## Edgar Filing: Jensen Mark Edwin - Form 4

| Jensen Mark E<br>Form 4  | Edwin                             |                  |  |   |                        |   |                    |   |   |   |  |
|--|-----------------------------------|------------------|--|---|------------------------|---|--------------------|---|---|---|--|
| May 31, 2018   | 3                                 |                  |  |   |                        |   |                    |   |   |   |  |
| •  |                                   |                  |  |   |                        |   |                    |   | PPROVAL   |   |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |                                   |                  |  |   |                        |   |                    | NOMB<br>Number:   | 3235-0287   |   |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or       |                                   |                  |  | GES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>(a) of the Securities Exchange Act of 1934, |                        |   |                    |   | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |   |  |
| obligation<br>may contri<br><i>See</i> Instruct<br>1(b).                       | s Section 1                       | 7(a) of the      |  | ility Hold  | ing Com                | ipany                                     | Act o              | of 1935 or Section  | on  |   |  |
| (Print or Type R   | esponses)                         |                  |  |   |                        |   |                    |   |   |   |  |
| Jensen Mark Edwin Symbol   |                                   |                  | Symbol   | ssuer Name <b>and</b> Ticker or Trading<br>pol<br>NTROL4 CORP [CTRL]                        |                        |   |                    | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |   |   |  |
| (Last)   | (First)                           | (Middle)         | 3. Date of Earliest Transaction (Chec                |   |                        |   | eck all applicable | k all applicable)   |   |   |  |
| C/O CONTR<br>CORPORAT<br>ELECTION  | TION, 11734 S                     | 5.               | (Month/Da<br>05/30/20                                | •   |                        |   |                    | X Director<br>Officer (giv<br>below)  |   | 6 Owner<br>er (specify  |  |
|  | (Street)                          |                  | 4. If Amendment, Date Original Filed(Month/Day/Year) |   |                        |   |                    | <ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul> |   |   |  |
| SALT LAKE  | E CITY, UT 84                     | 4020             |  |   |                        |   |                    | Form filed by Person  | More than One R   | eporting  |  |
| (City)   | (State)                           | (Zip)            | Table  | e I - Non-De  | erivative S            | Securi                                    | ties Ac            | quired, Disposed (  | of, or Beneficia  | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction I<br>(Month/Day/Ye | ar) Executionary |  |   | Disposed<br>(Instr. 3, | l (A) of<br>l of (D<br>4 and<br>(A)<br>or | ))<br>5)           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)            | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 05/30/2018(1                      | <u>)</u>         |  | Code V<br>S   | Amount 1,000           | (D)<br>D                                  | Price<br>\$25      | 16,735  | D   |   |  |
| Reminder: Repo   | rt on a senarate li               | ine for each o   | lass of secur  | ities benefic   | vially own             | ed dir                                    | ectly or           | indirectly  |   |   |  |

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | nt of<br>lying                         | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|---|
|   |   |   |   | Code V                                 |   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   |            |           |         |       |  |
|---|------------|-----------|---------|-------|--|
|   | Director   | 10% Owner | Officer | Other |  |
| Jensen Mark Edwin<br>C/O CONTROL4 CORPORATION<br>11734 S. ELECTION ROAD<br>SALT LAKE CITY, UT 84020 | Х          |           |         |       |  |
| Signatures  |            |           |         |       |  |
| /s/ Jonathan Tanner, attorney-in-fact   | 05/31/2018 |           |         |       |  |
| <b>**</b> Signature of Reporting Person   |            |           | Date    |       |  |
| Explanation of Poone  | nooo       |           |         |       |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Automatic sale pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.