Andersons, Inc. Form 4 January 06, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ANDERSON DANIEL T Issuer Symbol Andersons, Inc. [ANDE] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify 480 W DUSSEL DR 01/06/2017 below) President, Retail (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MAUMEE, OH 43537 Person

			T CISON							
(City)	(State	e) (Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		ispose 4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK		01/06/2017		F	708	D	\$ 44.7	248,992.5	D	
COMMON STOCK		01/06/2017		<u>J(1)</u>	26.5	A	\$ 44.7	249,019	D	
COMMON STOCK								26,179.46	I	HELD BY RICHARD P. ANDERSON LLC
COMMON STOCK								20,373.25	I	LYNN ANDERSON, SPOUSE,

**OMB APPROVAL** 

3235-0287

January 31,

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Number:

Expires:

response...

Estimated average

burden hours per

			HELD BY RICHARD P. ANDERSON LLC
COMMON STOCK	1,422.62	I	Helen Anderson, child, shares held by Richard P Anderson LLC
COMMON STOCK	1,422.62	I	Dick Anderson, child, shares held by Richard P Anderson LLC
COMMON STOCK	108,320.24	I	The Daniel T. Anderson Irrevocable Family Trust
PERFORMANCE SHARE UNIT (2017)	2,570 (2)	D	
PERFORMANCE SHARE UNIT (2018)	3,142 (2)	D	
PERFORMANCE SHARE UNIT (EPS) (2019)	2,664 (2)	D	
PERFORMANCE SHARE UNIT (TSR) (2019)	2,664 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative			Securities			(Instr. 3 and 4)		Own
	Security			Acquired				Follo	

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(A) or Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable Date

of

Number Shares

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

ANDERSON DANIEL T 480 W DUSSEL DR MAUMEE, OH 43537

President, Retail

## **Signatures**

Daniel Anderson, by: Mary Schroeder, Limited Power of 01/06/2017 Attorney

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend equivalent received.
- Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.
- Stock performance unit (TSR) granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on the Company's (3) annualized total shareholder return. Number of underlying shares are based upon the level of satisfaction of the total shareholder return for the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3

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