

CARLSON THOMAS J

Form 4

February 25, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CARLSON THOMAS J

2. Issuer Name **and** Ticker or Trading  
Symbol

GREAT SOUTHERN BANCORP,  
INC. [GSBC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

01/16/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

CARE OF GREAT SOUTHERN  
BANK, 218 S. GLENSTONE AVE

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting  
Person

SPRINGFIELD, MO 65802

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |        |   |        |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|--------|---|--------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                                             |        |   |        |
| Common<br>stock                       | 01/16/2019                              |                                                             | J <sup>(1)</sup>                     | V                                                                       | 87                                                                                                                 | A                                                                       | \$<br>49.6884                                                     | 13,642 | I | Spouse |
| Common<br>stock                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         |                                                                   | 100    | D |        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not  
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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Derivative Security (Instr. 3) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------|---------------------------------------------------------------|-----------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date | Title                                                         | Amount or Number of Shares                          |
| Option to purchase                         | \$ 32.59                                               |                                      |                                                    |                                |                                                                                         | (2)                                                      | 10/15/2024      | Common stock                                                  | 5,000                                               |
| Option to purchase                         | \$ 41.3                                                |                                      |                                                    |                                |                                                                                         | (3)                                                      | 10/24/2026      | Common Stock                                                  | 2,000                                               |
| Option to purchase                         | \$ 52.2                                                |                                      |                                                    |                                |                                                                                         | (4)                                                      | 11/15/2027      | Common Stock                                                  | 2,000                                               |
| Option to purchase                         | \$ 55                                                  |                                      |                                                    |                                |                                                                                         | (5)                                                      | 11/28/2028      | Common stock                                                  | 2,000                                               |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

CARLSON THOMAS J  
CARE OF GREAT SOUTHERN BANK  
218 S. GLENSTONE AVE  
SPRINGFIELD, MO 65802

## Signatures

Matt Snyder, Attorney-in-fact for Thomas J. Carlson

02/25/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) DRIP acquisition exempt from Section 16 reporting being reported voluntarily.

(2) 1,250 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019

(3) 500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021

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(4) 500 shares vest on 11/15/2019, 11/15/2020, 11/15/2021 and 11/15/2022

(5) 500 shares vest on 11/28/2020, 11/28/2021, 11/28/2022 and 11/28/2023

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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