

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR/A

NOMURA HOLDINGS INC
Form 13F-HR/A
January 25, 2011

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F
Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 12/31/2010
Check here if Amendment [X]; Amendment Number: 1

This Amendment (Check only one.): [X] is a restatement.
[] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Nomura Holdings Inc.
Address: 1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Form 13F File Number:

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Persons Signing this Report on Behalf of Reporting Manager:

Name: Brendan G. Daly
Title: Head of Compliance and Surveillance
Phone: 212-667-1246

Signature, Place, and Date of Signing:

| | | |
|---------------------|---------------|-----------|
| /s/ Brendan G. Daly | New York, NY | 1/25/2011 |
| ----- | ----- | ----- |
| [Signature] | [City, State] | [Date] |

Report Type (Check only one):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager:

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Form 13F SUMMARY PAGE

Report Summary:

| | |
|--|----------------|
| Number of Other Included Managers: | 5 |
| Form 13F Information Table Entry Total: | 463 |
| Form 13F Information Table Value Total: \$ | 3,096,254 |
| | ----- |
| | (In Thousands) |

List of Other Included Managers:

Provide a numbered list of the name(s) and 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

| No. | Form 13F File Number | Name |
|-----|----------------------|---|
| 1 | 28-04983 | Nomura Securities International, Inc. |
| 2 | 28-04985 | Nomura International plc |
| 3 | 28-04979 | Nomura Securities Company, Ltd |
| 4 | 028-13982 | Nomura Corporate Research & Asset Management Inc. |
| 5 | | Nomura Funds Research and Technologies America Inc. |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|-----------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGE |
| 3M CO COM USD0.0 | CMN | 88579Y101 | 907 | 10512 | SH | SOLE | 2 |
| A123 Systems, In | CMN | 03739T108 | 236.4012 | 24780 | SH | SOLE | 3 |
| ABBOTT LABORATOR | CMN | 002824100 | 925 | 19300 | SH | DEFINED | 2 |
| ABBOTT LABORATOR | CMN | 002824100 | 11498 | 240000 | SH | DEFINED | 1 |
| ABERCROMBIE & FI | CMN | 002896207 | 373 | 6470 | SH | SOLE | 2 |
| ACE LTD CHF30.57 | CMN | H0023R105 | 428 | 6882 | SH | SOLE | 2 |
| ACME PACKET INC | CMN | 004764106 | 494 | 9300 | SH | SOLE | 1 |
| ADOBE SYSTEMS IN | CMN | 00724F101 | 999 | 32452 | SH | SOLE | 2 |
| ADVANCED MICRO D | CMN | 007903107 | 476 | 58100 | SH | SOLE | 2 |
| AES CORP/THE COM | CMN | 00130H105 | 420 | 34449 | SH | SOLE | 2 |
| AETNA INC COM US | CMN | 00817Y108 | 333 | 10904 | SH | SOLE | 2 |
| AFLAC INC COM US | CMN | 001055102 | 779 | 13797 | SH | SOLE | 1 |
| AIRGAS INC COM U | CMN | 009363102 | 1887 | 30216 | SH | SOLE | 2 |
| AK STEEL HOLDING | CMN | 001547108 | 1123 | 68607 | SH | SOLE | 2 |
| ALCON INC COM CH | CMN | H01301102 | 14707 | 90000 | SH | SOLE | 2 |
| ALEXION PHARMACE | CMN | 015351109 | 2618 | 32500 | SH | SOLE | 1 |

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|------------------|-----|-----------|-------------|---------|----|---------|---|
| ALLEGHENY TECHNO | CMN | 01741R102 | 245 | 4443 | SH | SOLE | 2 |
| ALLERGAN INC/UNI | CMN | 018490102 | 7666 | 111628 | SH | SOLE | 1 |
| ALLIS-CHALMERS C | CMN | 19645506 | 137.546 | 19400 | SH | SOLE | 4 |
| AMERICAN ELECTRI | CMN | 025537101 | 700 | 19449 | SH | SOLE | 2 |
| AMERICAN EXPRESS | CMN | 025816109 | 2123 | 49463 | SH | DEFINED | 2 |
| AMERICAN EXPRESS | CMN | 025816109 | 1325 | 30866 | SH | DEFINED | 1 |
| AMERICAN INTERNA | CMN | 026874784 | 589 | 10220 | SH | DEFINED | 2 |
| AMERICAN TOWER C | CMN | 029912201 | 311 | 6027 | SH | SOLE | 2 |
| AMERIPRISE FINAN | CMN | 03076C106 | 421 | 7308 | SH | SOLE | 1 |
| AMGEN INC COM US | CMN | 031162100 | 922 | 16800 | SH | SOLE | 2 |
| ANALOG DEVICES I | CMN | 032654105 | 529 | 14054 | SH | DEFINED | 2 |
| ANALOG DEVICES I | CMN | 032654105 | 1884 | 50000 | SH | DEFINED | 1 |
| ANNTAYLOR STORES | CMN | 036115103 | 1301 | 47500 | SH | SOLE | 1 |
| AON CORP COM USD | CMN | 037389103 | 430 | 9352 | SH | SOLE | 1 |
| APACHE CORP USDO | CMN | 037411105 | 990 | 8300 | SH | SOLE | 1 |
| APPLE INC COM | CMN | 037833100 | 343.4907225 | 1065 | SH | DEFINED | 3 |
| APPLE INC COM NP | CMN | 037833100 | 206 | 640 | SH | DEFINED | 2 |
| APPLE INC COM NP | CMN | 037833100 | 4350 | 13485 | SH | DEFINED | 2 |
| APPLE INC COM NP | CMN | 037833100 | 1000 | 3100 | SH | DEFINED | 1 |
| APPLIED MATERIAL | CMN | 038222105 | 490 | 34910 | SH | SOLE | 2 |
| ARCELORMITTAL NY | CMN | 03938L104 | 9486 | 248800 | SH | SOLE | 2 |
| ARCHER-DANIELS-M | CMN | 039483102 | 649 | 21583 | SH | DEFINED | 2 |
| ARCHER-DANIELS-M | CMN | 039483102 | 4493 | 149375 | SH | DEFINED | 1 |
| ARCTIC CAT INC C | CMN | 039670104 | 1126 | 76902 | SH | SOLE | 1 |
| ARMSTRONG WORLD | CMN | 04247X102 | 219.902 | 5114 | SH | SOLE | 4 |
| AT&T INC COM USD | CMN | 00206R102 | 1821 | 62000 | SH | SOLE | 2 |
| AUTOMATIC DATA P | CMN | 053015103 | 217 | 4683 | SH | SOLE | 2 |
| AVON PRODUCTS IN | CMN | 054303102 | 375 | 12899 | SH | SOLE | 2 |
| BAKER HUGHES INC | CMN | 057224107 | 421 | 7365 | SH | SOLE | 2 |
| BANCO SANTANDER | CMN | 05964H105 | 639 | 60000 | SH | SOLE | 2 |
| BANK OF AMERICA | CMN | 060505104 | 24388 | 1827672 | SH | DEFINED | 2 |
| BANK OF AMERICA | CMN | 060505104 | 1267 | 94955 | SH | DEFINED | 2 |
| BANK OF AMERICA | CMN | 060505104 | 3938 | 295132 | SH | DEFINED | 1 |
| BANK OF NEW YORK | CMN | 064058100 | 1380 | 45691 | SH | DEFINED | 1 |
| BARRICK GOLD COR | CMN | 067901108 | 640 | 12031 | SH | SOLE | 2 |
| BAXTER INTERNATI | CMN | 071813109 | 232 | 4590 | SH | SOLE | 2 |
| BB&T CORP COM US | CMN | 054937107 | 803 | 30533 | SH | SOLE | 1 |
| BEAZER HOMES USA | CMN | 07556Q105 | 282 | 52300 | SH | SOLE | 1 |
| BERKSHIRE HATHAW | CMN | 084670702 | 4081 | 50939 | SH | SOLE | 1 |
| BEST BUY CO INC | CMN | 086516101 | 1147 | 33457 | SH | SOLE | 1 |
| BHP BILLITON LTD | CMN | 088606108 | 1067 | 11478 | SH | SOLE | 2 |
| BLACKROCK INC/NE | CMN | 09247X101 | 521 | 2732 | SH | SOLE | 1 |
| BLUE COAT SYSTEM | CMN | 09534T508 | 2988 | 100000 | SH | SOLE | 2 |
| BOEING CO COM US | CMN | 097023105 | 1711 | 26216 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|------------------|----------------|-----------|--------------------|--------------------|-------------------------|------------------------------------|---|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION MANAGE | |
| BROADCOM CORP CL | CMN | 111320107 | 550 | 12621 | SH | SOLE | 2 |
| BUCYRUS INTERNAT | CMN | 118759109 | 7861 | 87923 | SH | SOLE | 2 |
| BUNGE LTD COM US | CMN | G16962105 | 644 | 9831 | SH | SOLE | 2 |
| CAMECO CORP COM | CMN | 13321L108 | 275 | 6800 | SH | SOLE | 2 |

