

ASTRO MED INC /NEW/  
Form 4  
January 20, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ondis April

(Last) (First) (Middle)

600 EAST GREENWICH AVENUE,

(Street)

WEST WARWICK, RI 02893

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction (Month/Day/Year)  
01/15/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |         |       |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---------|-------|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |         |       |   |
| Common Stock                    | 01/15/2015                           |  | M                              | V   | 1,562   | A  | \$ 6.768  | 126,037 | D (2) |   |
| Common Stock                    | 01/15/2015                           |  | F(1)                           |   | 767   | D  | \$ 13.79  | 125,270 | D (2) |   |
| Common Stock                    |                                      |  |                                |   |   |  |   | 882,305 | I     | Held by the Estate of Albert W. Ondis of which April Ondis is a co-executor (3) |

|              |         |                   |  |
|--------------|---------|-------------------|--|
| Common Stock | 3,858   | I                 | Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis <sup>(4)</sup>      |
| Common Stock | 670     | I                 | Held under the issuer's Employee Stock Ownership Plan for the account of April Ondis <sup>(5)</sup>          |
| Common Stock | 326     | I                 | Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis, III <sup>(6)</sup> |
| Common Stock | 1,658   | I                 | Held in trust for a child of Albert W. Ondis, III <sup>(7)</sup>   |
| Common Stock | 122,097 | D <sup>(8)</sup>  |  |
| Common Stock | 5,614   | I                 | Held in trust for a child of Alexis Ondis <sup>(9)</sup>   |
| Common Stock | 122,096 | D <sup>(10)</sup> |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)



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\_\_Signature of Reporting Person

Date

Margaret D. Farrell (Attorney-in-fact for April Ondis)

01/20/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) April Ondis delivered 767 shares of the issuer's common stock to pay the exercise price of the options as reported herein.
- (2) These shares are owned directly by April Ondis.
- (3) These shares are owned directly by the Estate of Albert W. Ondis and indirectly by Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (4) These shares are owned indirectly by the Estate of Albert W. Ondis and each of Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (5) These shares are owned indirectly by April Ondis.
- (6) These shares are owned indirectly by Albert W. Ondis, III.
- (7) These shares are owned indirectly by Albert W. Ondis, III, as trustee of a trust for a child of Albert W. Ondis, III.
- (8) These shares are owned directly by Albert W. Ondis, III.
- (9) These shares are owned indirectly by Alexis Ondis, as trustee of a trust for a child of Alexis Ondis.
- (10) These shares are owned directly by Alexis Ondis.

### Remarks:

Albert W. Ondis, III, Alexis Ondis and April Ondis are each co-executors of the Estate of Albert W. Ondis and ten percent ow

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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