

SCHWAB CHARLES CORP  
Form 8-K  
October 15, 2013

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant To Section 13 Or 15(d) of The Securities Exchange Act Of 1934**

**Date of Report (Date of earliest event reported): October 15, 2013**

**The Charles Schwab Corporation**  
**(Exact name of registrant as specified in its charter)**

**Commission File Number: 1-9700**

**Delaware**  
**(State or other jurisdiction**

**of incorporation)**

**211 Main Street, San Francisco, CA 94105**

**94-3025021**  
**(I.R.S. Employer**

**Identification No.)**

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**(Address of principal executive offices, including zip code)**

**(415) 667-7000**

**(Registrant's telephone number, including area code)**

**N/A**

**(Former name or former address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 2.02 Results of Operations and Financial Condition**

On October 15, 2013, The Charles Schwab Corporation issued a press release announcing its financial results for the quarter ended September 30, 2013. A copy of the press release is furnished as Exhibit 99.1 to this report.

**Item 9.01 Financial Statements and Exhibits**

(d) Exhibits

99.1 Press Release dated October 15, 2013 ( Schwab Third Quarter Revenues Rise 15%, Reach Highest Level in 13 Years Net Income Increases 17% Core Net New Assets Total \$42.8 Billion, up 97% )

**Signature(s)**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**THE CHARLES SCHWAB CORPORATION**

Date: October 15, 2013

By: /s/ Joseph R. Martinetto  
Joseph R. Martinetto  
Executive Vice President and Chief Financial  
Officer

**Exhibit Index**

<b>Exhibit No.</b>	<b>Description</b>
Ex 99.1	Press Release dated October 15, 2013 ( Schwab Third Quarter Revenues Rise 15%, Reach Highest Level in 13 Years Net Income Increases 17% Core Net New Assets Total \$42.8 Billion, up 97% )