EQUINIX INC Form 4 November 13, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. *See* Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> Luby William K

(First)

(Street)

(State)

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(Middle)

EQUINIX INC [EQIX]
3. Date of Earliest Transaction

(Check all applicable)

ONE LAGOON DRIVE

(Month/Day/Year)

11/10/2015

\_X\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify below) below)

6. Individual or Joint/Group Filing(Check

\_ 11,10,201.

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

REDWOOD CITY, CA 94065

(City)	(State) (A	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securi		or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)
					(A)		Reported		
					or		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	11/10/2015		A <u>(1)</u>	1,391 (1)	A	\$ 0 (1)	39,184 (1)	D	
Common Stock	11/10/2015		A <u>(1)</u>	190 (1)	A	\$ 0 (1)	5,358 (1)	I	Luby Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price (Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	11/10/2015		A(2)	77 (2)	(2)	(2)	Common Stock	2,189 (2)	\$ 0
Restricted Stock Units	\$ 0	11/10/2015		A(3)	48 (3)	(3)	(3)	Common Stock	1,352 (3)	\$ 0
Restricted Stock Units	\$ 0	11/10/2015		A <u>(4)</u>	47 (4)	<u>(4)</u>	<u>(4)</u>	Common Stock	1,339 (4)	\$ 0
Restricted Stock Units	\$ 0	11/10/2015		A(5)	47 (5)	<u>(5)</u>	<u>(5)</u>	Common Stock	1,340 (5)	\$ 0
Restricted Stock Units	\$ 0	11/10/2015		A <u>(6)</u>	34 (6)	<u>(6)</u>	<u>(6)</u>	Common Stock	959 <u>(6)</u>	\$ 0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
Luby William K ONE LAGOON DRIVE REDWOOD CITY, CA 94065	X					

## **Signatures**

Darrin B. Short, Attorney-in-Fact

\*\*Signature of Reporting Person Date

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#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This report reflects shares received by the Reporting Person as a result of the Reporting Person's receipt of the pro rata portion of a special distribution declared by the Board of Directors of the issuer on September 28, 2015 and paid on November 10, 2015 (the "Special Distribution").
  - Includes 77 additional unvested restricted stock units ("RSUs") allocated on November 10, 2015 in connection with the Special Distribution and associated with the previously reported RSU grant received by the Reporting Person on June 9, 2011 and have been
- (2) vested in full as of June 9, 2012. Pursuant to a deferral election, vested shares will be delivered to the Reporting Person February 15, 2020 or thirty days after the Reporting Person's separation from service or earlier in the event the Company is subject to a change in control event or in the event of the Reporting Person's death.
  - Includes 48 additional unvested restricted stock units ("RSUs") allocated on November 10, 2015 in connection with the Special Distribution and associated with the previously reported RSU grant received by the Reporting Person on June 5, 2012 and have been
- (3) vested in full as of June 5, 2013. Pursuant to a deferral election, vested shares will be delivered to the Reporting Person February 15, 2020 or thirty days after the Reporting Person's separation from service or earlier in the event the Company is subject to a change in control event or in the event of the Reporting Person's death.
  - Includes 47 additional unvested restricted stock units ("RSUs") allocated on November 10, 2015 in connection with the Special Distribution and associated with the previously reported RSU grant received by the Reporting Person on June 5, 2013 and have been
- (4) vested in full as of June 5, 2014. Pursuant to a deferral election, vested shares will be delivered to the Reporting Person February 15, 2020 or thirty days after the Reporting Person's separation from service or earlier in the event the Company is subject to a change in control event or in the event of the Reporting Person's death.
  - Includes 47 additional unvested restricted stock units ("RSUs") allocated on November 10, 2015 in connection with the Special Distribution and associated with the previously reported RSU grant received by the Reporting Person on June 4, 2014 and have been
- (5) vested in full as of June 4, 2015. Pursuant to a deferral election, vested shares will be delivered to the Reporting Person February 15, 2020 or thirty days after the Reporting Person's separation from service or earlier in the event the Company is subject to a change in control event or in the event of the Reporting Person's death.
  - Includes 34 additional unvested restricted stock units ("RSUs") allocated on November 10, 2015 in connection with the Special Distribution and associated with the previously reported RSU grant received by the Reporting Person on May 27, 2015. The Restricted Stock Units shall vest on the earlier of (i) the one year anniversary of the grant or (ii) if the Reporting Person does not stand for
- re-election as a director of the Company, the date of the regular meeting of the Company's stockholders held in the calendar year subsequent to the grant date; provided that, in either case, the Reporting Person remains in continuous Service through such vesting date. Pursuant to a deferral election, vested shares will be delivered to the Reporting Person February 15, 2022 or thirty days after the Reporting Person's separation from service or earlier in the event the Company is subject to a change in control event or in the event of the Reporting Person's death.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.