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| | | | | | | | |
|-------------------|-----|-----------|-------------|---------|----|---------|---|
| CAPITAL ONE FINA | CMN | 14040H105 | 571 | 13420 | SH | SOLE | 1 |
| CARMAX INC COM U | CMN | 143130102 | 828 | 25969 | SH | SOLE | 2 |
| CARNIVAL CORP CO | CMN | 143658300 | 445 | 9658 | SH | SOLE | 2 |
| CATERPILLAR INC | CMN | 149123101 | 1998 | 21335 | SH | SOLE | 2 |
| CEMEX SAB DE CV | ADR | 151290889 | 39255.12738 | 3664278 | SH | SOLE | 2 |
| CEPHALON INC COM | CMN | 156708109 | 19120 | 309792 | SH | SOLE | 2 |
| CHARLES RIVER LA | CMN | 159864107 | 2661 | 74848 | SH | SOLE | 2 |
| CHEVRON CORP COM | CMN | 166764100 | 694 | 7600 | SH | DEFINED | 2 |
| CHEVRON CORP COM | CMN | 166764100 | 1158 | 12695 | SH | DEFINED | 2 |
| CHIMERA INVT COR | CMN | 16934Q109 | 122 | 29665 | SH | SOLE | 1 |
| CHIQUITA BRANDS | CMN | 170032809 | 313 | 22300 | SH | SOLE | 1 |
| CHMT CS | CMN | 163893209 | 5543.71768 | 346916 | SH | SOLE | 4 |
| CHTR CS | CMN | 16117M305 | 10319.99562 | 265023 | SH | SOLE | 4 |
| CHUBB CORP COM U | CMN | 171232101 | 534 | 8953 | SH | SOLE | 1 |
| CIA SIDERURGICA | CMN | 20440W105 | 13499 | 809713 | SH | SOLE | 2 |
| CIENA CORP COM S | CMN | 171779309 | 1654 | 78600 | SH | SOLE | 1 |
| CISCO SYSTEMS IN | CMN | 17275R102 | 744 | 36750 | SH | DEFINED | 2 |
| CISCO SYSTEMS IN | CMN | 17275R102 | 327 | 16181 | SH | DEFINED | 2 |
| CIT CS | CMN | 125581801 | 307.2804 | 6524 | SH | SOLE | 4 |
| CIT GROUP INC/NE | CMN | 125581801 | 267 | 5666 | SH | SOLE | 1 |
| CITIGROUP INC | CMN | 172967101 | 425.14014 | 89800 | SH | DEFINED | 3 |
| CITIGROUP INC CO | CMN | 172967101 | 11300 | 2386874 | SH | DEFINED | 2 |
| CITIGROUP INC CO | CMN | 172967101 | 11840 | 2500858 | SH | DEFINED | 2 |
| CITIGROUP INC CO | CMN | 172967101 | 9205 | 1944329 | SH | DEFINED | 1 |
| CLIFFS NATURAL R | CMN | 18683K101 | 503 | 6450 | SH | SOLE | 2 |
| CME GROUP INC CO | CMN | 12572Q105 | 615 | 1911 | SH | SOLE | 1 |
| COACH INC COM US | CMN | 189754104 | 287 | 5188 | SH | SOLE | 2 |
| COCA-COLA CO/THE | CMN | 191216100 | 853 | 12965 | SH | SOLE | 2 |
| COINSTAR INC COM | CMN | 19259P300 | 2972 | 52655 | SH | SOLE | 1 |
| COLGATE-PALMOLIV | CMN | 194162103 | 789 | 9818 | SH | SOLE | 2 |
| COMMSCOPE INC CO | CMN | 203372107 | 3746 | 120000 | SH | SOLE | 2 |
| CONOCOPHILLIPS C | CMN | 20825C104 | 385 | 5648 | SH | SOLE | 2 |
| CONSTAR INTL INC | CMN | 21036U107 | 0 | 82200 | SH | SOLE | 1 |
| CONSUMER STAPLES | CMN | 81369Y308 | 360 | 12288 | SH | SOLE | 2 |
| COORS (ADOLPH) CO | CMN | 60871R209 | 788 | 15700 | SH | SOLE | 1 |
| CORNING INC COM | CMN | 219350105 | 443 | 22929 | SH | DEFINED | 2 |
| CORNING INC COM | CMN | 219350105 | 4056 | 210000 | SH | DEFINED | 1 |
| COVANCE INC COM | CMN | 222816100 | 16568 | 322290 | SH | SOLE | 2 |
| COVENTRY HEALTH | CMN | 222862104 | 871 | 32992 | SH | SOLE | 1 |
| CREE INC COM USD | CMN | 225447101 | 505 | 7658 | SH | SOLE | 2 |
| CSX CORP COM USD | CMN | 126408103 | 240 | 3717 | SH | SOLE | 2 |
| CUBIST PHARMACEU | CMN | 229678107 | 219 | 10235 | SH | SOLE | 1 |
| CUMMINS INC COM | CMN | 231021106 | 764 | 6943 | SH | SOLE | 2 |
| DANAHER CORP COM | CMN | 235851102 | 387 | 8206 | SH | SOLE | 1 |
| DEERE & CO COM U | CMN | 244199105 | 234 | 2812 | SH | SOLE | 2 |
| DEL MONTE FOODS | CMN | 24522P103 | 7013 | 373100 | SH | SOLE | 2 |
| DELTA AIR LINES | CMN | 247361702 | 568 | 45109 | SH | DEFINED | 2 |
| DELTA AIR LINES | CMN | 247361702 | 1001 | 79500 | SH | DEFINED | 1 |
| DELTA AIR LINES | CMN | 247361702 | 199.7604 | 15854 | SH | SOLE | 4 |
| DEXO CS | CMN | 25212W100 | 3520.62018 | 471933 | SH | SOLE | 4 |
| DIAMOND OFFSHORE | CMN | 25271C102 | 404 | 6041 | SH | SOLE | 2 |
| DIREXION DAILY R | CMN | 25459W755 | 11363 | 200000 | SH | SOLE | 1 |
| DIREXIONSHARES M | CMN | 25459W524 | 936 | 100000 | SH | SOLE | 1 |
| DISCOVER FINANCI | CMN | 254709108 | 286 | 15433 | SH | SOLE | 1 |
| DOMINION RESOURC | CMN | 25746U109 | 427 | 10000 | SH | SOLE | 2 |
| DU PONT EI DE NE | CMN | 263534109 | 253 | 5072 | SH | SOLE | 2 |

