SIMMONS FIRST NATIONAL CORP

Form 4

November 05, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Bartlett David L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

SIMMONS FIRST NATIONAL

(Check all applicable)

CORP [SFNC]

(Last) (First) (Middle)

(Street)

(State)

SIMMONS FIRST NATIONAL

CORP, 501 MAIN STREET

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

X Director 10% Owner X_ Officer (give title Other (specify below)

11/05/2013

4. If Amendment, Date Original

President and CBO

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PINE BLUFF, AR 71603

(City)

		14	DIC 1 - 14011-	-Derivativ	CBCC	urities Ac	quireu, Disposeu	oi, oi benericia	ny Owncu
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
SFNC	11/05/2013		M	3,000	A	\$ 23.78	30,551	D	
SFNC	11/05/2013		S	3,000	D	\$ 33	27,551	D	
SFNC							1,988	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Incentive Stock Option	\$ 23.78	07/26/2004	11/05/2013	M	600	07/26/2008	07/26/2014	Common	60
Incentive Stock Option	\$ 23.78	07/26/2004	11/05/2013	M	2,400	12/31/2005	07/26/2014	Common	2,4
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	05/23/2007	05/24/2015	Common	22
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	05/23/2008	05/24/2015	Common	22
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	05/23/2009	05/24/2015	Common	22
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	12/31/2005	05/24/2015	Common	44
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2007	05/20/2016	Common	36
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2008	05/20/2016	Common	36
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2009	05/20/2016	Common	36
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2010	05/20/2016	Common	36
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2011	05/20/2016	Common	36
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2007	05/20/2016	Common	50
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2008	05/20/2016	Common	50
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2009	05/20/2016	Common	50
ŕ	\$ 26.19	05/22/2006		X	0	05/22/2010	05/20/2016	Common	50

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Non-Qualified Stock Option								
Non-Qualified Stock Option	\$ 26.19	05/22/2006	X	0	05/22/2011	05/20/2016	Common	3,0
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2008	05/31/2017	Common	48
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2009	05/31/2017	Common	48
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2010	05/31/2017	Common	4
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2011	05/31/2017	Common	4
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2012	05/31/2017	Common	4
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2009	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2010	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2011	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2012	05/28/2018	Common	1,2
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2013	05/28/2018	Common	1,2

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 8	Director	10% Owner	Officer	Other		
Bartlett David L SIMMONS FIRST NATIONAL CORP 501 MAIN STREET	X		President and CBO			
PINE BLUFF, AR 71603			and CBO			

Signatures

/s/David L. Bartlett by Piper P. Erwin 11/05/2013

**Signature of Reporting Person Date

Explanation of Responses:

Reporting Owners 3

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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