Edgar Filing: NICHOLS ROY J - Form 4

NICHOLS Form 4	ROY J										
February 25	5, 2013										
FORM			DITIES							APPROVA	۹L
Check t	UNITED	STATES SECU W		AND EX n, D.C. 2			COMMISSI	-	OMB Number:		-0287
if no lor subject Section Form 4 Form 5	nger STATEN to STATEN 16. or	TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								2005 nated average en hours per	
obligation may con <i>See</i> Inst 1(b).	ons ntinue. Section 170	(a) of the Public 30(h) of the	Utility Ho	olding Co	mpar	ny Act	of 1935 or Sec				
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> NICHOLS ROY J		Symbo	2. Issuer Name and Ticker or Trading Symbol ADTRAN INC [ADTN]				5. Relationship of Reporting Person(s) to Issuer				
			3. Date of Earliest Transaction				(0	Check al	k all applicable)		
901 EXPLORER BLVD.			(Month/Day/Year) 02/21/2013				_X_Director10% Owner Officer (give titleOther (specify below) below)				
HINTSVI	(Street)		nendment, Ionth/Day/Y	Date Origir ear)	al		6. Individual of Applicable Lind _X_ Form filed Form filed	e) l by One	Reporting 1	Person	
	LLE, AL 35806	(7.)					Person				
(City)	(State)		ble I - Nor			rities A	Acquired, Dispose	ed of, or		-	d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	CodeDisposed of (D)ar)(Instr. 8)(Instr. 3, 4 and 5)))	Beneficially For Owned Dire		nership Indi m: Owr	Nature of direct Bene vnership astr. 4)	rect Beneficial hership
			Code V	/ Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr.	4)		
Common Stock	01/31/2013		G <u>(1)</u> \	/ 2,017	D	\$0	18,094	D			
Common Stock	01/31/2013		А	2,017	А	\$0	25,345	Ι	by	v Trust	
Common Stock							5,421	Ι	by	y Spouse	
Common Stock							6	I	U' cu	y Spouse TMA istodian f anddaug	for
Common							6	Ι	by	y Spouse	as

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Stock

UTMA custodian for grandson

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Expiration Date (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(2)</u>	02/21/2013		А	16.013		(2)	(2)	Common Stock	16.013	

Reporting Owners

Reporting Owner Name / Address	Relationships								
1	Director	10% Owner	Officer	Other					
NICHOLS ROY J 901 EXPLORER BLVD. HUNTSVILLE, AL 35806	Х								
Signatures									
Gayle S. Ellis by Power of Attorney		02/25/2013	3						

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gifted to "Roy J. Nichols and Susan Mary Nichols Revocable Trust".
- (2) The dividend equivalent rights accrued when and as dividends were paid on the Company's common stock. Each dividend equivalent right is the econimic equivalent of one share of the Company's common stock.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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