#### CENTURY ALUMINUM CO

Form 4 July 05, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Glencore AG

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

CENTURY ALUMINUM CO [CENX]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

06/30/2017

Director X 10% Owner Other (specify Officer (give title

BAARERMATTSTRASSE 3, P.O.

(Street)

(State)

(First)

**BOX 1301** 

(City)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BAAR, V8 CH-6341

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

(A) Transaction(s)

Code V (D) Price Amount

(Instr. 3 and 4)

Common Stock

06/30/2017

C 23,109

37,471,319 (2)

 $D^{(3)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: CENTURY ALUMINUM CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series A Convertible Preferred Stock	<u>(2)</u>	06/30/2017		C	231.09	(2)	(2)	Common Stock	23,109	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting owner name, reduces	Director	10% Owner	Officer	Other		
Glencore AG BAARERMATTSTRASSE 3 P.O. BOX 1301 BAAR, V8 CH-6341		X				
GLENCORE INTERNATIONAL AG BAARERMATTSTRASSE 3 P.O. BOX 1301 BAAR, V8 CH-6341		X				
Glencore plc BAARERMATTSTRASSE 3 P.O. BOX 1301 BAAR, V8 CH-6341		X				

# **Signatures**

GLENCORE AG By: /s/ David Streule, Officer	07/04/2017
**Signature of Reporting Person	Date
GLENCORE AG By: /s/ Stephan Huber, Officer	07/04/2017
**Signature of Reporting Person	Date
GLENCORE INTERNATIONAL AG By: /s/ Alicia Wright, Officer	07/04/2017
**Signature of Reporting Person	Date
GLENCORE INTERNATIONAL AG By: /s/ Ian Sloman, Officer	07/04/2017
**Signature of Reporting Person	Date
GLENCORE PLC By: /s/ Ivan Glasenberg, Director	07/04/2017

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Common Stock acquired upon conversion of 231.09 shares of Series A Convertible Preferred Stock on June 30, 2017
- Each share of Series A Convertible Preferred Stock is convertible into 100 shares of Common Stock at the times and under the
- (2) circumstances described in the Certificate of Designation for the Series A Convertible Preferred Stock. The Series A Convertible Preferred Stock has no expiration date.
- The shares of Common Stock reported in Table I and the shares of Series A Convertible Preferred Stock reported in Table II are held directly by Glencore AG, a direct wholly-owned subsidiary of Glencore International AG, and indirectly by Glencore International AG and its parent, Glencore plc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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