

LIQUIDITY SERVICES INC
Form 4
October 03, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Roy G Cayce

2. Issuer Name and Ticker or Trading Symbol
LIQUIDITY SERVICES INC
[LQDT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
10/01/2013

____ Director
 Officer (give title below)
____ 10% Owner
____ Other (specify below)
Exec. VP/Pres. Asset Recovery

C/O LIQUIDITY SERVICES, INC., 1920 L STREET, N.W., 6TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

WASHINGTON, DC 20036

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount Price			
Common Stock	10/01/2013		S	5,287 D \$ 32.67	3,217	D	
Common Stock	10/02/2013		S	1,217 D \$ 32.51	2,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Fair Value of Derivative Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Grant	\$ 38.09					<u>(1)</u>	10/01/2022	Common Stock	2,394
Employee Stock Grant	\$ 38.09					<u>(2)</u>	10/01/2022	Common Stock	3,191
Employee Stock Option	\$ 38.09					<u>(3)</u>	10/01/2022	Common Stock	2,127
Employee Stock Option	\$ 38.09					<u>(4)</u>	10/01/2022	Common Stock	2,127
Employee Stock Grant	\$ 31.11					<u>(5)</u>	10/01/2021	Common Stock	2,352
Employee Stock Option	\$ 31.11					<u>(6)</u>	10/01/2021	Common Stock	7,806
Employee Stock Grant	\$ 15.47					<u>(7)</u>	10/01/2020	Common Stock	2,175
Employee Stock Option	\$ 15.47					<u>(8)</u>	10/01/2020	Common Stock	7,856
Employee Stock Grant	\$ 15.47					<u>(9)</u>	10/01/2020	Common Stock	13,815
Employee Stock Option	\$ 9.05					<u>(10)</u>	10/01/2019	Common Stock	3,443
	\$ 10.82					<u>(11)</u>	07/30/2018		300,000

