Formolo Thomas J Form 4 May 01, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* Formolo Thomas J

(First)

2. Issuer Name and Ticker or Trading Symbol

Issuer

ARC DOCUMENT SOLUTIONS,

INC. [ARC]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 05/01/2019

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

Family

(3)

C/O ARC DOCUMENT SOLUTIONS, INC., 12657 ALCOSTA BLVD., SUITE 200

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

32,441

I

SAN RAMON, CA 94583

| (City)                               | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                     |        |      |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|--|---------------------|--------|------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | on(A) or Dis<br>(D) | (A) or | of   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 05/01/2019                           |  | A                                      | 26,201<br>(1)       | A      | \$ 0 | 193,915  | D  |   |  |  |
| Common<br>Stock                      |                                      |  |  |                     |        |      | 12,740   | I  | By Danish-Italian Investors, L.P., Series A                 |  |  |
|                                      |                                      |  |  |                     |        |      |  |  | By Andersen-Formolo   |  |  |

Foundation-Q52455005

eries A

#### Edgar Filing: Formolo Thomas J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|            | 1. Title of | 2.               | 3. Transaction Date | 3A. Deemed              | 4.         | 5.              | 6. Date Exerc    | cisable and | 7. Titl          | le and     | 8. Price of | 9 |
|------------|-------------|------------------|---------------------|-------------------------|------------|-----------------|------------------|-------------|------------------|------------|-------------|---|
| Derivative | Conversion  | (Month/Day/Year) | Execution Date, if  | TransactionNumber       |            | Expiration Date |                  | Amou        | int of           | Derivative | J           |   |
|            | Security    | or Exercise      |                     | any<br>(Month/Day/Year) | Code       | of              | (Month/Day/Year) |             | Under            | lying      | Security    | , |
| (Instr. 3) | (Instr. 3)  | Price of         |                     |                         | (Instr. 8) | Derivative      |                  |             | Securities       |            | (Instr. 5)  | ] |
|            |             | Derivative       |                     |                         |            | Securities      |                  |             | (Instr. 3 and 4) |            | (           |   |
|            |             | Security         |                     |                         |            | Acquired        |                  |             |                  |            |             | ] |
|            |             | •                |                     |                         |            | (A) or          |                  |             |                  |            |             | ] |
|            |             |                  |                     |                         |            | Disposed        |                  |             |                  |            |             | - |
|            |             |                  |                     |                         |            | of (D)          |                  |             |                  |            |             | ( |
|            |             |                  |                     |                         |            | (Instr. 3,      |                  |             |                  |            |             |   |
|            |             |                  |                     |                         |            | 4, and 5)       |                  |             |                  |            |             |   |
|            |             |                  |                     |                         |            |                 |                  |             |                  |            |             |   |
|            |             |                  |                     |                         |            |                 |                  |             |                  | Amount     |             |   |
|            |             |                  |                     |                         |            | Date            | Expiration       |             | or               |            |             |   |
|            |             |                  |                     |                         |            |                 | Exercisable Date | -           | Title            | Number     |             |   |
|            |             |                  |                     |                         |            |                 |                  |             |                  | of         |             |   |
|            |             |                  |                     |                         | Code V     | (A) (D)         |                  |             |                  | Shares     |             |   |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Formolo Thomas J C/O ARC DOCUMENT SOLUTIONS, INC. 12657 ALCOSTA BLVD., SUITE 200 SAN RAMON, CA 94583



### **Signatures**

/s/ Jorge Avalos, Attorney-in-Fact 05/01/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock which vests 100% on the first anniversary of the grant date.
- (2) Thomas J. Formolo is a partner of Danish-Italian Investors, L.P., Series A. Mr. Formolo could be deemed to have beneficial ownership of all of these shares but disclaims beneficial ownership except to his pecuniary interest therein.
- (3) Thomas J. Formolo is the President of Andersen-Formolo Foundation Q52455005. Mr. Formolo could be deemed to have a beneficial ownership of all of these shares but disclaims beneficial ownership except to his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

9. Nu Deriv Secur Bene Own Follo Repo Trans