Edgar Filing: DORCHESTER MINERALS, L.P. - Form 4

DORCHESTER MINERALS, L.P. Form 4 November 13, 2012

| NOVEIHDEI I | 3, 2012 | | | | | | | | | | |
|--|------------------------------------|--|---|--|---|--|---|--|---|--|--|
| FORM | 14 UNITED | UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | OMB APPROVAL | | |
| | | | | hington,] | | | | Number: | 3235-0287 | | |
| Check the if no lon | aar | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | January 31, 2005 | | |
| subject to Section 1 Form 4 c | 16. | | SECURITIES | | | | | Estimated a burden hou response | irs per | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> ALLEN H C JR | | | 2. Issuer Name and Ticker or Trading Symbol | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| | | | DORCHESTER MINERALS, L.P. [DMLP] | | | | (Check all applicable) | | | | |
| | | | Date of Earliest Transaction Ionth/Day/Year) | | | X Director X Officer (give below) | | b Owner er (specify | | | |
| 3838 OAK | LAWN, SUITE | 300 | 11/09/20 |)12 | | | · · · · · · · · · · · · · · · · · · · | Financial Offic | er | | |
| | | | | ndment, Date th/Day/Year) | e Original | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| DALLAS, | ГХ 75219 | | | | | | Form filed by M Person | More than One Re | eporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-De | erivative S | ecurities Aco | quired, Disposed o | f, or Beneficial | lly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | r) Executi any | emed on Date, if /Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securit nAcquired Disposed (Instr. 3, 4 | (A) or of (D) 4 and 5) (A) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | or (D) Price | (Instr. 3 and 4) | | | | |

| | | Code V | Amount | (D) | Price | (, | | |
|-----------------|------------|--------|--------|-----|-------|------------|-------|-------|
| Common Units | 11/09/2012 | Р | 200 | А | \$ 21 | 154,240 | D (1) | |
| Common Units | | | | | | 26,830.022 | D (2) | |
| Common Units | | | | | | 5,531 | Ι | · (3) |
| Common Units | | | | | | 53,224 | Ι | · (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|-----------|--|--------------------|---|--|---|---|
| | | | Code V | 4, and 5) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Addres | ss Relationships | | | | | | | |
|--|------------------|-----------|-------------------------|-------|--|--|--|--|
| reporting o when i when i radio | Director | 10% Owner | Officer | Other | | | | |
| ALLEN H C JR 3838 OAK LAWN SUITE 300 DALLAS, TX 75219 | Х | | Chief Financial Officer | | | | | |
| Signatures | | | | | | | | |
| H.C. Allen, Jr. | 11/13/2012 | | | | | | | |

<u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These common units are held jointly by Mr. Allen and his spouse in a family trust.
- (2) These common units are held by Mr. Allen in his individual name, IRA or Keogh Plan.
- (3) Mr. Allen disclaims beneficial ownership of those common units owned by SAM Partners Management, Inc. in which he does not have a pecuniary interest. Mr. Allen is the Secretary and a shareholder of SAM Partners Management, Inc.
- (4) Mr. Allen disclaims beneficial ownership of those common units owned by Smith Allen Oil & Gas, Inc. in which he does not have a pecuniary interest. Mr. Allen is the Secretary and a shareholder of Smith Allen Oil & Gas, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.