Novakovich Mark Form 4 November 16, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

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obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Novakovich Mark Issuer Symbol CONTROL4 CORP [CTRL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_ Officer (give title) _ Other (specify 11734 SOUTH ELECTION ROAD 11/15/2017 below) Chief Financial Officer

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person

SALT LAKE CITY, UT 84020

(City)	(State) ((Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	11/15/2017		M	1,416	A	<u>(1)</u>	19,911	D			
Common Stock	11/15/2017		F	459 (2)	D	\$ 33.12	19,452	D			
Common Stock	11/15/2017		M	1,416	A	<u>(1)</u>	20,868	D			
Common Stock	11/15/2017		F	459 (3)	D	\$ 33.12	20,409	D			
Common Stock	11/16/2017(4)		S	568	D	\$ 32.99	19,841	D			

Common Stock 492 I By 401(k) Plan $\frac{(5)}{(5)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number to the form of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of Sha
Perforomance-based Restricted Stock Units	<u>(1)</u>	11/15/2017		M	1,416	<u>(6)</u>	<u>(6)</u>	Common Stock	1.
Restricted Stock Units	(1)	11/15/2017		M	1,416	<u>(7)</u>	<u>(7)</u>	Common Stock	1,

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Novakovich Mark

11734 SOUTH ELECTION ROAD Chief Financial Officer

SALT LAKE CITY, UT 84020

Signatures

/s/ Greg Bishop, Attorney in fact for Mark Novakovich 11/16/2017

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) Each unit represents the right to receive, at settlement, one share of common stock at no cost.
- The minimum federal and state tax withholding due at the vesting of PSU was satisfied by Control4 through a net issuance of these shares, which were retained by Control4 as treasury stock, and the requisite withholding amount was paid to the relevant tax authorities by Control4 on behalf of the Reporting Person. We used the closing price of the shares on the day prior to the vest date for withholding calculations.
- The minimum federal and state tax withholding due at the vesting of RSU was satisfied by Control4 through a net issuance of these shares, which were retained by Control4 as treasury stock, and the requisite withholding amount was paid to the relevant tax authorities by Conrol4 on behalf of the Reporting Person. We used the closing price of the shares on the day prior to the vest date for withholding calculations
- (4) Automatic sale pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 21, 2017.
- (5) Based on the Reporting Person's most recent 401(k) Plan statement.
- This PSU award was granted on June 30, 2016, and upon the certification that certain 2016 financial performance goals were achieved, these shares will vest as follows: 1/3 of the shares vested on February 10, 2017, and an additional 1/12 of the shares shall vest quarterly the shares are the 15th of the first month in each of the following sight quarters, which to the reporting performance goals were achieved,
- thereafter on the 15th of the first month in each of the following eight quarters, subject to the reporting person's continuous service to the Issuer on each such date.
- This RSU award was granted on June 30, 2016, and will vest as follows: 1/3 of the shares vested on August 15, 2017, and an additional (7) 1/12 of the shares shall vest quarterly thereafter on the 15th of the first month in each of the following eight quarters, subject to the reporting person's continuous service to the Issuer on each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.