

Restoration Hardware Holdings Inc  
 Form 4  
 April 01, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Dunaj Kenneth J

2. Issuer Name and Ticker or Trading Symbol  
 Restoration Hardware Holdings Inc [RH]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chief Operating Officer

(Last) (First) (Middle)  
 C/O RESTORATION HARDWARE HOLDINGS, INC., 15 KOCH ROAD, SUITE J

3. Date of Earliest Transaction (Month/Day/Year)  
 03/28/2014

(Street)  
 CORTE MADERA, CA 94925

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock                    | 03/28/2014                           |  | S <sup>(1)</sup>               |   | 10,000  | D  | \$ 70 75,280                      |
| Common Stock                    | 03/31/2014                           |  | S <sup>(1)</sup>               |   | 10,000  | D  | \$ 73 65,280                      |
| Common Stock                    | 04/01/2014                           |  | M <sup>(1)</sup>               |   | 23,900 <sup>(2)</sup>   | A  | \$ 29 89,180                      |
| Common Stock                    | 04/01/2014                           |  | S <sup>(1)</sup>               |   | 23,900  | D  | \$ 75 65,280                      |
|                                 | 04/01/2014                           |  | S <sup>(1)</sup>               |   | 10,000  | D  | \$ 75 55,280                      |

Edgar Filing: Restoration Hardware Holdings Inc - Form 4

Common  
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 29  | 04/01/2014                           |  | M                              | 23,900  | <sup>(3)</sup> 10/31/2022                                | Common stock  | 23,900                        |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships   |
|---|---|
| Dunaj Kenneth J<br>C/O RESTORATION HARDWARE HOLDINGS, INC.<br>15 KOCH ROAD, SUITE J<br>CORTE MADERA, CA 94925 | Director 10% Owner Officer<br><br>Chief Operating Officer |

## Signatures

/s/ Edward Lee as  
Attorney-In-Fact

04/01/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan.
- (2) These shares of common stock were issued upon exercise of stock options granted to the reporting person on November 1, 2012.

## Edgar Filing: Restoration Hardware Holdings Inc - Form 4

- (3) This option was granted on November 1, 2012. This option is fully vested and is subject to time-based selling restrictions, which, subject to continuous service, lapse in four equal installments on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.