

CIRRUS LOGIC INC
Form 4
November 05, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Stein Thomas

(Last) (First) (Middle)

800 WEST 6TH STREET

(Street)

AUSTIN, TX 78701

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CIRRUS LOGIC INC [CRUS]

3. Date of Earliest Transaction (Month/Day/Year)
10/06/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

VP & GM Energy Exploration

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	10/06/2013		M		14,000 A \$ 0 ⁽¹⁾	14,000	D
Common Stock	10/06/2013		F		5,753 D \$ 0 ⁽¹⁾	8,247	D
Common Stock	11/01/2013		M ⁽²⁾		105 A \$ 6.56	8,352	D
Common Stock	11/01/2013		S		105 D \$ 22.1483	8,247	D
Common Stock	11/01/2013		M ⁽²⁾		105 A \$ 6.63	8,352	D

Edgar Filing: CIRRUS LOGIC INC - Form 4

Common Stock	11/01/2013	S	105	D	\$ 22.1483	8,247	D
Common Stock	11/01/2013	M ⁽²⁾	4,500	A	\$ 5.25	12,747	D
Common Stock	11/01/2013	S	4,500	D	\$ 22.1483	8,247	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
					V	(A) (D)		
Incentive Stock Option (right to buy)	\$ 5.25	11/01/2013		M		4,500	10/01/2009 ⁽³⁾ 10/01/2018	Common Stock
Incentive Stock Option (right to buy)	\$ 6.63	11/01/2013		M		105	06/04/2009 ⁽⁴⁾ 06/04/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 6.56	11/01/2013		M		105	08/02/2007 ⁽⁵⁾ 08/02/2016	Common Stock
Restricted Stock Units	<u>(1)</u>	10/06/2013		M		14,000	10/06/2013 <u>(6)</u>	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stein Thomas 800 WEST 6TH STREET AUSTIN, TX 78701			VP & GM Energy Exploration	

Signatures

By: Gregory Scott Thomas Attorney-in-fact For: Thomas
Stein

11/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit was the economic equivalent of one share of common stock. The restricted stock unit vested on October 6, 2013, and the Company withheld sufficient shares for payment of required tax liabilities.
- (2) These transactions were effected to a Rule 10b5-1 Plan adopted by the reporting person on August 23, 2013.
- (3) Only vested shares can be exercised under this option. 25% of the shares vested on 10/01/09. The remaining 75% of the shares vested and became exercisable over the following 36 months so that the option was fully vested on 10/01/12.
- (4) Only vested shares can be exercised under this option. 25% of the shares vested on 06/04/09. The remaining 75% of the shares vested and became exercisable over the following 36 months so that the option was fully vested on 06/04/12.
- (5) Only vested shares can be exercised under this option. 25% of the shares vested on 08/02/07. The remaining 75% of the shares vested and became exercisable over the following 36 months so that the option was fully vested on 08/02/10.
- (6) Expiration date of 10/06/2013

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.