

ABIOMED INC  
Form 4  
August 20, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**MINOGUE MICHAEL R**

(Last) (First) (Middle)

**C/O ABIOMED, INC., 22  
CHERRY HILL DRIVE**

(Street)

**DANVERS, MA 01923**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ABIOMED INC [ABMD]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**08/18/2015**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

President, CEO, Chairman

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock \$.01 par value	08/18/2015		M <sup>(1)</sup>	146,800 <sup>(1)</sup>	A \$ 5.86 <sup>(1)</sup>	386,518	D
Common Stock \$.01 par value	08/18/2015		S <sup>(1)</sup>	38,126 <sup>(1)</sup>	D \$ 105.048 <sup>(7)</sup>	348,392	D
Common Stock \$.01 par value	08/18/2015		S <sup>(1)</sup>	28,530 <sup>(1)</sup>	D \$ 105.9233 <sup>(8)</sup>	319,862	D

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Common Stock \$.01 par value	08/18/2015	S <sup>(1)</sup>	7,214 <sup>(1)</sup>	D	\$ 106.7676 <sup>(9)</sup>	312,648	D	
Common Stock \$.01 par value	08/18/2015	S <sup>(1)</sup>	523 <sup>(1)</sup>	D	\$ 107.4812 <sup>(10)</sup>	312,125	D	
Common Stock \$.01 par value	08/19/2015	S <sup>(1)</sup>	13,996 <sup>(1)</sup>	D	\$ 102.9076 <sup>(11)</sup>	298,129	D	
Common Stock \$.01 par value	08/19/2015	S <sup>(1)</sup>	21,601 <sup>(1)</sup>	D	\$ 103.7841 <sup>(12)</sup>	276,528	D	
Common Stock \$.01 par value	08/19/2015	S <sup>(1)</sup>	31,210 <sup>(1)</sup>	D	\$ 104.7292 <sup>(13)</sup>	245,318	D	
Common Stock \$.01 par value	08/19/2015	S <sup>(1)</sup>	5,600 <sup>(1)</sup>	D	\$ 105.5014 <sup>(14)</sup>	239,718	D	
Common Stock \$.01 par value						36,082	I	By Trust <sup>(15)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of

										Shares
Stock Option (right to buy) <u>(6)</u>	\$ 13.57					06/01/2007	06/01/2016	Common Stock	0	
Stock Option (right to buy) <u>(6)</u>	\$ 13.8					05/23/2009 <sup>(2)</sup>	05/23/2018	Common Stock	0	
Stock Option (right to buy) <u>(3)</u>	\$ 18.63					06/15/2009 <sup>(4)</sup>	08/13/2018	Common Stock	0	
Stock Option (right to buy) <u>(3)</u>	\$ 5.86	08/18/2015 <sup>(1)</sup>	M <sup>(1)</sup>	146,800 <sup>(1)</sup>		05/28/2010 <sup>(2)</sup>	05/28/2019	Common Stock	0	
Stock Option (right to buy) <u>(3)</u>	\$ 5.86					05/28/2010 <sup>(2)</sup>	05/28/2019	Common Stock	0	
Stock Option (right to buy) <u>(3)</u>	\$ 10.03					06/03/2011 <sup>(2)</sup>	06/03/2020	Common Stock	0	
Stock Option (Right to Buy) <u>(3)</u>	\$ 22.44					05/22/2013 <sup>(2)</sup>	05/22/2022	Common Stock	0	
Stock Option (Right to Buy) <u>(3)</u>	\$ 23.15					05/14/2014 <sup>(2)</sup>	05/14/2024	Common stock	0	
Stock Option (right to buy) <u>(3)</u>	\$ 21.55					05/14/2015 <sup>(5)</sup>	05/14/2024	Common Stock	0	
Stock Option (right to buy) <u>(3)</u>	\$ 66.25					05/13/2016 <sup>(5)</sup>	05/13/2025	Common Stock	0	

## Reporting Owners

Reporting Owner Name / Address	Relationships
Reporting Owners	

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Director    10% Owner    Officer    Other

MINOGUE MICHAEL R  
C/O ABIOMED, INC.,  
22 CHERRY HILL DRIVE  
DANVERS, MA 01923

X

President, CEO, Chairman

## Signatures

/s/ Stephen C. McEvoy (by power of attorney)

08/20/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
- (2) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (3) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (4) These options become exercisable based on the achievement of certain performance milestones.
- (5) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (6) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (7) \$104.4100 and \$105.4000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (8) \$105.4100 and \$106.4000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (9) \$106.4100 and \$107.4000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (10) \$107.4800 and \$107.5150. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (11) \$102.3000 and \$103.2750. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (12) \$103.3100 and \$104.3000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (13) \$104.3100 and \$105.3000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (14) \$105.3100 and \$105.9000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (15) These securities are held by the Renee D. Minogue Revocable Trust, Renee D. Minogue, trustee DTD 12/29/2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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Signatures