GORDON BETH G

Form 4 April 03, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GORDON BETH G** 

2. Issuer Name and Ticker or Trading

Symbol

DIAMOND OFFSHORE

5. Relationship of Reporting Person(s) to Issuer

DRILLING, INC. [DO]

3. Date of Earliest Transaction

(Month/Day/Year) 04/01/2019

Director 10% Owner

(Check all applicable)

X\_ Officer (give title below)

Other (specify below) Controller

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

15415 KATY FREEWAY, SUITE 100

(Street)

(First)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON,	TX	/*/094
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(City)	(State)	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired saction(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	04/01/2019		M	1,336	A	<u>(1)</u>	6,291	D	
Common Stock	04/01/2019		F	319	D	\$ 11.24	5,972	D	
Common Stock	04/01/2019		M	1,261	A	<u>(1)</u>	7,233	D	
Common Stock	04/01/2019		F	308	D	\$ 11.24	6,925	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	04/01/2019		M		1,336	(2)	(2)	Common Stock	1,336
Restricted Stock Units	<u>(1)</u>	04/01/2019		M		1,261	(3)	(3)	Common Stock	1,261
Restricted Stock Units	<u>(4)</u>	04/01/2019		A	2,367		<u>(5)</u>	<u>(5)</u>	Common Stock	2,367

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GORDON BETH G 15415 KATY FREEWAY SUITE 100 HOUSTON, TX 77094

Controller

## **Signatures**

/s/ Terence W. Waldorf Attorney-in-Fact for Beth G. Gordon

04/03/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.

Reporting Owners 2

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- (2) The restricted stock units that were awarded on April 1, 2016 vest in two equal installments on April 1, 2018 and April 1, 2019. One share of common stock will be delivered with respect to each vested restricted stock unit within 30 days after the applicable vesting date.
- (3) The restricted stock units that were awarded on April 1, 2017 vest in two equal installments on April 1, 2019 and April 1, 2020. One share of common stock will be delivered with respect to each vested restricted stock unit within 30 days after the applicable vesting date.
- (4) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (5) The restricted stock units vest in two equal installments on April 1, 2021 and April 1, 2022. One share of common stock will be delivered with respect to each vested restricted stock unit within 30 days after the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.