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|------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|-----------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGE |
| DYNEGY INC COM S | CMN | 26817G300 | 563 | 100000 | SH | SOLE | 2 |
| ELI LILLY & CO C | CMN | 532457108 | 3505 | 100000 | SH | SOLE | 1 |
| EMC CORP/MASSACH | CMN | 268648102 | 2982 | 130200 | SH | SOLE | 1 |
| EMERSON ELECTRIC | CMN | 291011104 | 343 | 5991 | SH | SOLE | 2 |
| ENTERGY CORP COM | CMN | 29364G103 | 14519 | 204987 | SH | DEFINED | 2 |
| ENTERGY CORP COM | CMN | 29364G103 | 337 | 4759 | SH | DEFINED | 2 |
| EQUINIX INC COM | CMN | 29444U502 | 11589 | 142606 | SH | SOLE | 2 |
| EXCO RESOURCES I | CMN | 269279402 | 2991 | 154000 | SH | SOLE | 2 |
| EXELIXIS INC COM | CMN | 30161Q104 | 139 | 17000 | SH | SOLE | 2 |
| EXELON CORP COM | CMN | 30161N101 | 1179 | 28320 | SH | SOLE | 2 |
| EXPEDITORS INTL | CMN | 302130109 | 480 | 8786 | SH | SOLE | 2 |
| EXPRESS SCRIPTS | CMN | 302182100 | 393 | 7270 | SH | SOLE | 2 |
| EXXON MOBIL CORP | CMN | 30231G102 | 7152 | 97800 | SH | DEFINED | 2 |
| EXXON MOBIL CORP | CMN | 30231G102 | 2410 | 32955 | SH | DEFINED | 2 |
| FEMO CS | CMN | 313549404 | 1272.08987 | 61602 | SH | SOLE | 4 |
| FIDELITY NATIONA | CMN | 31620M106 | 203 | 7400 | SH | SOLE | 1 |
| FIFTH THIRD BANC | CMN | 316773100 | 327 | 22292 | SH | SOLE | 1 |
| FIN SELECT SECTO | CMN | 81369Y605 | 12076 | 756970 | SH | SOLE | 1 |
| FINANCIAL BEAR 3 | CMN | 25459W490 | 21742 | 2300000 | SH | SOLE | 1 |
| FINISAR CORP USD | CMN | 31787A507 | 1763 | 59400 | SH | SOLE | 1 |
| FINISH LINE CLAS | CMN | 317923100 | 174 | 10100 | SH | SOLE | 2 |
| FIRST NIAGARA FI | CMN | 33582V108 | 155 | 11106 | SH | SOLE | 1 |
| FLUOR CORP COM U | CMN | 343412102 | 823 | 12423 | SH | SOLE | 2 |
| FMC CORP COM STK | CMN | 302491303 | 479 | 5995 | SH | SOLE | 2 |
| FOCUS MEDIA HOLD | CMN | 34415V109 | 7908 | 360489 | SH | SOLE | 2 |
| FORD MOTOR CO CO | CMN | 345370134 | 10195 | 1250000 | SH | DEFINED | 2 |
| FORD MOTOR CO CO | CMN | 345370134 | 378 | 46360.97 | SH | DEFINED | 1 |
| FOREST CITY ENTS | CMN | 345550107 | 2381 | 142703 | SH | SOLE | 2 |
| FORTINET INC USD | CMN | 34959E109 | 575 | 17790 | SH | SOLE | 2 |
| FORTRESS INVESTM | CMN | 34958B106 | 344779.6962 | 60487666 | SH | SOLE | 1 |
| FOSTER WHEELER A | CMN | H27178104 | 579 | 16764 | SH | SOLE | 2 |
| FRANKLIN RESOURC | CMN | 354613101 | 487 | 4379 | SH | SOLE | 1 |
| FREEPORT-MCMORAN | CMN | 35671D857 | 604 | 5030 | SH | DEFINED | 2 |
| FREEPORT-MCMORAN | CMN | 35671D857 | 13078 | 108900 | SH | DEFINED | 1 |
| GAP INC/THE COM | CMN | 364760108 | 2428 | 109650 | SH | SOLE | 2 |
| GAYLORD ENTERTAI | CMN | 367905106 | 2277 | 63357 | SH | SOLE | 2 |
| GENERAL DYNAMICS | CMN | 369550108 | 338 | 4762 | SH | SOLE | 2 |
| GENERAL ELECTRIC | CMN | 369604103 | 3412 | 186500 | SH | DEFINED | 2 |
| GENERAL ELECTRIC | CMN | 369604103 | 3703 | 202402 | SH | DEFINED | 2 |
| GENERAL ELECTRIC | CMN | 369604103 | 1669 | 91212 | SH | DEFINED | 1 |
| GENERAL MOTORS C | CMN | 024674192 | 850.4177696 | 23072 | SH | SOLE | 3 |
| GENZYME CORP COM | CMN | 372917104 | 5718 | 80309 | SH | SOLE | 2 |
| GERBER SCIENTIFI | CMN | 373730100 | 509 | 64573 | SH | SOLE | 1 |
| GERON CORP COM U | CMN | 374163103 | 1109 | 213830 | SH | SOLE | 1 |
| GGC CS | CMN | 373200302 | 3025.64124 | 125754 | SH | SOLE | 4 |
| GILEAD SCIENCES | CMN | 375558103 | 25337 | 699250 | SH | DEFINED | 2 |
| GILEAD SCIENCES | CMN | 375558103 | 309 | 8520 | SH | DEFINED | 2 |
| GOL LINHAS AEREA | CMN | 38045R107 | 210 | 13686 | SH | SOLE | 2 |
| GOLDCORP INC COM | CMN | 380956409 | 450 | 9777 | SH | SOLE | 2 |
| GOOGLE INC COM U | CMN | 38259P508 | 893 | 1503 | SH | SOLE | 2 |
| GRAY TELEVISION | CMN | 389375106 | 5610 | 2992213 | SH | SOLE | 1 |
| GROUP 1 AUTOMOTI | CMN | 398905109 | 351 | 8400 | SH | SOLE | 1 |
| HALLIBURTON CO C | CMN | 406216101 | 392 | 9599 | SH | SOLE | 2 |
| HARTFORD FINANCI | CMN | 416515104 | 2700 | 101959 | SH | SOLE | 2 |

