

Judd Bryce
 Form 4
 February 20, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Judd Bryce

(Last) (First) (Middle)

11734 SOUTH ELECTION ROAD

(Street)

SALT LAKE CITY, UT 84020

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CONTROL4 CORP [CTRL]

3. Date of Earliest Transaction (Month/Day/Year)
02/15/2019

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
 SVP of Sales

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---|
| | | | | (A) or (D) | Price | | | |
| Common Stock | 02/15/2019 | | M | 1,039 | A | 1,039 | D | |
| Common Stock | 02/15/2019 | | F | 360 (2) | D | \$ 17.89 | 679 | D |
| Common Stock | 02/15/2019 | | M | 1,039 | A | 1,718 | D | |
| Common Stock | 02/15/2019 | | F | 360 (2) | D | \$ 17.89 | 1,358 | D |
| Common Stock | 02/15/2019 | | M | 1,517 | A | 2,875 | D | |

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| | | | | | | | | |
|--------------|------------|---|----------------------|---|----------|--------|---|-------------------------------|
| Common Stock | 02/15/2019 | F | 525 ⁽²⁾ | D | \$ 17.89 | 2,350 | D | |
| Common Stock | 02/15/2019 | M | 1,517 | A | Ⓛ | 3,867 | D | |
| Common Stock | 02/15/2019 | F | 525 ⁽²⁾ | D | \$ 17.89 | 3,342 | D | |
| Common Stock | 02/15/2019 | M | 3,033 | A | Ⓛ | 6,375 | D | |
| Common Stock | 02/15/2019 | F | 864 ⁽²⁾ | D | \$ 17.89 | 5,511 | D | |
| Common Stock | 02/15/2019 | M | 6,400 | A | Ⓛ | 11,911 | D | |
| Common Stock | 02/15/2019 | F | 1,869 ⁽²⁾ | D | \$ 17.89 | 10,042 | D | |
| Common Stock | 02/15/2019 | M | 3,033 | A | Ⓛ | 13,075 | D | |
| Common Stock | 02/15/2019 | F | 889 ⁽²⁾ | D | \$ 17.89 | 12,186 | D | |
| Common Stock | | | | | | 830 | I | By 401(k) Plan ⁽³⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Performance-based Restricted Stock Units | Ⓛ | 02/15/2019 | | M | 1,039 | ⁽⁴⁾ / ⁽⁴⁾ | Common Stock | 1,039 |

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quarterly, on the 15th of May, August, November and February in each of the following eight quarters.

(7) This RSU award was granted on January 3, 2017. One-third of the shares in the award vested on February 15, 2018. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.

(8) This PSU award was granted on January 4, 2018. One-third of the shares in the award vested on February 15, 2019 due to the achievement of a certain 2018 financial performance goal. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.

(9) This PSU award was granted on January 4, 2018, and these shares represent all of the shares in the award which vested on February 15, 2019 due to the achievement of a certain 2018 financial performance goal.

(10) This RSU award was granted on January 4, 2018. One-third of the shares in the award vested on February 15, 2019. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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