Edgar Filing: FITCH SANFORD - Form 4

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July 02, 200)9										
FORM	/ / /		CECU	DIFIE					PPROVA	۱L	
Washington, D.C. 20549									3235-		
	Check this box if no longer while t to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Januar	ry 31, 2005	
subject Section Form 4	to STATEN 16. or				Estimated burden hou response	average urs per	0.5				
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> FITCH SANFORD				er Name an X CORP		Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 3. Date of Earliest Transaction						(Chi	eek an appliedol	()			
1212 TERI	NUE	(Month/Day/Year) 07/01/2009				X_ Director 10% Owner Officer (give title Other (specify below) below)					
		4. If Amendment, Date Original Filed(Month/Day/Year)			ıl	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
MOUNTA	IN VIEW, CA 94	043					Person	More than One R	eporting		
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	đ	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit onAcquired Disposed (Instr. 3, 4)	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al ip	
Reminder: Re	port on a separate line	e for each cla	ass of sec	urities bene	Perso inform requir	ns who re nation con red to resp ays a curre	or indirectly. spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					posed of, or convertible	• Beneficially Owner securities)	d			

1. Title of		3. Transaction Date (Month/Day/Year)			6. Date Exercisable and	7. Title and Amount of Underlying Securities
	or Exercise	(Wonul/Day/Teal)	anv	Code	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)			(Month/Day/Year)		(1101101.2 uj (1 0 u)	(insure and i)

	Derivative Security				(A) orDisposed(D)(Instr. 3, and 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option Right to Buy (1)	\$ 2.27	07/01/2009	A		5,000		08/01/2009 <u>(2)</u>	07/01/2016	Common Stock	5,000
Reporting Owners										

Reporting Owner Name / Address					
	Director 10% Owner		Officer	Other	
FITCH SANFORD 1212 TERRA BELLA AVENUE MOUNTAIN VIEW, CA 94043	Х				
Signatures					
/s/ Susan Bruce, Attorney-in-Fact Fitch	rd	07/02/2	.009		
**Signature of Reporting Person		Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was granted pursuant to IRIDEX Corporation's 2008 Equity Incentive Plan and is exempt to Rule 16b-3.
- (2) The shares vest as follows: 1/12th of the shares shall vest one month after the Vesting Commencement Date and 1/12th each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.