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|------------------|-----|-----------|------|--------|----|---------|---|
| HEWLETT-PACKARD | CMN | 428236103 | 8844 | 210100 | SH | DEFINED | 2 |
| HEWLETT-PACKARD | CMN | 428236103 | 1136 | 26994 | SH | DEFINED | 2 |
| HOLOGIC INC COM | CMN | 436440101 | 1251 | 66500 | SH | SOLE | 1 |
| HOME DEPOT INC C | CMN | 437076102 | 439 | 12514 | SH | SOLE | 2 |
| HONEYWELL INTERN | CMN | 438516106 | 977 | 18385 | SH | SOLE | 2 |
| HUDSON CITY BANC | CMN | 443683107 | 175 | 13752 | SH | DEFINED | 1 |

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|------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|-----------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGE |
| HUGHES COMMUNICA | CMN | 444398101 | 40453 | 1000000 | SH | SOLE | 2 |
| HUGHES ELECTRONI | CMN | 25490A101 | 4276 | 107076 | SH | DEFINED | 2 |
| HUGHES ELECTRONI | CMN | 25490A101 | 942 | 23594 | SH | DEFINED | 2 |
| HUNT (J.B.) TRAN | CMN | 445658107 | 2576 | 63125 | SH | SOLE | 1 |
| HUNTINGTON BANCS | CMN | 446150104 | 144 | 20884 | SH | SOLE | 1 |
| ICICI BANK LTD I | CMN | 45104G104 | 5763 | 113800 | SH | SOLE | 2 |
| ILLINOIS TOOL WO | CMN | 452308109 | 257 | 4803 | SH | SOLE | 2 |
| INDUSTRIAL SELEC | CMN | 81369Y704 | 406 | 11635 | SH | SOLE | 2 |
| INFOSYS TECHNOLO | CMN | 456788108 | 7608 | 100000 | SH | SOLE | 2 |
| INGERSOLL-RAND P | CMN | G47791101 | 2121 | 45043 | SH | SOLE | 1 |
| INNOPHOS HOLDING | CMN | 45774N108 | 319.38016 | 8852 | SH | SOLE | 4 |
| INTEL CORP COM U | CMN | 458140100 | 770 | 36600 | SH | DEFINED | 2 |
| INTEL CORP COM U | CMN | 458140100 | 3136 | 149099 | SH | DEFINED | 2 |
| INTERCONTINENTAL | CMN | 45865V100 | 249 | 2086 | SH | SOLE | 1 |
| INTERNATIONAL BU | CMN | 459200101 | 1468 | 10004 | SH | SOLE | 2 |
| INTERNATIONAL GA | CMN | 459902102 | 8497 | 480393 | SH | SOLE | 2 |
| INTL FLAVORS & F | CMN | 459506101 | 464 | 8349 | SH | SOLE | 2 |
| INTREPID POTASH | CMN | 46121Y102 | 4246 | 113856 | SH | SOLE | 1 |
| INTUIT INC COM U | CMN | 461202103 | 320 | 6501 | SH | SOLE | 2 |
| IPATH INVERSE S& | CMN | 06740L592 | 658 | 20000 | SH | SOLE | 2 |
| IPATH S&P 500 VI | CMN | 06740C527 | 0 | 1000025 | SH | DEFINED | 2 |
| IPATH S&P 500 VI | CMN | 06740C527 | 0 | 28000 | SH | DEFINED | 2 |
| ISHARES FTSE/CHI | CMN | 464287184 | 27039 | 627435 | SH | SOLE | 1 |
| ISHARES INC - MS | CMN | 464286822 | 458 | 7400 | SH | SOLE | 2 |
| ISHARES MSCI BRA | CMN | 464286400 | 728 | 9400 | SH | DEFINED | 2 |
| ISHARES MSCI BRA | CMN | 464286400 | 1956 | 25275 | SH | DEFINED | 2 |
| ISHARES MSCI BRA | CMN | 464286400 | 23945 | 309340 | SH | DEFINED | 1 |
| ISHARES MSCI EAF | CMN | 464287465 | 317 | 5449 | SH | SOLE | 2 |
| ISHARES MSCI HK | CMN | 464286871 | 889 | 47000 | SH | DEFINED | 2 |
| ISHARES RUSSELL | CMN | 464287655 | 5146 | 65774 | SH | SOLE | 1 |
| ISHARES S&P 100 | CMN | 464287101 | 271 | 4778 | SH | SOLE | 2 |
| ISHARES SILVER T | CMN | 46428Q109 | 2415 | 80000 | SH | SOLE | 2 |
| ISHARES TRUST DO | CMN | 464287739 | 1282 | 22911 | SH | SOLE | 1 |
| ISHARES TRUST DO | CMN | 464287721 | 269 | 4182 | SH | SOLE | 2 |
| ISHARES TRUST GO | CMN | 464287242 | 1217 | 11222 | SH | SOLE | 2 |
| ISHARES TRUST MS | CMN | 464287234 | 6515 | 136753 | SH | DEFINED | 2 |
| ISHARES TRUST MS | CMN | 464287234 | 6393 | 134194 | SH | DEFINED | 1 |
| ISHARES TRUST RU | CMN | 464287622 | 405 | 5802 | SH | SOLE | 2 |
| ITRON INC COM NP | CMN | 465741106 | 1139 | 20542 | SH | SOLE | 2 |
| J CREW GROUP INC | CMN | 46612H402 | 3467 | 80357 | SH | SOLE | 2 |
| JAPAN SMALLER CA | CMN | 47109U104 | 1789 | 199426 | SH | SOLE | 2 |
| JEFFERIES GROUP | CMN | 472319102 | 274 | 10284 | SH | SOLE | 1 |

