

NEOGENOMICS INC  
Form 3  
April 24, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â BALLIET JENNIFER                        |         | (Month/Day/Year)                     | NEOGENOMICS INC [NEO]                              |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| 12701 COMMONWEALTH DRIVE SUITE 9          |         | 04/16/2015                           | (Check all applicable)                             |  |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director                  | <input type="checkbox"/> 10% Owner                                     |
| FORT MYERS, Â FL Â 33913                  |         |                                      | <input checked="" type="checkbox"/> Officer        | <input type="checkbox"/> Other   |
| (City)                                    | (State) | (Zip)                                | (give title below)                                 | (specify below)  |
|   |         |                                      | Vice President Human Resources                     | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   |         |                                      |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 465   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Title   |  |  |   |

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|                             | Expiration Date                      | Amount or Number of Shares  | or Indirect (I) (Instr. 5) |
|-----------------------------|--------------------------------------|-----------------------------|----------------------------|
| Stock Option (Right to Buy) | 05/20/2012 <sup>(1)</sup> 05/20/2016 | Common Stock 3,334 \$ 1.45  | D Â                        |
| Stock Option (Right to Buy) | 10/14/2012 <sup>(2)</sup> 10/14/2016 | Common Stock 3,334 \$ 1.1   | D Â                        |
| Stock Option (Right to Buy) | 04/12/2012 <sup>(3)</sup> 04/12/2017 | Common Stock 6,250 \$ 1.69  | D Â                        |
| Stock Option (Right to Buy) | 04/16/2014 <sup>(4)</sup> 04/16/2018 | Common Stock 7,500 \$ 3.76  | D Â                        |
| Stock Option (Right to Buy) | 03/05/2015 <sup>(5)</sup> 03/05/2019 | Common Stock 15,000 \$ 3.45 | D Â                        |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                  |       |
|--|---------------|-----------|----------------------------------|-------|
|  | Director      | 10% Owner | Officer                          | Other |
| BALLIET JENNIFER<br>12701 COMMONWEALTH DRIVE SUITE 9<br>FORT MYERS, FL 33913 | Â             | Â         | Â Vice President Human Resources | Â     |

## Signatures

/s/ Jennifer Balliet 04/24/2015

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On May 20, 2011 Jennifer Balliet was granted 10,000 stock options. The options vested ratably over the first three anniversary dates of the grant date. Jennifer exercised 6,666 of these options and she currently has 3,334 options available to exercise.
- (2) On October 14, 2011 Jennifer Balliet was granted 10,000 stock options. The options vest ratably over the first three anniversary dates of the grant date. Jennifer Balliet exercised 6,666 options from this grant and has 3,334 options available to be exercised.
- (3) On April 12, 2012 Jennifer Balliet was granted 12,500 stock options. One quarter of these options vested immediately and the remaining options vested on the next three anniversary dates of the grant date. Jennifer Balliet has exercised 6,250 stock options from this grant and has 6,250 stock options available to be exercised.
- (4) On April 16, 2013 Jennifer Balliet was granted 7,500 stock options. The options vest ratably over the first three anniversary dates of the grant date.
- (5) On March 5, 2014 Jennifer Balliet was granted 15,000 stock options. The options vest ratably over the first three anniversary dates of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.