Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUS	TRIES INC									
Form 4 November 30, 2	015									
FORM 4	1							OMB A	PPROVAL	
	• UNITED	STATES					E COMMISSIO	N OMB Number:	3235-0287	
Check this be if no longer subject to Section 16. Form 4 or Form 5 obligations may continue <i>See</i> Instruction 1(b).	STATEN Filed pur Section 17(Washington, D.C. 20549Number:CATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESExpires:Estimated a burden hour responseEstimated a burden hour responseIled pursuant to Section 16(a) of the Securities Exchange Act of 1934, ion 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
(Print or Type Resp	oonses)									
1. Name and Address of Reporting Person <u>*</u> Cumming Lawrence R			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]				5. Relationship of Reporting Person(s) to Issuer[(Check all applicable)			
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction					ek an applicable)		
3417 LAKESIDE DR			(Month/Day/Year) 11/25/2015				Director 10% Owner X_ Officer (give title Other (specify below) President-Peterson Pacific			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting 				
EUGENE, OR	97401						Person	More than One K	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
	'ransaction Date onth/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report of	on a separate line	for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.			
1					Perso inforn requir	ns who res nation con red to resp nys a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

Edgar Filing: ASTEC INDUSTRIES INC - Form 4

(Instr. 3)	Price of Derivative Security	ative		(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Inst	
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>	11/25/2015		А	9.2459 (1)		<u>(1)</u>	<u>(1)</u>	Common Stock	9.2459 (1)	\$ 4

Reporting Owners

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Cumming Lawrence R 3417 LAKESIDE DR EUGENE, OR 97401			President-Peterson Pacific		
Signatures					

Robert Taylor, attorney in fact for Lawrence R. Cumming

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Transaction represents the automatic reinvestment of a cash dividend on phantom sotck held in the reporting person's SERP account that

11/30/2015

Date

(1) was used to purchase Astec stock as approved by the Company's Board of Directors. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.