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| | | | | | | | |
|------------------|-----|-----------|-------|---------|----|---------|---|
| JO-ANN STORES IN | CMN | 47758P307 | 5119 | 85000 | SH | SOLE | 2 |
| JOHNSON & JOHNSO | CMN | 478160104 | 1384 | 22386 | SH | SOLE | 2 |
| JOY GLOBAL INC C | CMN | 481165108 | 4217 | 48614 | SH | SOLE | 2 |
| JP MORGAN CHASE | CMN | 46625H100 | 7627 | 179780 | SH | DEFINED | 2 |
| JP MORGAN CHASE | CMN | 46625H100 | 74080 | 1746279 | SH | DEFINED | 1 |
| JUNIPER NETWORKS | CMN | 48203R104 | 402 | 10891 | SH | SOLE | 2 |
| KAISER ALUMINUM | CMN | 483007704 | 3037 | 60631 | SH | SOLE | 2 |
| KBW REGIONAL BAN | CMN | 78464A698 | 14496 | 548000 | SH | SOLE | 1 |
| KELLOGG CO COM U | CMN | 487836108 | 307 | 6016 | SH | SOLE | 2 |
| KEY ENERGY SERVI | CMN | 492914106 | 1233 | 94984 | SH | SOLE | 1 |
| KEYCORP COM USD1 | CMN | 493267108 | 220 | 24920 | SH | SOLE | 1 |
| KIMBERLY-CLARK C | CMN | 494368103 | 689 | 10932 | SH | SOLE | 2 |
| KING PHARMACEUTI | CMN | 495582108 | 2821 | 200812 | SH | SOLE | 2 |
| KINROSS GOLD COR | CMN | 496902404 | 892 | 47058 | SH | SOLE | 2 |
| KLA-TENCOR CORP | CMN | 482480100 | 447 | 11557 | SH | SOLE | 2 |
| KNIGHT CAPITAL G | CMN | 499005106 | 9989 | 724000 | SH | SOLE | 2 |
| KRAFT FOODS INC | CMN | 50075N104 | 878 | 27849 | SH | SOLE | 2 |
| KRISPY KREME DOU | CMN | 501014104 | 282 | 40329 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|-----------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGE |
| LAM RESEARCH COR | CMN | 512807108 | 495 | 9554 | SH | SOLE | 2 |
| LAS VEGAS SANDS | CMN | 517834107 | 531 | 11552 | SH | SOLE | 2 |
| LEA CS | CMN | 521865204 | 518.2275 | 5250 | SH | SOLE | 4 |
| LIBERTY MEDIA-IN | CMN | 53071M104 | 24115 | 1529598 | SH | SOLE | 2 |
| LIFEPOINT HOSPIT | CMN | 53219L109 | 551 | 15000 | SH | SOLE | 1 |
| LINCOLN NATIONAL | CMN | 534187109 | 224 | 8042 | SH | SOLE | 1 |
| LOCKHEED MARTIN | CMN | 539830109 | 291 | 4158 | SH | SOLE | 2 |
| LOEWS CORP COM U | CMN | 540424108 | 361 | 9277 | SH | SOLE | 1 |
| LORILLARD INC US | CMN | 544147101 | 3870 | 47156 | SH | SOLE | 1 |
| LOWE'S COS INC C | CMN | 548661107 | 374 | 14900 | SH | SOLE | 1 |
| LUBRIZOL CORP CO | CMN | 549271104 | 406 | 3802 | SH | SOLE | 2 |
| LYONDELL CHEMICA | CMN | N53745100 | 18338.812 | 533105 | SH | SOLE | 4 |
| MACY'S INC COM S | CMN | 55616P104 | 535 | 21145 | SH | SOLE | 2 |
| MANITOWOC CO INC | CMN | 563571108 | 243 | 18500 | SH | SOLE | 1 |
| MARC | CMN | 566137105 | 0 | 3735000 | SH | SOLE | 1 |
| MARKET VECTORS - | CMN | 57060U100 | 4831 | 78600 | SH | SOLE | 1 |
| MARKET VECTORS D | CMN | 617480280 | 7800 | 170900 | SH | SOLE | 1 |
| MARKET VECTORS E | CMN | 57060U506 | 758 | 20000 | SH | SOLE | 2 |
| MARSH & MCLENNAN | CMN | 571748102 | 419 | 15329 | SH | SOLE | 1 |
| MARSHALL & ILSLE | CMN | 571837103 | 107 | 15503 | SH | SOLE | 1 |
| MARVELL TECHNOLO | CMN | G5876H105 | 463 | 24971 | SH | SOLE | 2 |
| MASTERCARD INC C | CMN | 57636Q104 | 644 | 2875 | SH | SOLE | 1 |
| MAXIM INTEGRATED | CMN | 57772K101 | 524 | 22190 | SH | SOLE | 2 |
| MBIA INC COM USD | CMN | 55262C100 | 6210 | 518212 | SH | SOLE | 1 |
| MCAFEE INC COM U | CMN | 579064106 | 7881 | 170167 | SH | SOLE | 2 |
| MCCLATCHY CO CLA | CMN | 579489105 | 83 | 17800 | SH | SOLE | 2 |
| MCDONALD'S CORP | CMN | 580135101 | 760 | 9900 | SH | DEFINED | 2 |
| MCDONALD'S CORP | CMN | 580135101 | 2297 | 29926 | SH | DEFINED | 2 |
| MEAD JOHNSON NUT | CMN | 582839106 | 1185 | 19033 | SH | DEFINED | 2 |
| MEAD JOHNSON NUT | CMN | 582839106 | 2501 | 40183 | SH | DEFINED | 2 |

