

Kottmann Sherri
Form 3
April 01, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Kottmann Sherri

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/01/2019

3. Issuer Name and Ticker or Trading Symbol

FORRESTER RESEARCH, INC. [FORR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other
(give title below) (specify below)

Chief People Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)
 X Form filed by One Reporting Person

___ Form filed by More than One Reporting Person

C/O FORRESTER RESEARCH,
INC.,Â 60 ACORN PARK
DRIVE

(Street)

CAMBRIDGE,Â MAÂ 02140

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

978

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|----------------------------------------------|---------------------|--------------------|-----------------|----------------------------------|---------------|------------------------------------------------|---|
| Non Qualified Stock Option (Right to Buy) | 04/01/2015 | 06/30/2021 | Common Stock | 500 | \$ 33.03 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 05/14/2016 | 05/13/2022 | Common Stock | 1,000 | \$ 33.81 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 07/02/2016 | 07/01/2022 | Common Stock | 1,500 | \$ 34.18 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 03/01/2017 | 02/28/2023 | Common Stock | 500 | \$ 27.55 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 06/03/2017 | 06/02/2023 | Common Stock | 1,500 | \$ 36.18 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 03/03/2018 | 03/02/2024 | Common Stock | 500 | \$ 35.94 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 08/01/2018 | 07/31/2024 | Common Stock | 500 | \$ 38.43 | D | Â |
| Non Qualified Stock Option (Right to Buy) | 08/03/2019 | 08/02/2025 | Common Stock | 1,250 | \$ 33.16 | D | Â |
| Non Qualified Stock Option (Right to Buy) | Â <u>(1)</u> | 08/31/2026 | Common Stock | 3,500 | \$ 40.75 | D | Â |
| Restricted Stock Units | Â <u>(2)</u> | Â <u>(2)</u> | Common Stock | 104 | \$ <u>(3)</u> | D | Â |
| Restricted Stock Units | Â <u>(4)</u> | Â <u>(4)</u> | Common Stock | 504 | \$ <u>(3)</u> | D | Â |
| Restricted Stock Units | Â <u>(5)</u> | Â <u>(5)</u> | Common Stock | 681 | \$ <u>(3)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|-----------------------------------------------------------------------------------------------|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Kottmann Sherri C/O FORRESTER RESEARCH, INC. 60 ACORN PARK DRIVE CAMBRIDGE, MA 02140 | Â | Â | Â Chief People Officer | Â |

Signatures

Maite Garcia, attorney-in-fact for Sherri Kottman 04/01/2019

 **Signature of Reporting Person

____ Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Options become exercisable in four equal installments on the first, second, third, and fourth anniversaries of the grant date.
- (2) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock on August 3, 2019.
- (3) Each Restricted Stock Unit represents the right to receive, following vesting, one share of Forrester Research, Inc. common stock.
- (4) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock in three equal installments on August 1, 2019, August 1, 2020, and August 1, 2021.
- (5) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock in four equal installments on August 1, 2019, August 1, 2020, August 1, 2021 and August 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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