Slavitt Evan M Form 4 May 02, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number: January 31, Expires:

2005 Estimated average

burden hours per 0.5 response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Slavitt Evan M | 2. Issuer Name <b>and</b> Ticker or Trading Symbol AVX Corp [AVX] | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|--|---|--|--|--|--|
| (Last) (First) (Middle)                                  | 3. Date of Earliest Transaction                                   | (Check all applicable)   |  |  |  |
| 1 AVX BLVD   | (Month/Day/Year)<br>05/01/2019                                    | Director 10% Owner Symplesty Officer (give title Other (specify below) SVP, General Counsel, Secretary |  |  |  |
| (Street)   | 4. If Amendment, Date Original Filed(Month/Day/Year)              | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person      |  |  |  |
| FOUNTAIN INN, SC 29644 (City) (State) (7ip)              |   | Form filed by More than One Reporting Person   |  |  |  |

| (City)                               | (State) (                            | Zip) Table  | e I - Non-D                             | erivative  | Secur            | ities Acq   | uired, Disposed of   | f, or Beneficial                                      | y Owned |
|--------------------------------------|--------------------------------------|---|---|--|------------------|-------------|--|---|---------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |         |
|                                      |                                      |   | Code V                                  | Amount   | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |   |         |
| Common<br>Stock                      | 05/01/2019                           | 05/02/2019  | A                                       | 5,000  | A                | \$<br>16.08 | 18,881   | D   |         |
| Common<br>Stock                      | 05/01/2019                           | 05/02/2019  | F                                       | 1,833  | D                | \$<br>16.08 | 17,048   | D   |         |
| Common<br>Stock                      | 05/01/2019                           | 05/02/2019  | F                                       | 1,176  | D                | \$<br>16.08 | 15,872   | D   |         |
| Common<br>Stock                      | 05/01/2019                           |   | A                                       | 5,000<br>(1)   | A                | \$<br>16.08 | 20,872   | D   |         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Slavitt Evan M - Form 4

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SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.          | 6. Date Exerc    | isable and | 7. Titl | e and    | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|------------|-------------|------------------|------------|---------|----------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber    | Expiration Da    | ate        | Amou    | nt of    | Derivative  | J |
| Security    | or Exercise |                     | any                | Code       | of          | (Month/Day/      | Year)      | Under   | lying    | Security    | , |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative  | e                |            | Secur   | ities    | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |            | Securities  |                  |            | (Instr. | 3 and 4) |             | ( |
|             | Security    |                     |                    |            | Acquired    |                  |            |         |          |             | J |
|             |             |                     |                    |            | (A) or      |                  |            |         |          |             | ] |
|             |             |                     |                    |            | Disposed    |                  |            |         |          |             | - |
|             |             |                     |                    |            | of (D)      |                  |            |         |          |             | ( |
|             |             |                     |                    |            | (Instr. 3,  |                  |            |         |          |             |   |
|             |             |                     |                    |            | 4, and 5)   |                  |            |         |          |             |   |
|             |             |                     |                    |            |             |                  |            |         |          |             |   |
|             |             |                     |                    |            |             |                  |            |         | Amount   |             |   |
|             |             |                     |                    |            |             | Date             | Expiration | m       | or       |             |   |
|             |             |                     |                    |            |             | Exercisable Date | Date       |         | Number   |             |   |
|             |             |                     |                    | ~          | <del></del> |                  |            |         | of       |             |   |
|             |             |                     |                    | Code V     | (A) (D)     |                  |            |         | Shares   |             |   |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Slavitt Evan M 1 AVX BLVD

SVP, General Counsel, Secretary

FOUNTAIN INN, SC 29644

#### **Signatures**

/s/ Michael Hufnagel,

attorney-in-fact 05/02/2019

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) The restricted stock units vest annually over a three-year period. The restricted stock units each convert into common stock on a one for one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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