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| | | | | | | | |
|------------------|-----|-----------|----------|----------|----|---------|---|
| MECHEL OAO | CMN | 583840103 | 2523 | 86314 | SH | SOLE | 2 |
| MEDCO HEALTH SOL | CMN | 58405U102 | 400 | 6534 | SH | SOLE | 2 |
| MEDTRONIC INC CO | CMN | 585055106 | 475 | 12800 | SH | SOLE | 2 |
| MEMC ELECTRONIC | CMN | 552715104 | 373 | 33074 | SH | SOLE | 2 |
| MERCK & CO INC | CMN | 58933Y105 | 348 | 9655 | SH | DEFINED | 1 |
| MERCK & CO INC C | CMN | 58933Y105 | 1752 | 48600 | SH | DEFINED | 2 |
| MERCK & CO INC C | CMN | 58933Y105 | 804 | 22303 | SH | DEFINED | 2 |
| METLIFE INC COM | CMN | 59156R108 | 3251 | 73165 | SH | DEFINED | 1 |
| MGM RESORTS INTE | CMN | 552953101 | 203 | 13702 | SH | SOLE | 2 |
| MICROCHIP TECHNO | CMN | 595017104 | 443 | 12939 | SH | SOLE | 2 |
| MICRON TECHNOLOG | CMN | 595112103 | 471 | 58748 | SH | SOLE | 2 |
| MICROSOFT CORP C | CMN | 594918104 | 22.32496 | 22324.96 | SH | DEFINED | 3 |
| MICROSOFT CORP C | CMN | 594918104 | 13943 | 499650 | SH | DEFINED | 2 |
| MICROSOFT CORP C | CMN | 594918104 | 2421 | 86753 | SH | DEFINED | 2 |
| MICROSOFT CORP C | CMN | 594918104 | 15793 | 565941 | SH | DEFINED | 1 |
| MOBILE TELESYSTE | CMN | 607409109 | 12157 | 582368 | SH | SOLE | 2 |
| MONSANTO CO COM | CMN | 61166W101 | 20307 | 291598 | SH | SOLE | 1 |
| MORGAN STANLEY C | CMN | 617446448 | 1211 | 44531 | SH | SOLE | 1 |
| MOSAIC CO/THE CO | CMN | 61945A107 | 529 | 6925 | SH | SOLE | 2 |
| MUELLER WATER PR | CMN | 624758108 | 92 | 22096 | SH | SOLE | 2 |
| NANOSPHERE INC C | CMN | 63009F105 | 52 | 11900 | SH | SOLE | 1 |
| NASDAQ 100 INDEX | CMN | 73935A104 | 438 | 8045 | SH | DEFINED | 2 |
| NASDAQ 100 INDEX | CMN | 73935A104 | 512 | 9400 | SH | DEFINED | 1 |
| NATIONAL OILWELL | CMN | 637071101 | 474 | 7054 | SH | SOLE | 2 |
| NATIONAL SEMICON | CMN | 637640103 | 430 | 31210 | SH | SOLE | 2 |
| NAVISTAR INTERNA | CMN | 63934E108 | 1804 | 31150 | SH | SOLE | 1 |
| NETAPP INC COM U | CMN | 64110D104 | 1371 | 24943 | SH | SOLE | 1 |
| NETLIST INC COM | CMN | 64118P109 | 1723 | 672507 | SH | SOLE | 1 |
| NEW YORK COMMUNI | CMN | 649445103 | 233 | 12339 | SH | SOLE | 1 |
| NEWMONT MINING C | CMN | 651639106 | 891 | 14500 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|-----------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGE |
| NEWMONT MINING C | CMN | 651639106 | 811 | 13196 | SH | DEFINED | 2 |
| NEWMONT MINING C | CMN | 651639106 | 9990 | 162610 | SH | DEFINED | 1 |
| NII HOLDINGS INC | CMN | 62913F201 | 437 | 9780 | SH | SOLE | 2 |
| NOBLE CORP CHF3. | CMN | H5833N103 | 445 | 12452 | SH | SOLE | 2 |
| NORDSTROM INC CO | CMN | 655664100 | 7628 | 180000 | SH | SOLE | 1 |
| NORTHERN TRUST C | CMN | 665859104 | 390 | 7039 | SH | SOLE | 1 |
| NORTHROP GRUMMAN | CMN | 666807102 | 302 | 4655 | SH | SOLE | 2 |
| NOVELL INC COM U | CMN | 670006105 | 6862 | 1158740 | SH | SOLE | 2 |
| NRG ENERGY INC | CMN | 629377508 | 258.4165 | 13225 | SH | SOLE | 4 |
| NRG ENERGY INC C | CMN | 629377508 | 262 | 13410 | SH | DEFINED | 2 |
| NRG ENERGY INC C | CMN | 629377508 | 14332 | 733200 | SH | DEFINED | 1 |
| NVIDIA CORP COM | CMN | 67066G104 | 554 | 35974 | SH | SOLE | 2 |
| NYSE EURONEXT CO | CMN | 629491101 | 1801 | 60081 | SH | SOLE | 1 |
| OIL STATES INTER | CMN | 678026105 | 961 | 15000 | SH | SOLE | 1 |
| OIL SVC HOLDERS | CMN | 678002106 | 225 | 1600 | SH | SOLE | 2 |
| ONYX PHARMACEUTI | CMN | 683399109 | 221 | 6000 | SH | SOLE | 1 |
| ORACLE CORP COM | CMN | 68389X105 | 506 | 16171 | SH | SOLE | 2 |
| PACKAGING CORP O | CMN | 695156109 | 12514 | 484233 | SH | SOLE | 1 |

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| | | | | | | | |
|------------------|-----|-----------|------------|---------|----|---------|---|
| PARTNERRE LTD CO | CMN | G6852T105 | 413 | 5146 | SH | SOLE | 2 |
| PEABODY ENERGY C | CMN | 704549104 | 3609 | 56400 | SH | SOLE | 1 |
| PEOPLE'S UNITED | CMN | 712704105 | 152 | 10862 | SH | SOLE | 1 |
| PETROHAWK ENERGY | CMN | 716495106 | 454 | 24854 | SH | SOLE | 2 |
| PETROLEO BRASILE | CMN | 71654V408 | 39345 | 1039668 | SH | DEFINED | 2 |
| PETROLEO BRASILE | CMN | 71654V408 | 8511 | 224906 | SH | DEFINED | 2 |
| PFIZER INC COM U | CMN | 717081103 | 3274 | 186900 | SH | DEFINED | 2 |
| PFIZER INC COM U | CMN | 717081103 | 1311 | 74845 | SH | DEFINED | 2 |
| PFIZER INC COM U | CMN | 717081103 | 6130 | 350000 | SH | DEFINED | 1 |
| PHH CORP COM NPV | CMN | 693320202 | 4082 | 176269 | SH | SOLE | 2 |
| PHILIP MORRIS IN | CMN | 718172109 | 528 | 9014 | SH | SOLE | 2 |
| Plum Creek Timbe | CMN | 729251108 | 823.9 | 22000 | SH | SOLE | 5 |
| PMI GROUP INC/TH | CMN | 69344M101 | 95 | 28700 | SH | SOLE | 1 |
| PNC FINANCIAL SE | CMN | 693475105 | 941 | 15503 | SH | SOLE | 1 |
| POWERSHARES DB A | CMN | 73936B408 | 615 | 19000 | SH | SOLE | 1 |
| POWERSHARES EXCH | CMN | 73936D107 | 1081 | 47600 | SH | SOLE | 1 |
| PRICE (T. ROWE) | CMN | 74144T108 | 469 | 7262 | SH | SOLE | 1 |
| PRICELINE.COM IN | CMN | 741503403 | 2078 | 5200 | SH | SOLE | 1 |
| PRIDE INTERNATIO | CMN | 74153Q102 | 441 | 13363 | SH | SOLE | 2 |
| PRINCIPAL FINANC | CMN | 74251V102 | 307 | 9418 | SH | SOLE | 1 |
| PROCTER & GAMBLE | CMN | 742718109 | 4464 | 69401 | SH | DEFINED | 2 |
| PROCTER & GAMBLE | CMN | 742718109 | 1435 | 22306 | SH | DEFINED | 2 |
| PROGRESSIVE CORP | CMN | 743315103 | 378 | 19019 | SH | SOLE | 1 |
| PRUDENTIAL FINAN | CMN | 744320102 | 4559 | 77656 | SH | SOLE | 1 |
| PUBLIC SERVICE E | CMN | 744573106 | 1490 | 46828 | SH | SOLE | 2 |
| QIAGEN NV COM EU | CMN | N72482107 | 293 | 15000 | SH | SOLE | 2 |
| QLTY CS | CMN | 74756M102 | 1147.30344 | 126216 | SH | SOLE | 4 |
| QUALCOMM INC COM | CMN | 747525103 | 511 | 10327 | SH | SOLE | 2 |
| QUESTAR CORP COM | CMN | 748356102 | 7502 | 430967 | SH | SOLE | 1 |
| RACKSPACE HOSTIN | CMN | 750086100 | 999 | 31800 | SH | SOLE | 1 |
| RAMBUS INC COM U | CMN | 750917106 | 410 | 20000 | SH | SOLE | 2 |
| RASER TECHNOLOGI | CMN | 754055101 | 28 | 160800 | SH | SOLE | 1 |
| Rayonier | CMN | 754907103 | 840.32 | 16000 | SH | SOLE | 5 |
| RAYONIER INC COM | CMN | 754907103 | 554 | 10556 | SH | SOLE | 1 |
| RAYTHEON CO COM | CMN | 755111507 | 290 | 6254 | SH | SOLE | 2 |
| REGIONS FINANCIA | CMN | 7591EP100 | 247 | 35231 | SH | SOLE | 1 |
| RENAISSANCERE HO | CMN | G7496G103 | 433 | 6794 | SH | SOLE | 2 |
| RETAIL HOLDERS T | CMN | 76127U101 | 224 | 2100 | SH | DEFINED | 2 |
| RETAIL HOLDERS T | CMN | 76127U101 | 2401 | 22550 | SH | DEFINED | 1 |
| RIGEL PHARMACEUT | CMN | 766559603 | 1283 | 170323 | SH | SOLE | 1 |
| RIO TINTO PLC OR | CMN | 767204100 | 500 | 6976 | SH | SOLE | 2 |
| RITE AID CORP CO | CMN | 767754104 | 2903 | 3259335 | SH | SOLE | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|-----------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGE |
| ROBOTIC VISION S | CMN | 771074309 | 0 | 378865 | SH | SOLE | 1 |
| ROVI CORP COM US | CMN | 779376102 | 775 | 12500 | SH | SOLE | 2 |
| ROWAN COS INC CO | CMN | 779382100 | 282 | 8082 | SH | SOLE | 2 |
| RYDEX ETF TRUST | CMN | 78355W700 | 2955 | 75000 | SH | SOLE | 1 |
| SALESFORCE.COM I | CMN | 79466L302 | 9576 | 72544 | SH | SOLE | 2 |
| SANDERSON FARMS | CMN | 800013104 | 811 | 20706 | SH | SOLE | 2 |

