INFINERA CORP Form 4

June 06, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kambiz Hooshmand

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

INFINERA CORP [INFN]

(Check all applicable)

C/O INFINERA

**COURT** 

3. Date of Earliest Transaction

(Month/Day/Year) 06/04/2014

X\_ Director 10% Owner Officer (give title Other (specify

below)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SUNNYVALE, CA 94089

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Transaction(s)

Beneficial Ownership (Instr. 4)

or Code V Amount (D) Price

(Instr. 3 and 4)

Common Stock

35,234 D

Common Stock

13,333

See Footnote

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

1

Check this box if no longer subject to

Section 16.

may continue.

See Instruction

1(b).

(First)

(Middle)

(Zip)

CORPORATION, 140 CASPIAN

(Street)

(City) (State)

(Month/Day/Year)

(A)

Ι

**SEC 1474** 

(9-02)

number.

### Edgar Filing: INFINERA CORP - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Share	
Non-Qualified Stock Option (Right to Buy)	\$ 9.24					(2)	12/15/2019	Common Stock	100	
Non-Qualified Stock Option (Right to Buy)	\$ 7.55					(2)	05/19/2020	Common Stock	14,	
Restricted Stock Units	<u>(3)</u>	06/04/2014		A	19,009	<u>(4)</u>	<u>(4)</u>	Common Stock	19,	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Kambiz Hooshmand C/O INFINERA CORPORATION 140 CASPIAN COURT SUNNYVALE, CA 94089	X					

## **Signatures**

/s/ Alastair A. Short, by Power of Attorney 06/06/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held directly by Kambiz Hooshmand and Farinaz Attarzadeh, as trustees of the 2002 Hooshmand Family Trust March 1, 2002.
- (2) The option is fully vested.
- (3) Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock of Infinera Corporation (the "Company").
- (4) The RSUs fully vest on the earlier of the date of the Company's next annual meeting of stockholders or the one-year anniversary of the date of grant, subject to Mr. Hooshmand's continued service to the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

# Edgar Filing: INFINERA CORP - Form 4

ential persons who are to respond to the collection of information contained in this form are not required to respond unless the form disprently valid OMB number.	plays