

NOMURA HOLDINGS INC
Form 6-K
November 01, 2018
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of November 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. Nomura Announces Status of Share Buyback Program from Market

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 1, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

Nomura Announces Status of Share Buyback Program from Market

Tokyo, November 1, 2018 Nomura Holdings, Inc. today announced the status of its ongoing share buyback program resolved at a meeting of the Board of Directors held on April 26, 2018, pursuant to the company's articles of incorporation set out in accordance with Article 459-1 of the Companies Act of Japan.

Details of share buyback

| | |
|---------------------------------|---|
| 1. Type of shares | Nomura Holdings common shares |
| 2. Number of shares repurchased | 39,463,100 shares |
| 3. Aggregate purchase amount | 21,504,772,650 yen |
| 4. Purchase period | October 1, 2018, to October 31, 2018 |
| 5. Method of repurchase | Purchase on the stock exchange via trust bank |

(Reference)

Share buyback program resolution at Board of Directors meeting on April 26, 2018

| | |
|--|--|
| 1. Type of shares | Nomura Holdings common shares |
| 2. Total shares authorized for repurchase | Up to 100 million shares |
| | (2.7% of outstanding shares) |
| 3. Total value of shares authorized for repurchase | Up to 70 billion yen |
| 4. Period | May 16, 2018, to March 29, 2019 |
| | (excluding the ten business days following the announcement of quarterly operating results) |
| 5. Method of repurchase | Purchase on the stock exchange via trust bank |
| | (The details of the trust agreement, including the timing to start the buyback, will be decided separately by a Representative Executive Officer or the CFO) |

The accumulative number of shares purchased as of October 31, 2018, pursuant to the Board of Directors resolution above:

| | |
|---------------------------------|--------------------|
| 1. Number of shares repurchased | 58,613,100 shares |
| 2. Aggregate purchase amount | 31,432,469,270 yen |

ends

For further information please contact:

| Name | Company | Telephone |
|-----------------|---|------------------|
| Kenji Yamashita | Nomura Holdings, Inc. Group Corporate Communications Dept. | 81-3-3278-0591 |

Table of Contents

Nomura

Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Retail, Asset Management, Wholesale (Global Markets and Investment Banking), and Merchant Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com