

NEWTEK BUSINESS SERVICES INC
Form 8-K
August 13, 2007

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

July 27, 2007 (August 13, 2007)

Date of Report (date of Earliest Event Reported)

NEWTEK BUSINESS SERVICES, INC.

(Exact Name of Company as Specified in its Charter)

NEW YORK
(State or Other Jurisdiction of

001-16123
(Commission File No.)

11-3504638
(I.R.S. Employer

Incorporation or Organization)

1440 Broadway, 17th floor, New York, NY 10018

Identification No.)

(Address of principal executive offices and zip code)

(212) 356-9500

(Company's telephone number, including area code)

(Former name or former address, if changed from last report)

Edgar Filing: NEWTEK BUSINESS SERVICES INC - Form 8-K

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
 - ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
 - ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
 - ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
-

Forward-Looking Statements

Statements in this Current Report on Form 8-K (including the exhibits), including statements regarding Newtek's beliefs, expectations, intentions or strategies for the future, may be forward-looking statements under the Private Securities Litigation Reform Act of 1995. All forward-looking statements involve a number of risks and uncertainties that could cause actual results to differ materially from the plans, intentions and expectations reflected in or suggested by the forward-looking statements. Such risks and uncertainties include, among others, intensified competition, operating problems and their impact on revenues and profit margins, anticipated future business strategies and financial performance, anticipated future number of customers, business prospects, legislative developments and similar matters. Risk factors, cautionary statements and other conditions which could cause Newtek's actual results to differ from management's current expectations are contained in Newtek's filings with the Securities and Exchange Commission. Newtek undertakes no obligation to update any forward-looking statement to reflect events or circumstances that may arise after the date of this filing.

ITEM 8.01 Other Events.

On August 9, 2007, Newtek Business Services published a press release entitled "Newtek Business Services Promotes Brian Gagnon to Director of National Sales." A copy of the press release is filed as Exhibit 99.1 to this Form 8-K.

On August 9, 2007, Newtek Business Services published a press release entitled "Newtek Business Services Hires Donna Neary Director of Marketing and Product Development." A copy of the press release is filed as Exhibit 99.2 to this Form 8-K.

On August 1, 2007, Newtek Business Services published a press release entitled "Newtek Business Services Adds Sr. Vice President to Human Resources - Justin V. Strom." A copy of the press release is filed as Exhibit 99.3 to this Form 8-K.

On July 27, 2007, Newtek Business Services published a press release entitled "Newtek Business Services Names William Bayer President of Insurance Agency." A copy of the press release is filed as Exhibit 99.4 to this Form 8-K.

ITEM 9.01. Financial Statements and Exhibits

(c) Exhibits

- 99.1 Press release dated August 9, 2007, entitled "Newtek Business Services Promotes Brian Gagnon to Director of National Sales."
- 99.2 Press release dated August 9, 2007, entitled "Newtek Business Services Hires Donna Neary Director of Marketing and Product Development."

- 99.3 Press release dated August 1, 2007 entitled Newtek Business Services Adds Sr. Vice President to Human Resources - Justin V. Strom.
- 99.4 Press Release dated July 27, 2007 entitled Newtek Business Services Names William Bayer President of Insurance Agency.

SIGNATURES

In accordance with the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NEWTEK BUSINESS SERVICES, INC.

Date: August 13, 2007

/s/ Barry Sloane
Barry Sloane
Chairman of the Board, Chief Executive Officer, Secretary

EXHIBIT INDEX

EXHIBIT

NUMBER	DESCRIPTION
99.1	Press release dated August 9, 2007, entitled Newtek Business Services Promotes Brian Gagnon to Director of National Sales.
99.2	Press release dated August 9, 2007, entitled Newtek Business Services Hires Donna Neary Director of Marketing and Product Development.
99.3	Press release dated August 1, 2007, entitled Newtek Business Services Adds Sr. Vice President to Human Resources - Justin V. Strom.
99.4	Press release dated July 27, 2007, entitled Newtek Business Services Names William Bayer President of Insurance Agency.