LIQUIDITY SERVICES INC

Form 4 February 18, 2014

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB 3235-0287 Number: January 31, Expires:

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Mateus-Tique Jaime

LIQUIDITY SERVICES INC

(Check all applicable)

[LQDT]

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

02/03/2014

_X__ Director 10% Owner Other (specify Officer (give title

C/O LIQUIDITY SERVICES, INC., 1920 L STREET, N.W., 6TH

(Street)

(State)

(First)

FLOOR

(City)

(Last)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

WASHINGTON, DC 20036

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

` */	` ′	` '' Ia	bie i - Non	-Derivativ	e Seci	iriues Ac	quirea, Disposea	ı oı, or Benei	iciany Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/14/2014		P	3,100	A	\$ 24.26	56,362	I	By the Em El 2007 Irrevocable Trust
Common Stock	02/18/2014		P	26,900	A	\$ 24.73	83,262	I	By the Em El 2007 Irrevocable Trust
Common Stock							54,885	D	

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Common Stock	163,208 I	By the Jaime Mateus-Tique 2005 Irrevocable Trust
Reminder: Report on a separate line for each class of securities bene	eficially owned directly or indirectly.	
	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	SEC 1474 (9-02)
Table II - Derivative Securities Ac	quired, Disposed of, or Beneficially Owned	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 21.53	02/03/2014		A	20,061	<u>(1)</u>	02/01/2024	Common Stock	20,061
Restricted Stock Grant	\$ 21.53	02/03/2014		A	1,765	(2)	02/01/2024	Common Stock	1,765
Employee Stock Option	\$ 40.11					(3)	02/01/2023	Common Stock	5,954
Employee Stock Option	\$ 42.31					<u>(4)</u>	02/01/2022	Common Stock	5,328
Employee Stock Option	\$ 14.3					<u>(5)</u>	02/01/2021	Common Stock	15,012
Employee Stock Option	\$ 11.77					<u>(6)</u>	02/01/2020	Common Stock	18,612
Employee Stock Option	\$ 12.02					<u>(7)</u>	10/01/2017	Common Stock	76,000

Employee

Stock \$ 17.63

Option

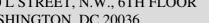
Common 09/21/2016 (8) 55,000 Stock

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Mateus-Tique Jaime

X

C/O LIQUIDITY SERVICES, INC. 1920 L STREET, N.W., 6TH FLOOR WASHINGTON, DC 20036



Signatures

/s/ James E. Williams, by power of attorney

02/18/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options have a one-year vesting period such that 100% of this option grant will vest on February 1, 2015.
- (2) These restricted shares have a one-year vesting period such that 100% of this restricted share grant will vest on February 1, 2015.
- (3) These options became fully vested on February 1, 2014.
- (4) These options became fully vested on February 1, 2013.
- (5) These options became fully vested on February 1, 2012.
- (6) These options became fully vested on February 1, 2011.
- (7) These options became fully vested on October 1, 2012.
- (8) These options became fully vested on September 21, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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