Bacterin International Holdings, Inc. Form SC 13D/A February 25, 2015

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### **SCHEDULE 13D**

**Under the Securities Exchange Act of 1934** 

(Amendment No. 6)\*

### BACTERIN INTERNATIONAL HOLDINGS, INC.

(Name of Issuer)

Common Stock, par value \$0.000001 per share

(Title of Class of Securities)

15644R 10 1

(CUSIP Number)

Guy S. Cook

246 Painted Hills Road

Bozeman, MT 59715

(406) 570-2658

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

**December 4, 2014** 

# Edgar Filing: Bacterin International Holdings, Inc. - Form SC 13D/A

(Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§ 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.
<b>Note:</b> Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §§ 240.13d-7 for other parties to whom copies are to be sent.
* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect
to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.
The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but
shall be subject to all other provisions of the Act (however, see the Notes).

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# SCHEDULE 13D

## CUSIP No. 05644R 10 1

COS	11 No. 03044K 10 1
1.	Names of reporting persons:
2.	Guy S. Cook Check the appropriate box if a member of a group (see instructions)  (a) " (b) "
3	SEC use only
4.	Source of funds (see instructions)
5.	PF Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)
6.	N/A Citizenship or place of organization
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	ach 380,000 orting 9. Sole dispositive power
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W	ith 31,382

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## 10. Shared dispositive power

380	$\Omega$
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11. Aggregate amount beneficially owned by each reporting person

411,482

- 12. Check if the aggregate amount in Row (11) excludes certain shares (see instructions) "
- 13. Percent of class represented by amount in Row (11)

 $6.2\%^{1}$ 

14. Type of reporting person (see instructions)

IN

<sup>1</sup> Based on 6,675,134 shares of common stock outstanding as reported in the Issuer s Form 10-Q filed on November 7, 2014.

# SCHEDULE 13D

## CUSIP No. 05644R 10 1

CUS.	IP No. 0	304	4K 10 1	
1.	Names	of r	eporting persons:	
2.		the a	enton Cook appropriate box if a member of a group (see instructions)	
3	SEC us	e on	aly	
4.	Source	of f	unds (see instructions)	
5.	PF 5. Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)			
6.	N/A Citizen	ship	or place of organization	
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	ach	9.	380,000 Sole dispositive power	
pe	rson			
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10. Shared dispositive power

1	

11. Aggregate amount beneficially owned by each reporting person

380,000

- 12. Check if the aggregate amount in Row (11) excludes certain shares (see instructions) x
- 13. Percent of class represented by amount in Row (11)

 $5.7\%^{2}$ 

14. Type of reporting person (see instructions)

IN

<sup>2</sup> Based on 6,675,134 shares of common stock outstanding as reported in the Issuer s Form 10-Q filed on November 7, 2014.

This Amendment No. 6 to Schedule 13D amends and supplements Items 3 and 5 to the initial Schedule 13D filed on May 12, 2011 ( *Initial 13D* ), as amended by Amendment No. 1 filed July 14, 2011 ( *Amendment No. 1* ), Amendment No. 2 filed June 5, 2012 ( *Amendment No. 2* ), Amendment No. 3 filed June 25, 2012 ( *Amendment No. 3* ), Amendment No. 4 filed on February 24, 2014 ( *Amendment No. 4* ) and Amendment No. 5 filed on August 26, 2014 ( *Amendment No. 5* ) relating to the Common Stock, \$0.000001 par value per share, of Bacterin International Holdings, Inc., a Delaware corporation (the *Issuer* ). Except as otherwise provided herein, each Item of the Initial 13D, as previously amended, remains unchanged.

### Item 3. Source and Amount of Funds or Other Consideration

This Amendment No. 6 relates to the disposition of an aggregate of 194,522 shares of the Issuer s Common Stock in open market transactions for an aggregate sale price of \$569,829.21; such disposition is described more fully in Item 5(c).

### Item 5. Interest in Securities of the Issuer.

(a) The following table sets forth the aggregate number of shares and percentage of the Issuer s Common Stock beneficially owned by each Reporting Person:

	Shares Beneficially	
Reporting Person	Owned	Percentage
Guy S. Cook	411,482 (1)	6.2% (3)
Susan Thornton Cook	380,000 (2)	5.7% (3)

- (1) Includes 380,000 shares of Common Stock (the *Trust Shares* ) held of record by the Timothy Shane Cook Trust created under the Cook 2012 Gift Trust, the Steven Thomas Cook Trust created under the Cook 2012 Gift Trust and the Michelle Allison Cook Trust created under the Cook 2012 Gift Trust (collectively, the *Trusts* ).
- (2) Comprised solely of the Trust Shares. Mrs. Cook does not have any power to vote or direct the vote, or to dispose or direct the disposition of, the shares held of record by Mr. Cook; accordingly, the 31,482 shares of the Issuer s Common Stock held of record by Mr. Cook are not included in Mrs. Cook s beneficial ownership and Mrs. Cook disclaims beneficial ownership of such shares.
- (3) Percentage calculation based on 6,675,134 shares of the Issuer s Common Stock outstanding as of November 3, 2014, as reported in the Issuer s prospectus supplement filed on November 7, 2014.

(b) The following table sets forth the number of shares of the Issuer s Common Stock as to which each Reporting Person has (i) sole power to vote or direct the voting, (ii) sole power to dispose or to direct the disposition, (iii) shared power to vote or direct the voting and (iv) shared power to dispose or direct the disposition:

				<b>Shared Power</b>
	Sole Voting	<b>Sole Power of</b>	Shared	of
Reporting Person	Power	Disposition	<b>Voting Power</b>	Disposition
Guy S. Cook	31,482	31,482	380,000 (1)	380,000(1)
Susan Thornton Cook	0	0	380,000 (1)	0

- (1) Represents the Trust Shares.
  - (c) As of August 26, 2014 (the date of Amendment No. 5), Mr. Cook beneficially owned 606,004 shares of the Issuer s Common Stock, of which 380,000 shares were held indirectly through the Trusts. The following table sets forth information about Mr. Cook s sales of shares of Common Stock in open market transactions since August 26, 2014:

	Number of	D. D. GL. (d)
Transaction Date	Shares Sold	Price Per Share (\$)
10/01/2014	15,000	4.3600
10/23/2014	125	4.5000
11/12/2014	1,300	4.0554
11/13/2014	3,575	4.0703
11/19/2014	10,000	3.0932
11/20/2014	10,000	3.0522
11/21/2014	2,000	3.0528
11/24/2014	11,700	3.0528
11/25/2014	1,400	3.0506
12/04/2014	139,422	2.7004
Total	194,522	

- (d) The trustee of the Trusts is Deutsche Bank Trust Company Delaware, and the beneficiaries of the Trusts are the Reporting Persons children: Timothy Shane Cook (120,000 shares), Steven Thomas Cook (130,000 shares) and Michelle Allison Cook (130,000 shares).
- (e) Not applicable.

### Item 7. Materials to be Filed as Exhibits.

Exhibit 99.1 Agreement Pursuant to Rule 13d-1(k)(1)(iii)

## **SIGNATURE**

After reasonable inquiry and to the best of the Reporting Person s knowledge and belief, the Reporting Person certifies that the information set forth in this statement is true, complete and correct.

Date: February 25, 2015

**GUY S. COOK** 

/s/ Guy S. Cook Guy S. Cook

## SUSAN THORNTON COOK

/s/ Susan Thornton Cook Susan Thornton Cook

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