

GEORGE WILLIAM III
Form 4
May 01, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GEORGE WILLIAM III

2. Issuer Name and Ticker or Trading Symbol
COMFORT SYSTEMS USA INC
[FIX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/30/2018

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Chief Financial Officer

C/O COMFORT SYSTEMS USA, INC., 675 BERING DRIVE, SUITE 400

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

HOUSTON, TX 77057

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	04/30/2018		M	6,000	A \$ 12.46	49,675	D
Common Stock	04/30/2018		S	6,000	D \$ 42.43 (1)	43,675	D
Common Stock	05/01/2018		M	2,000	A \$ 12.46	45,675	D
Common Stock	05/01/2018		M	10,151	A \$ 13.87	55,826	D

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Common Stock	05/01/2018	M	11,500	A	\$ 11.21	67,326	D
Common Stock	05/01/2018	S	23,651	D	\$ 41.85 <u>(1)</u>	43,675	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
Option to Buy	\$ 12.46	04/30/2018		M	6,000	04/01/2013 ⁽²⁾	03/25/2020	Common Stock	6,000
Option to Buy	\$ 12.46	05/01/2018		M	2,000	04/01/2013 ⁽²⁾	03/25/2020	Common Stock	2,000
Option to Buy	\$ 13.87	05/01/2018		M	10,151	04/01/2014 ⁽³⁾	03/24/2021	Common Stock	10,151
Option to Buy	\$ 11.21	05/01/2018		M	11,500	04/01/2015 ⁽⁴⁾	03/26/2022	Common Stock	11,500

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

GEORGE WILLIAM III
C/O COMFORT SYSTEMS USA, INC.
675 BERING DRIVE, SUITE 400
HOUSTON, TX 77057

Chief Financial Officer

Signatures

/s/ Trent T. McKenna, by power of
attorney

05/01/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Office of the General Counsel.
 - (2) Stock options were granted on 3/25/2010, vested equally over a three-year period, and were fully vested on 4/1/2013.
 - (3) Stock options were granted on 3/24/2011, vested equally over a three-year period, and were fully vested on 4/1/2014.
 - (4) Stock options were granted on 3/26/2012, vested equally over a three-year period, and were fully vested on 4/1/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.