### Edgar Filing: ASSISTED LIVING CONCEPTS INC - Form 4/A

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ASSISTED L Form 4/A July 15, 2013	IVING CONCEPTS	S INC								
FORM	Л								PPROVAL	
	UNITED STA		SECURITIES AND EXCHANGE C Washington, D.C. 20549				COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	<ul> <li>ger</li> <li>STATEMENT OF CHANGES IN BENEFIC</li> <li>16. SECURITIES</li> </ul>							burden hou	Expires: January 31, 2005 Estimated average burden hours per response 0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Re	esponses)									
1. Name and Ad Buntain Dere	Symbol ASSIS	2. Issuer Name and Ticker or Trading Symbol ASSISTED LIVING CONCEPTS INC [ALC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Least)	(Einst) (Middl	L					_X_Director10% Owner			
()			3. Date of Earliest Transaction (Month/Day/Year) 07/11/2013				Officer (give titleOther (specify below) below)			
	Filed(Mor			te Original			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>			
07/11/2 GRAND CAYMAN, E9 Grand Caym			2013				Form filed by More than One Reporting Person			
(City)	(State) (Zip)	) Tab	le I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	lly Owned	
	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			on(A) or Dis (Instr. 3, 4	sposed 4 and 5 (A) or	l of (D) 5)	Securities Beneficially Owned	Indirect (I) Owner		
Class A			Code V		(D)	Price \$ 12				
Common Stock	07/11/2013		D	46,360	D	$\frac{(1)}{(1)}$	0	D		
Class B Common Stock	07/11/2013		D	80	D	\$ 12.9 (2)	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form (9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Tandem Stock Options/SARs (right to buy)	\$ 8.28	07/11/2013		D	8,000	(3)	04/30/2014	Class A Common Stock	8,0
Tandem Stock Options/SARs (right to buy)	\$ 16.57	07/11/2013		D	10,000	(3)	05/03/2015	Class A Common Stock	10,0
Tandem Stock Options/SARs (right to buy)	\$ 17.49	07/11/2013		D	10,000	(3)	05/02/2016	Class A Common Stock	10,0

### **Reporting Owners**

<b>Reporting Owner Name / Addr</b>	ess	Relationships					
	Director	irector 10% Owner Office		Other			
Buntain Derek UNIT #5 PLANTANA 1293 WEST BAY ROAD GRAND CAYMAN, E9 Grand	X Caym						
Signatures							
/s/ Derek 07/ Buntain	/11/2013						

\*\*Signature of Reporting Person

## Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the Agreement and Plan of Merger (the "Merger Agreement") dated as of February 25, 2013 among Aid Holdings, LLC, Aid
  (1) Merger Sub, LLC and Assisted Living Concepts, Inc. ("ALC"), on July 11, 2013, each share of Class A Common Stock of ALC was converted into the right to receive \$12.00 in cash.

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Pursuant to the Agreement and Plan of Merger (the "Merger Agreement") dated as of February 25, 2013 among Aid Holdings, LLC, Aid

(2) Merger Sub, LLC and Assisted Living Concepts, Inc. ("ALC"), on July 11, 2013, each share of Class B Common Stock of ALC was converted into the right to receive \$12.90 in cash.

Pursuant to the Merger Agreement, on July 11, 2013, each Tandem Stock Option/SAR (whether or not then exercisable or vested), which began vesting in three equal annual installments beginning on the date four years prior to its expiration date, was cancelled and converted

(3) began vesting in three equal annual instantients beginning on the date rour years prior to its expiration date, was canceled and converted into the right to receive a cash payment in an amount equal to the excess, if any, of \$12.00 over the exercise price of such Tandem Stock Option/SAR.

#### **Remarks:**

Reason for Amendment: The box next to "Check this box if no longer subject to Section 16. . . " should have been checked.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.