

TRAVIS NIGEL
Form 4
November 06, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TRAVIS NIGEL

2. Issuer Name and Ticker or Trading Symbol
DUNKIN' BRANDS GROUP, INC.
[DNKN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O DUNKIN' BRANDS GROUP, INC., 130 ROYALL STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/02/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Exec. Chairman

CANTON, MA 02021

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/02/2018		M		1,945 A \$ 37.26	257,326	D
Common Stock	11/02/2018		S		1,945 D \$ 72.3	255,381	D
Common Stock	11/05/2018		M		147,630 A \$ 37.26	403,011	D
Common Stock	11/05/2018		S		147,630 D \$ 72.35	255,381	D
	11/06/2018		M		7,395 A	262,776	D

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Common Stock					\$ 37.26		
Common Stock	11/06/2018		M	71,692	A	\$ 47.39	334,468 D
Common Stock	11/06/2018		M	70,000	A	\$ 44.35	404,468 D
Common Stock	11/06/2018		S	149,087	D	\$ 72.35 <u>(2)</u>	255,381 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 37.26	11/02/2018		M	1,945	<u>(3)</u>	02/12/2023	Common Stock	1,945
Option to Purchase Common Stock	\$ 37.26	11/05/2018		M	147,630	<u>(3)</u>	02/12/2023	Common Stock	147,630
Option to Purchase Common Stock	\$ 37.26	11/06/2018		M	7,395	<u>(3)</u>	02/12/2023	Common Stock	7,395
Option to Purchase Common Stock	\$ 47.39	11/06/2018		M	71,692	<u>(4)</u>	02/12/2022	Common Stock	71,692
Option to Purchase	\$ 44.35	11/06/2018		M	70,000	<u>(5)</u>	02/23/2023	Common Stock	70,000

