

CESCA THERAPEUTICS INC.

Form 4/A

April 17, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Plavan Matthew T

2. Issuer Name and Ticker or Trading Symbol  
CESCA THERAPEUTICS INC.  
[KOOL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O CESCA THERAPEUTICS INC., 2711 CITRUS RD.

06/01/2012

CEO & Director

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
07/31/2014

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

RANCHO CORDOVA, CA 95742

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price (A) or (D)		
Common Stock	06/01/2012		F		3,300 <u>(1)</u> <u>(2)</u>	D	\$ 0.87 115,450 <u>(3)</u> D
Common Stock	07/29/2012		F		8,263 <u>(1)</u> <u>(2)</u>	D	\$ 0.88 107,187 <u>(4)</u> D
Common Stock	06/01/2013		F		3,300 <u>(1)</u> <u>(2)</u>	D	\$ 1.17 103,887 <u>(5)</u> D
Common Stock	07/29/2013		F		8,402 <u>(1)</u> <u>(2)</u>	D	\$ 1.16 95,485 <u>(6)</u> D
Common Stock	06/01/2014		F		3,395 <u>(1)</u> <u>(2)</u>	D	\$ 1.71 302,090 <u>(7)</u> D



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- (4) Also includes 20,000 shares of restricted stock which will vest June 1, 2013 and 2014 and 50,000 shares of restricted stock that will vest in two equal installments on July 29, 2013 and 2014.
- (5) Also includes 10,000 shares of restricted stock which will vest June 1, 2014 and 50,000 shares of restricted stock which will vest in two equal installments on July 29, 2013 and 2014.
- (6) Also includes 10,000 shares of restricted stock which will vest on June 1, 2014 and 25,000 shares of restricted stock which will vest on July 29, 2014.
- (7) Also includes 25,000 shares of restricted stock which will vest on July 29, 2014 and 210,000 shares of restricted stock which will vest in three equal installments on March 3, 2015, 2016 and 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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