

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per 0.5 response...

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Red Oak Par	•	orting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol Electromed, Inc. [ELMD]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Sheen an approacte)			
1441 BROADWAY, SUITE 5022			(Month/Day/Year) 09/29/2017	Director X 10% Owner Officer (give title below) Other (specify below)			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORK, NY 10018				Form filed by More than One Reporting Person			

<b>NEW</b>	YORK,	NY	10018
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(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/29/2017		S	418	D	\$ 7.555 (6)	230,894	I	By The Red Oak Fund, L.P. directly
Common Stock	09/29/2017		S	178	D	\$ 7.555 (6)	98,190	I	By The Red Oak Long Fund, L.P. directly (2) (5)
Common Stock	09/29/2017		S	663	D	\$ 7.555 (6)	366,648	I	By The Red Oak Institutional Founders

								Long Fund, L.P. directly
Common Stock	09/29/2017	S	271	D	\$ 7.555 (6)	149,883	I	By Pinnacle Opportunities Fund, LP directly (4) (5)
Common Stock	10/02/2017	S	838	D	\$ 7.49 (7)	230,056	I	By The Red Oak Fund, L.P. directly
Common Stock	10/02/2017	S	356	D	\$ 7.49 (7)	97,834	I	By The Red Oak Long Fund, L.P. directly (2) (5)
Common Stock	10/02/2017	S	1,332	D	\$ 7.49 (7)	365,316	I	By The Red Oak Institutional Founders Long Fund, L.P. directly
Common Stock	10/02/2017	S	544	D	\$ 7.49 (7)	149,339	I	By Pinnacle Opportunities Fund, LP directly (4) (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date		Amount or Number	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

of Shares

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Red Oak Partners, LLC 1441 BROADWAY SUITE 5022 NEW YORK, NY 10018

X

# **Signatures**

David Sandberg 10/03/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Red Oak Partners, LLC ("ROP") serves as the general partner of The Red Oak Fund, LP, a Delaware limited partnership (the "Fund"), the direct owner of the subject securities. David Sandberg is the managing member of ROP and the Fund's portfolio manager.
- (2) ROP serves as the general partner of The Red Oak Long Fund, LP, a Delaware limited partnership (the "Long Fund"), the direct owner of the subject securities. David Sandberg is the managing member of ROP and the Long Fund's portfolio manager.
- ROP serves as the general partner of The Red Oak Institutional Founders Long Fund, LP, a Delaware limited partnership (the "Founders (3) Fund"), the direct owner of the subject securities. David Sandberg is the managing member of ROP and the Founders Fund's portfolio manager.
- ROP serves as a managing member of Pinnacle Capital Partners, LLC, a Florida limited liability company ("Pinnacle Partners"). Pinnacle (4) Partners is the general partner of Pinnacle Opportunities Fund, LP, a Delaware limited partnership ("Pinnacle Fund"), the direct owner of the subject securities.
- Each Reporting Person disclaims beneficial ownership of all securities reported herein, except to the extent of their pecuniary interest (5) therein, if any, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the shares for purposes of Section 16 of the Securities and Exchange Act of 1934 or for any other purpose.
- This transaction was executed in multiple trades at prices ranging from \$7.54 to \$7.635. The price reported in Column 4 of Table I reflects the weighted average transaction price. The reporting persons hereby undertake to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which each of the individual transactions was effected.
- This transaction was executed in multiple trades at prices ranging from \$7.45 to \$7.62. The price reported in Column 4 of Table I reflects the weighted average transaction price. The reporting persons hereby undertake to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which each of the individual transactions was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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