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| | | | | | | | |
|------------------|-----|-----------|------------|---------|----|---------|---|
| SANDISK CORP COM | CMN | 80004C101 | 9099 | 182500 | SH | DEFINED | 2 |
| SANDISK CORP COM | CMN | 80004C101 | 226 | 4539 | SH | DEFINED | 2 |
| SANMINA-SCI CORP | CMN | 800907206 | 297 | 25819 | SH | SOLE | 1 |
| SAP AG ORD NPV | CMN | 803054204 | 2736 | 54053 | SH | SOLE | 2 |
| SAPIENT CORP COM | CMN | 803062108 | 1208 | 99900 | SH | SOLE | 1 |
| SCHLUMBERGER LTD | CMN | 806857108 | 971 | 11631 | SH | SOLE | 2 |
| SEA CONTAINERS L | CMN | 811371707 | 0 | 391636 | SH | SOLE | 1 |
| SEAGATE TECHNOLO | CMN | G7945M107 | 522 | 34704 | SH | SOLE | 2 |
| SELECT SECTOR SP | CMN | 81369Y886 | 270 | 8616 | SH | SOLE | 2 |
| SELECT SECTOR SP | CMN | 81369Y506 | 8606 | 126100 | SH | DEFINED | 1 |
| SELECT SECTOR SP | CMN | 81369Y209 | 314 | 9975 | SH | SOLE | 2 |
| SELECT SECTOR SP | CMN | 81369Y407 | 313 | 8370 | SH | DEFINED | 2 |
| SELECT SECTOR SP | CMN | 81369Y407 | 303 | 8100 | SH | DEFINED | 1 |
| SIRONA DENTAL SY | CMN | 82966C103 | 288 | 6900 | SH | SOLE | 2 |
| SIX FLAGS INC CO | CMN | 83001A102 | 1085.4432 | 19953 | SH | SOLE | 4 |
| SL GREEN REALTY | CMN | 78440X101 | 382 | 5659 | SH | SOLE | 1 |
| SLM CORPORATION | CMN | 78442P106 | 180 | 14278 | SH | SOLE | 1 |
| SMALL CAP BULL 3 | CMN | 25459W847 | 10866 | 150000 | SH | SOLE | 1 |
| SMITHFIELD FOODS | CMN | 832248108 | 824 | 39960 | SH | SOLE | 1 |
| SOLUTIA INC COMM | CMN | 834376501 | 3386.66688 | 146736 | SH | SOLE | 4 |
| SOTHEBY'S COM ST | CMN | 835898107 | 1058 | 23500 | SH | SOLE | 1 |
| SOUTHERN CO COM | CMN | 842587107 | 535 | 14000 | SH | SOLE | 2 |
| SPDR METALS & MI | CMN | 78464A755 | 1733 | 25200 | SH | SOLE | 1 |
| SPDR S&P 500 ETF | CMN | 78462F103 | 654294 | 5203136 | SH | DEFINED | 2 |
| SPDR S&P 500 ETF | CMN | 78462F103 | 225 | 1791 | SH | DEFINED | 2 |
| SPDR TRUST SERIE | CMN | 78462F103 | 438233 | 3484953 | SH | SOLE | 1 |
| SPRINT NEXTEL CO | CMN | 852061100 | 58 | 13720 | SH | DEFINED | 2 |
| SPRINT NEXTEL CO | CMN | 852061100 | 1431 | 337900 | SH | DEFINED | 1 |
| SSCC CS | CMN | 83272A104 | 13551.36 | 529350 | SH | SOLE | 4 |
| ST JUDE MEDICAL | CMN | 790849103 | 3121 | 73000 | SH | SOLE | 1 |
| STATE STREET COR | CMN | 857477103 | 684 | 14750 | SH | SOLE | 1 |
| STEEL DYNAMICS I | CMN | 858119100 | 1907 | 104200 | SH | SOLE | 1 |
| STREETTRACKS DIV | CMN | 78464A763 | 343 | 6600 | SH | SOLE | 1 |
| STREETTRACKS GOL | CMN | 78463V107 | 135216 | 974752 | SH | SOLE | 1 |
| STREETTRACKS SER | CMN | 78464A888 | 1784 | 102610 | SH | DEFINED | 2 |
| STREETTRACKS SER | CMN | 78464A888 | 7810 | 449066 | SH | DEFINED | 1 |
| SUCCESSFACTORS I | CMN | 864596101 | 478 | 16500 | SH | SOLE | 1 |
| SUNTRUST BANKS, | CMN | 867914103 | 418 | 14151 | SH | SOLE | 1 |
| SYNIVERSE HOLDIN | CMN | 87163F106 | 4102 | 133000 | SH | SOLE | 2 |
| SYNOVUS FINANCIA | CMN | 87161C105 | 56 | 21240 | SH | SOLE | 1 |
| TAIWAN SEMICONDU | CMN | 874039100 | 38772 | 3090165 | SH | SOLE | 2 |
| TAKE-TWO INTERAC | CMN | 874054109 | 6120 | 498977 | SH | SOLE | 2 |
| TEKELEC COM NPV | CMN | 879101103 | 2231 | 187377 | SH | SOLE | 1 |
| TELECOMMNS SYSTE | CMN | 87929J103 | 234 | 50000 | SH | SOLE | 2 |
| TEMPLE-INLAND IN | CMN | 879868107 | 425 | 20000 | SH | SOLE | 1 |
| TENET HEALTHCARE | CMN | 88033G100 | 3398 | 508081 | SH | SOLE | 2 |
| TERADYNE INC COM | CMN | 880770102 | 9034 | 643151 | SH | SOLE | 2 |
| TEXAS INSTRUMENT | CMN | 882508104 | 491 | 15122 | SH | SOLE | 2 |
| TEXTRON INC COM | CMN | 883203101 | 12149 | 513920 | SH | SOLE | 2 |
| THE ALLSTATE COR | CMN | 020002101 | 2098 | 65822 | SH | SOLE | 1 |
| THE CHARLES SCHW | CMN | 808513105 | 498 | 29100 | SH | SOLE | 1 |
| THE GOLDMAN SACH | CMN | 38141G104 | 7819 | 46500 | SH | DEFINED | 2 |
| THE GOLDMAN SACH | CMN | 38141G104 | 2550 | 15163 | SH | DEFINED | 1 |
| TIFFANY & CO COM | CMN | 886547108 | 531 | 8525 | SH | SOLE | 2 |

