Edgar Filing: DAVIS NANCY B - Form 4

DAVIS NANCY B Form 4 December 18, 2002

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

OMB APPROVAL

1. Name and Ado			me and Tic		P	6. Relationship of Reporting Person(s)					
Davis Nancy B. (Last) 4700 Crofton R	of Repo	rting	ntification lag Person, voluntary)	Number	Mo	tatement for nth/Day/Year 17-2002	10 X	to Issuer (Check all applicable) _ Director _ 10% Owner X Officer (give title below) Other (specify below)			
	239-84-1424							Exec. Vice President, Treasurer, Chief Financial Officer			
Louisville, KY 4						Amendment, of Original nth/Day/Year) 7. Individual or Joint/Group Fili (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			One Reporting More than One		
(City)	(State)	(Zip)	Г	able	I Non-E	Deri vati	ive Seci	urities Acquired, Dis	pose	ed of, or Benef	ficially Owned
1. Title of Security (Instr. 3)	action	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code	Code	4. Securitie (A) or Disp (Instr. 3, 4 Amount	osed o	f (D) Price	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s)		ship Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock								(Instr. 3 & 4) 4,557. 1	1058	D	
Common Stock								3	,252	I	Husband
Common Stock								1,315	.654	I	ESOP Shares
Common Stock								1,136.7	7719	I	401k Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: DAVIS NANCY B - Form 4

	Price of Derivative	action Date	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	of Derivativ Securities				7. Title and Amount of Underlying Securities (Instr. 3 & 4)		Derivative Security	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	ship	11. Nature of Indirect Beneficial Ownershi (Instr. 4)
				Code V	(A)		Exer-cisable	Expira- tion Date		Amount or Number of Shares			(Instr. 4)	
Option (Right to Buy)	6.421						Immed.	3/30/04	Common Stock	8,800		8,800	D	
Option (Right to Buy)	7.25						Immed.	1/10/05	Common Stock	12,000		12,000	D	
Option (Right to Buy)	14.50						Immed.	1/3/07	Common Stock	2,000		2,000	D	
Option (Right to Buy)	20.50						Immed.	1/8/08	Common Stock	2,000		2,000	D	
Option (Right to Buy)	23.938						Immed.	4/20/09	Common Stock	2,600		2,600	D	
Option (Right to Buy)	21.00						Immed.	1/7/10	Common Stock	2,600		2,600	D	
Option (Right to Buy)	20.63						Immed.	12/21/10	Common Stock	3,400		3,400	D	
Option (Right to Buy)	33.60						Immed.	12/27/11	Common Stock	3,250		3,250	D	
Option (Right to Buy)	39.10	12/17/02		A	2,500		6/17/02	12/17/12	Common Stock	2,500		2,500	D	

Explanation of Responses:

By: /s/ //Nancy B. Davis

12/18/2002

Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).