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| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|-----------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGE |
| TIME WARNER CABL | CMN | 88732J207 | 1177 | 17825 | SH | SOLE | 2 |
| TRANSOCEAN LTD C | CMN | H8817H100 | 324 | 4658 | SH | SOLE | 2 |
| TRAVELERS COS IN | CMN | 89417E109 | 2481 | 44546 | SH | SOLE | 1 |
| TRW AUTOMOTIVE H | CMN | 87264S106 | 987 | 18729 | SH | SOLE | 1 |
| TUESDAY MORNING | CMN | 899035505 | 1019 | 192991 | SH | SOLE | 1 |
| TYCO ELECTRONICS | CMN | H8912P106 | 510 | 14406 | SH | SOLE | 2 |
| TYSON FOODS INC | CMN | 902494103 | 879 | 51053 | SH | SOLE | 2 |
| UNION PACIFIC CO | CMN | 907818108 | 264 | 2853 | SH | SOLE | 2 |
| UNITED STATES NA | CMN | 912318102 | 1496 | 250000 | SH | SOLE | 1 |
| UNITED TECHNOLOG | CMN | 913017109 | 966 | 12273 | SH | SOLE | 2 |
| UNITEDHEALTH GRO | CMN | 91324P102 | 993 | 27500 | SH | DEFINED | 2 |
| UNITEDHEALTH GRO | CMN | 91324P102 | 385 | 10653 | SH | DEFINED | 2 |
| UNITEDHEALTH GRO | CMN | 91324P102 | 397 | 11000 | SH | DEFINED | 1 |
| UNUM GROUP COM U | CMN | 91529Y106 | 229 | 9440 | SH | SOLE | 1 |
| US BANCORP INC C | CMN | 902973304 | 1602 | 59409 | SH | SOLE | 1 |
| UTD STS OIL FD L | CMN | 91232N108 | 2340 | 60000 | SH | DEFINED | 2 |
| UTD STS OIL FD L | CMN | 91232N108 | 2770 | 71023 | SH | DEFINED | 2 |
| VALE SA COM NPV | CMN | 91912E105 | 386 | 11174 | SH | SOLE | 2 |
| VALERO ENERGY CO | CMN | 91913Y100 | 4067 | 175891 | SH | SOLE | 1 |
| VANGUARD ENERGY | CMN | 92204A306 | 219 | 2200 | SH | SOLE | 1 |
| VARIAN MEDICAL S | CMN | 92220P105 | 529 | 7632 | SH | SOLE | 2 |
| VCA ANTECH INC C | CMN | 918194101 | 853 | 36623 | SH | SOLE | 2 |
| VERIZON COMMUNIC | CMN | 92343V104 | 749 | 20943 | SH | DEFINED | 2 |
| VERIZON COMMUNIC | CMN | 92343V104 | 1161 | 32447 | SH | DEFINED | 2 |
| VERIZON COMMUNIC | CMN | 92343V104 | 338 | 9452 | SH | DEFINED | 1 |
| VIRGIN MEDIA INC | CMN | 92769L101 | 2320 | 85181 | SH | SOLE | 2 |
| VISA INC USD0.00 | CMN | 92826C839 | 13127 | 186525 | SH | DEFINED | 2 |
| VISA INC USD0.00 | CMN | 92826C839 | 101406 | 1440944 | SH | DEFINED | 1 |
| WAL-MART STORES | CMN | 931142103 | 1108 | 20549 | SH | DEFINED | 2 |
| WAL-MART STORES | CMN | 931142103 | 1348 | 25000 | SH | DEFINED | 1 |
| WELLPOINT INC CO | CMN | 94973V107 | 4668 | 82100 | SH | DEFINED | 2 |
| WELLPOINT INC CO | CMN | 94973V107 | 355 | 6250 | SH | DEFINED | 2 |
| WELLS FARGO & CO | CMN | 949746101 | 3988 | 128700 | SH | DEFINED | 2 |
| WELLS FARGO & CO | CMN | 949746101 | 4435 | 143141 | SH | DEFINED | 1 |
| WESTERN DIGITAL | CMN | 958102105 | 479 | 14139 | SH | SOLE | 2 |
| WESTERN UNION CO | CMN | 959802109 | 354 | 19051 | SH | SOLE | 1 |
| WIMM-BILL-DANN F | CMN | 97263M109 | 1152 | 34956 | SH | SOLE | 2 |
| WISDOMTREE INDIA | CMN | 97717W422 | 1102 | 41742 | SH | SOLE | 1 |
| WYNDHAM WORLDWID | CMN | 98310W108 | 9489 | 316780 | SH | SOLE | 2 |
| YAHOO INC COM US | CMN | 984332106 | 765 | 46000 | SH | DEFINED | 2 |
| YAHOO INC COM US | CMN | 984332106 | 446 | 26816 | SH | DEFINED | 1 |
| YUM! BRANDS INC | CMN | 988498101 | 440 | 8963 | SH | SOLE | 2 |
| ZIONS BANCORPORA | CMN | 989701107 | 218 | 8975 | SH | SOLE | 1 |